Construction Management
and
Civil Engineering Practice in Hong Kong

Safety Practice and Q & A
on Civil Engineering Works

Edited by
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PART A

SAFETY PRACTICE IN ENGINEERING PROJECTS

Lam Chi-sing

In writing ‘Safety Practice in Engineering Projects’, it is expected that the presented materials can assist engineers in the field of construction industry to have an overall picture of safety and health awareness in the engineering construction so as to reach a harmony partnering relationship between employers and employees.

This Part covers a wide spectrum of occupational safety and health in the construction industry and is divided into three sections. The first Section is common practice on the introduction of occupational safety and health laws covered in Hong Kong, duty of responsibility to both employers and employees under the main Factories and Industrial Undertaking Ordinance and Occupational Safety and Health Ordinance.

The second Section is to use quality of safety concept with relevant regulations applying in construction and engineering projects which delineate people, operation, environment, tools, equipment and plants, and materials use which influences and governs workmen safety and health in the workplace.

The last Section is describing the methods for accident prevention in which safe system of work, sequence of construction method statement, risk assessment and safety management system are included in discussion.

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Ir Lam has been working in CLP Power Co. Ltd as Engineer responsible for civil construction projects since 1970 and is an Executive Committee Member of the Institution of Occupational Safety and Health (IOSH-Hong Kong) since 2003. He also had been ex-officio member of Hong Kong Institution of Engineers – Safety Specialist Committee (SSC) (Former Safety Specialist Group) in session year 1997~2000, Observer on behalf of the Institution of Occupational Safety and Health (IOSH-Hong Kong) in SSC in session 2003~2005, and Helper for Convener of wake-up members in the Safety Specialist Committee in session year 2005~2006.

Ir Lam had written more than 10 articles and presentation papers related to safety and health issues.
SECTION 1

OCCUPATIONAL SAFETY AND HEALTH

Introduction to Safety and Health at Work

Safety and health at work is utmost importance for protection of employees against ill-health, bodily injury and loss of life, it provides employees and employers duty to comply with relevant safety and health laws. There are two ordinances to cover work personnel safety and health at work, Factories and Industrial Undertaking Ordinance (Chapter 59) and Occupational Safety and Health Ordinance (Chapter 509). The ordinances have its subsidiary regulations to lay down specific the safety and health requirements that employees and employers required to comply with.

What is it?

Safety is a measure of the degree of freedom from risk or conditions that can cause death, physical harm, or equipment or property damage. (Levson 1986). Health is the physical body that free from illness or any harm to external or internal organ or any side effects to affect the organ of the human body. Safety and health are often combined together as integrity of perfection. When the work personnel suffer from bodily injury no matter how minor or serious, he/her may lead to unhealthy in physical mental behavior.

Why implement?

Construction industry has a high accident rate, despite the declination of accident rate in the past years; there is more room to improve for accident prevention. Construction work is a high risk activity, working at height is one of the high risk activities, it had claimed 0 lives in 006. Safety training is one of the pro-active methods to improve the safety awareness of the workers, but when they are in operation, unsafe behaviour and unsafe condition are often appeared and would lead to accident prone. The author expects that this paper would assist the front-line supervisors and manager as a safety handbook to study and evaluate the construction hazards in any engineering projects and devise a safe system of work on sites to reduce accident so as to achieve zero accident on construction sites.

Statutory Requirements

In Hong Kong, the work personnel are protected to their safety and health at work under the OSH Ordinance (Chapter 509) and Factories and Industrial Undertakings Ordinance (Chapter 59). In the construction industry, Construction Sites (Safety) Regulations are used to govern construction work. There are many subsidiary regulations under these two Ordinances. The following regulations which related to the construction work are listed below for easy reference.

Factories and Industrial Undertakings Ordinance (Chapter 59)
Construction Sites (Safety) Regulations
Factories and Industrial Undertakings Regulations
Factories and Industrial Undertakings (Asbestos) Regulations
Factories and Industrial Undertakings (Asbestos) Special Regulations
Factories and Industrial Undertakings (Abrasive Wheel) Regulations
Factories and Industrial Undertakings (Blasting by Abrasives) Special Regulations
Factories and Industrial Undertakings (Cartridge-Operated Fixing Tools) Regulations
Factories and Industrial Undertakings (Carcinogenic Substances) Regulations
Factories and Industrial Undertakings (Confined Spaces) Regulations
Factories and Industrial Undertakings (Cartridge-Operated Fixing Tools) Regulations
Factories and Industrial Undertakings (Dangerous Substances) Regulations
Factories and Industrial Undertakings (Electricity) Regulations
Factories and Industrial Undertakings (Fire Precautions in Notifiable Workplaces) Regulations
Factories and Industrial Undertakings (First Aid in Notifiable Workplaces) Regulations
Factories and Industrial Undertakings (Gas Welding and Flame Cutting) Regulation
Factories and Industrial Undertakings (Guarding and Operation of Machinery) Regulations
Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations
Factories and Industrial Undertakings (Loadshifting Machinery) Regulation
Factories and Industrial Undertakings (Noise at Work) Regulations
Factories and Industrial Undertakings (Notification of Occupational Diseases) Regulations
Factories and Industrial Undertakings (Protection of Eyes) Regulations
Factories and Industrial Undertakings (Spraying of Flammable Liquids) Regulations
Factories and Industrial Undertakings (Safety Management) Regulation
Factories and Industrial Undertakings (Safety Officers and Safety Supervisors) Regulations
Factories and Industrial Undertakings (Suspended Working Platform) Regulations
Factories and Industrial Undertakings (Woodworking Machinery) Regulations
Factories and Industrial Undertakings (Work in Compressed Air) Regulations
Quarries (Safety) Regulations
Occupational Safety and Health Ordinance (Chapter 509)
Occupational Safety and Health Regulation
Occupational Safety and Health (Display Screen Equipment) Regulation

General Duties

Factories and Industrial Undertaking Ordinance defines the general duties to proprietors and employees who must observe with the requirements.

General Duties of a Proprietor

Section 6A defines the general duties of a proprietor in an industrial undertaking to ensure, so far as is reasonably practicable, the health and safety at work of all persons employed by him at the industrial undertaking.

Duty extends include:

1. the provision and maintenance of plant and systems of work that are, safe and without risks to health;
2. arrangements for ensuring, safety and absence of risks to health in connection with the use, handling, storage and transport of articles and substances;
3. the provision of such information, instruction, training and supervision as is necessary to ensure, the health and safety at work of all persons employed by him at the industrial undertaking;
4. any part of the industrial undertaking under the proprietor’s control, the maintenance of it in a condition that is safe and without risks to health and the provision and maintenance of means of
access to and egress from it that are safe and without such risks; and

5. the provision and maintenance of a working environment for all persons employed by him at the industrial undertaking that is safe, and without risks to health.

**General Duties of Person Employed**

Section 6B defines the duty of every person employed at an industrial undertaking while at work:

1. to take reasonable care for the health and safety of himself and other persons who may be affected by his acts or omissions at work; and

2. as regards any duty or requirement imposed on a proprietor of the industrial undertaking or on any other person by this Ordinance for securing the health and safety of persons employed at the industrial undertaking, to co-operate with him so far as is necessary to enable that duty or requirement to be performed or complied with.
SECTION 2

CONSTRUCTION SAFETY IN ENGINEERING PROJECTS

Engineering Construction Projects in Hong Kong

The prosperity of Hong Kong is relied on the development of infrastructure projects. The development of infrastructure projects has made the fast track of Hong Kong economy and become one of the International Financial Centres in the world. The development of Mass Transit Railways and re-development of railways tracks shorten the traffic distance and rapid development of rural and urban areas.

In Hong Kong, there are many engineering projects involved with construction activities (Figure 2.1), such as bridges and road works, port works, waterworks and pipelines installation, sewerages and drainages construction, railways, buildings construction, electrical cable and gas main installation, slope works; the work is carried out either in the open air, trenchless, tunneling and/or combination of them for construction.

Figure 2.1 - Engineering Construction Projects

Construction Safety

When the construction work is commenced no matter what kind of engineering construction project, the workplace on site is defined as a construction site which is under the Construction Sites (Safety) Regulations. The contractor or proprietor is required to comply with relevant safety and health regulation requirements. The common requirement on safety and health in construction activity is the Construction Sites (Safety) Regulations and other related F & IU regulations which are supplementary to complement each other requirements.
In the construction project, the work activities are mostly defined into person; operation; tool, machine and equipment; work environment and materials. The Construction Sites (Safety) Regulations cover the whole aspects of the safety operation, health awareness and general statutory requirements; it is to protect the work personnel in safety and health at work (Figure 2.2).

Figure 2.2: Delineate Work Activities

**Persons**

- General training
- Specific training

**Materials**

- Use of dangerous substances
- Dangerous goods

**Operation**

- Asbestos
- Excavation
- Lifting operation
- Manual handling
- Working at height/Underground
- Confined Space
- Gas welding & flame cutting

**Environment**

- Noise at work
- Housekeeping
- Lighting
- Health & welfare
- Work in compressed air

**Figure 2.3 - Competence**
Competence and Training Certificate

The worker who undertakes the work in construction sites must receive basic safety training or specific safety training (See Figure 2.3).

Basic Safety Training Certificate

Section 6BA of the FIUO requires the proprietor /contractor to employ person at a relevant industrial undertaking who hold a valid safety training certificate. This means any work personnel must have received basic safety training before working in construction site.

Specific Safety Training

Asbestos

Section 16 of the F&IU (Asbestos) Regulation that worker who works with asbestos is trained. Trained competent person makes assessment of asbestos before commencing work.

Abrasive Wheel

Regulation 7 of the F&IU (Abrasive Wheel) Regulations requires abrasive wheel shall be mounted by a competent person who is appointed by the proprietor in writing.

Cartridge-operated Fixing Tools

An operator who holds a certificate of competency for cartridge-operated fixing tools can use the said fixing tools under the regulation 11 of the F&IU (Cartridge-Operated Fixing Tool) Regulations.

Confined Spaces

Certified worker are allowed to undertaking confined space work, while competent person conducts risk assessment with making a risk assessment report of the confined space work under the F&IU (Confined Spaces) Regulation.

Detection on Underground Utility

Trained competent person (approved by EMSD under Electricity Supply Lines (Protection) Regulations) is to conduct the investigation and detection of underground utility with making a written report.

Driving Certificate on Loadshifting Machine

A person who operates a loadshifting machine must have attained the age of 18 years and holds a valid certificate applicable to the type of loadshifting machine.

Excavation Inspection

Excavation shall be examined by a competent person who is appointed in writing by the proprietor /contractor under the requirement of the Construction Sites (Safety) Regulations.

Hoist Operation or Give Signals

Use of the hoist on a construction site shall be operated by a workman who is trained and competent to operate it.

Gas Welding and Flame Cutting

An operator who carries out gas welding and flame cutting work should hold valid certificate from gas welding and flame cutting training under the F&IU (Gas Welding and Flame Cutting) Regulation.
Lifting Appliance under F&IU (Lifting Appliance and Lifting Gear Regulations)
Competent examiner should carry out test and examination of the lifting appliance and lifting gear with issuing valid test certificate.

Regulation 7(A) requires that lifting appliance has been inspected within the preceding 7 days by a competent person. The competent person has given the owner a certificate in the approved form with a statement to the effect that the lifting appliance is in safe working order.

Manual Handling
The person responsible for a workplace is responsible for conducting risk assessment of manual handling and review of a preliminary assessment following the assessment is no longer valid; or the circumstances have significantly changed.

Noise at Work
Noise assessment should be made by a competent person who must receive noise assessment training under the F &IU (Noise at Work) Regulations.

Scaffolding Erection and Dismantling
A Competent person is responsible for carrying out inspection of the scaffolding in terms of bamboo and metal scaffolding and working platform under the Construction Sites (Safety) Regulations and Code of Practice (Bamboo or Metal Scaffolding). Competent workers can carry out erection, alteration, modification and dismantling of scaffolding under the supervision of competent person.

Operation

![Figure 2.4 - Operation](image)

Every construction project requires the site clearance before starting work; a number of work activities are to be involved in the operation (See Figure 2.4), such as excavation; lifting materials; manual handling to move the objects and materials to or from the workplace; and working in a confined space, they work normally on ground, some work activities requires to work above ground or below ground. These work activities are posing a safety and health hazard in the workplace, so that protect the work personnel safety and health is utmost important in the workplace. The following defines the safety and health requirements for each work activity that requires the contractor /proprietor to comply with.
Excavation

Excavation is one of the main activities during the course of construction work. Unfortunately, excavation claims the loss of people life and worker injury in the past decade year. The sides of excavation collapse and explosive of damaged electrical power cable and gas main would lead workmen no chance to escape (Figure 2.5), so that safety measures and precautions must be taken in the course of excavation work.

Utility services in urban and rural areas are mostly located under the road surfaces or on slope and such services are being maintained and expanded continuously. These include water pipelines, rainwater pipes, sewage system, electric cables, gas pipelines and telecommunication service cables etc. In addition, the road widening and its new construction, excavation is a must process in the construction sequence.

Excavation can be operated by means of hand-dig and mechanical plant. The method of excavation can be divided into trenchless, micro-tunneling and open excavation.

Identify Hazards on Underground Utility
Before starting work, identify the route of excavation whether underground utilities were located, it should be carried out by a competent person (approved by EMSD) to conduct the investigation and to detect underground utilities such as electrical power cables, gas main, telecommunication service cables, watermains and drainage pipes. Locating the underground utilities by means of trial holes (Figure 2.6 and 2.7). Active detection and passive detection are required to ensure there are no utilities in the underground before carrying out excavation work.
Excavations on Construction Sites under CSSR

Safe of excavation
A suitable structure shall be erected to prevent workmen from being endangered by a fall or displacement of earth, rock or other materials adjacent to or forming the side of an excavation or earthwork.

Inspection and Form 4
Regulation 39 requires an excavation or earthwork shall be examined by a competent person at least once in every seven days. No further work in respect of an excavation or earthwork shall be carried out until a report in the approved form which includes a statement to the effect that the excavation or earthwork, and every structure erected is safe and secure is obtain from a competent person.

The requirements do not apply to cases where there is no likelihood of a fall or displacement of earth, rock or other materials to bury or trap a workman or to strike him from a height of more than 1.2 metres. Similarly, they are not applicable to workmen actually engaged in erecting the structure or in rendering any excavation or earthwork safe, or to a person engaged in examining any such structure if other adequate precautions are taken.

Fencing of excavations, etc
Regulation 40 requires a suitable barrier shall be provided at the edge of the excavation, shaft, pit or opening in the ground from which a person is liable to fall a distance of more than 2 metres. Every excavation, shaft, pit or opening in the ground from which a person is liable to fall a distance of more than 2 metres shall be securely covered.

Safe guarding the edge of excavations, etc
No material shall be placed or stacked close to the edge of the excavation, shaft, pit or opening in the ground so as to endanger any person working in it. No load or plant shall also be placed or stacked close to the edge of excavation, shaft, pit or opening in the ground where it is likely to cause the collapse of the side of excavation, shaft, pit or opening in the ground so as to endanger any person working to it.

Requirements for emergency escape
Where there is a reason to apprehend danger to persons employed in the excavation, shaft, pit, tunnel or opening in the ground from rising water, or from an irruption of water or material, adequate means shall be provided to enable such person to reach positions of safety in the event of emergency.

Slope Excavation
Slope maintenance is a kind of construction activities under the Landslip Preventive Measures (LPM) Projects. The old and unstabilizing slope have been identified and required to carry out landslip preventive measures. The slope is required to be excavated and trimmed back to stabilized condition, another method is to use soil nail to stabilize the slope stability. No matter what method is used, it involves workmen working at slope height (height more than 2.0 metres or above), so that scaffolding is required to erect for carrying out slope repairing/maintenance work, as this excavation topic is not involved with slope safety, so it will be discussed in the separated topic.
Lifting Operation

Lifting operation is commonly occurred in the workplace, the purpose of lifting is to delivery materials from one place to the point of workplace. Normally the lifting operation is carried out by means of mechanical plant /equipment/ machinery, but sometimes can be used by manual handling if the material can be carried in light weight manner. There are many devices to use for lifting operation, such as mobile-mounted-crane truck, mobile crane, tower crane, overhead crane, hoistway, gear trolley, block and other mechanical devices.

Lifting material is a high risk activity, it will cause fall of object as a result. Workers always misunderstand that they have overloaded the materials during the lifting operation, and the use of inappropriate load of sling wire thus result of sling breakage during operation.

Lifting material is commonly seen on sites, particular below ground and elevated ground construction work, so that operator training in the use of lifting equipment and safe lifting method is very important.

Lifting Appliances to be Tested and Examined Prior to Use

Regulation 5 of the F&IU (LA&LG) Regulations requires lifting appliance has been thoroughly examined by a competent examiner at least once in the preceding 12 months, and a certificate in the approved form in which the competent examiner has made a statement to the effect that it is in safe working order has been obtained.

Any crane, crab or winch, during the preceding 4 years it has been tested and thoroughly examined by a competent examiner in the manner prescribed in the First Schedule of the F&IU (LA &LG) Regulations, and a certificate in the approved form in which the competent examiner has made a statement to the effect that it is in safe working order has been obtained.

Periodical inspection by a competent person

Regulation 7(A) requires that lifting appliance has been inspected within the preceding 7 days by a competent person and the competent person has given the owner a certificate in the approved form with a statement to the effect that the lifting appliance is in safe working order.

Automatic safe load indicator required

Crane is fitted with an automatic safe load indicator but it does not apply to a crane with a maximum safe working load of 1 tonne or less or a crane that operates with a grab or any electro magnetic means.

Erection, dismantling or alteration of cranes to be under supervision of a competent person

A crane, is erected, or dismantled, or altered, should be under the supervision of a competent person.

Load to be safely secured

No crane is used under weather conditions likely to endanger its stability under Regulation 7 (G). Any load to be raised or lowered by the lifting appliance is securely suspended or supported; and adequately secured so as to prevent danger arising to persons or property as a result of the slipping or displacement of any part of the load.

A receptacle is used in connection with any lifting appliance or lifting gear for raising or lowering stones, bricks, tiles, slates, or other objects, it is ensured that the receptacle to be enclosed or to be so constructed or designed as to prevent the accidental fall of any of such objects.
Precautions to be taken where lifting appliance has travelling or slewing motion
Regulation 8 requires that every stage, gantry, or similar place where a lifting appliance having a travelling or slewing motion is in use, an unobstructed passageway, not less than 600 millimetres wide, is maintained between any part of the appliance liable so to move and any nearby guard rail, fence or other fixture.

Marking of safe working loads
A lifting appliance is clearly and legibly marked with the safe working load in English and Chinese, together with an appropriate mark to distinguish it from other similar appliances.

The crane (including a crane with a derricking jib) shall have clearly and legibly marked the safe working load at various radii of the jib, trolley or crab, and, in the case of a crane with a derricking jib, the maximum radius at which the jib may be worked and is fitted with an accurate indicator, clearly visible to the driver, which shows the radius of the jib, trolley or crab at any particular time and the safe working load applicable to that radius.

Chains, Ropes, and Lifting Gears
Chain, rope or lifting gear used for raising or lowering or as a means of suspension shall ensure that the chain, rope or lifting gear must be of good construction, sound material, and adequate strength, and is free from patent defect.

Chain, rope or lifting gear (except a fibre rope or fibre-rope sling) shall be used when it has been tested and thoroughly examined by a competent examiner and a certificate in the approved form in which the competent examiner has made a statement to the effect that it is in safe working order has been obtained.

Each chain, rope and lifting gear in use shall be thoroughly examined by a competent examiner in the preceding 6 months before it is used and a certificate in the approved form in which the competent examiner has made a statement to the effect that it is in safe working order has been obtained.

Each chain, rope and lifting gear shall be inspected before use on each occasion by a competent person; the chain, rope or lifting gear in use has clearly and legibly marked on it its safe working load together with an appropriate mark to distinguish it from other similar lifting gear.

Wire rope shall not be used for raising or lowering or as a means of suspension if in any length of 10 diameters, the total number of visible broken wires exceeds 5% of the total number of wires in the rope.

A lifting appliance, or any chain, rope or lifting gear shall keep the certificates and reports issued in respect of any test, examination or inspection required by these regulations in a safe place and shall not dispose of any certificate or report until 2 years after the date on which the certificate or report of the subsequent test, examination or inspection, as the case may be, is received by the owner or until 2 years after the date on which the lifting appliance, or any chain, rope or lifting gear is disposed of.

The owner of a lifting appliance shall ensure that a copy of the relevant and the most recent certificate or report is displayed in the driving cabin or other prominent place on the equipment or in a prominent place nearby.
Manual Handling

“Manual handling operation” (體力處理操作), in relation to a load, means moving or supporting the load (including lifting, lowering, pushing, pulling and carrying the load) by a person’s hands or arms or by some other form of bodily effort.

Manual handling causes a serious bodily injury in every year, work people are suffered from back pain injury and absent from work. It will cost the lost production and social benefits. According to the Occupational Safety & Health Regulations, assessment is required by a workplace responsible person who assesses the risk when manual handling is carried out in the workplace.

There are common practices in manual handling during the course of construction activities but not limited to the following:

- Steel reinforcement bars handling and placing in final position
- Handling piece of plywood and timber joist for formwork /falsework erection
- Handling cement bags and bags of sand
- Handling steel scaffold frame or dismantling
- Handling of drainage pipe and water pipe
- Handling of Building Services equipment such as ventilation fans, conduits

The regulations required that “a person shall not be employed to lift, carry or move any load so heavy as to be likely to cause injury to him”.

“Lifting in Industry”, pamphlet published by the Chartered Society of Physiotherapy, lists as a guide the maximum weights as shown below:

<table>
<thead>
<tr>
<th>Person Employed</th>
<th>Weight in lb*</th>
<th>Weight in kg</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Men</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16-18 years of age</td>
<td>44 lb</td>
<td>20 kg</td>
</tr>
<tr>
<td>20-35 years of age</td>
<td>55 lb</td>
<td>25 kg</td>
</tr>
<tr>
<td>Over 50 years of age</td>
<td>35 lb</td>
<td>16 kg</td>
</tr>
<tr>
<td><strong>Women</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16-18 years of age</td>
<td>26 lb</td>
<td>11 kg</td>
</tr>
<tr>
<td>20-35 years of age</td>
<td>33 lb</td>
<td>15 kg</td>
</tr>
<tr>
<td>Over 50 years of age</td>
<td>22 lb</td>
<td>10 kg</td>
</tr>
</tbody>
</table>

These figures should be reduced by 25% if the lifting or carrying is regular and frequent.
Lifting and Handling Principles
Before attempting to lift any articles make sure you know its appropriate weight. If you are a supervisor, you have a duty to instruct, to train and to supervise – especially in the case of newcomers to the job. The methods of lifting are demonstrated as shown in Figure 2.8.

Manual Handling Requirement under the Occupational Safety and Health Regulation (OSHR)
Section 23 of the OSHR requires that before manual handling operations are first undertaken at a workplace, the person responsible for the workplace must ensure that a preliminary assessment is made of the risks to the safety and health of employees at the workplace who undertakes those manual handling operations. A review of a preliminary assessment is made when the assessment is no longer valid; or the circumstances have significantly changed.

<table>
<thead>
<tr>
<th>Posture</th>
<th>Method of Lifting</th>
<th>Demonstration</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stance</td>
<td>Face the direction of travel if lifting alone. Stand over the weight. Feet about 30 mm apart and one slightly in front of the other. This position enables you to keep your balance.</td>
<td></td>
</tr>
<tr>
<td>Straight</td>
<td>Keeping a straight back does not mean a vertical back. Be aware of the difference. Maintain a “straight” back. Let leg muscles do the work.</td>
<td></td>
</tr>
<tr>
<td>Back</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chin in</td>
<td>Before lifting, raise the head slightly and tuck the chin in. This also helps you to keep the back straight.</td>
<td></td>
</tr>
<tr>
<td>Proper</td>
<td>Take a proper hold. Grip with the palms of the hands and the roots of the fingers; never lift with the finger tips.</td>
<td></td>
</tr>
<tr>
<td>Grip</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**Arms Close to the Body**

Keep arms close to the body. The body then takes the weight instead of the hands and arms.

**Position of Feet**

Your leading foot should always point in the direction of travel.

**Body Weight**

Use your body as a counterweight to save muscular effort.

**Progressive Movement**

**Harmful**

Top heavy and consciously – keeping back straight when lowering hands lead to Progressive.

Stiffening as hands are lowered.

**Healthful**

Progressive relaxation – results from unlocking of both knees as hands are lowered.

Looking up as hands take the load automatically straight back at the correct movement.

---

**Figure 2.8 – Lifting and Handling Methods and Demonstration**
### MATTERS AND QUESTIONS TO BE CONSIDERED WHEN MAKING ASSESSMENT OF RISKS OF UNDERTAKING MANUAL HANDLING OPERATIONS

<table>
<thead>
<tr>
<th>Column 1</th>
<th>Column 2</th>
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<tbody>
<tr>
<td>Matters</td>
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</tr>
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</table>

1. **The tasks**
   - Do they involve holding or manipulating loads at distance from the body’s trunk?
   - Do they involve unsatisfactory bodily movement or posture, especially -
     - (a) twisting the body’s trunk?
     - (b) stooping?
     - (c) reaching upwards?
   - Do they involve excessive movement of loads, especially -
     - (a) excessive lifting or lowering distances?
     - (b) excessive carrying distances?
   - Do they involve -
     - (a) excessive pushing or pulling of loads?
     - (b) risk of sudden movement of loads?
     - (c) frequent or prolonged physical effort?
     - (d) insufficient rest or recovery periods?
     - (e) a rate of work imposed by a process?

2. **The loads**
   - Are they -
     - (a) heavy?
     - (b) bulky or unwieldy?
     - (c) difficult to grasp?
     - (d) unstable, or with contents likely to shift?
     - (e) sharp, hot or otherwise potentially damaging?

3. **The working environment**
   - Are there space constraints that prevent good posture?
   - Are there uneven, slippery or unstable floors?
   - Are there variations in level of floors or work surfaces?
   - Are there extremes of temperature or humidity?
   - Are there conditions causing ventilation problems or gusts of wind?
   - Are the lighting conditions poor?

4. **Individual capability**
   - Does the operation -
     - (a) require unusual strength or height, or other abnormal physical characteristics?
     - (b) create a hazard to persons who are pregnant or have a health problem?
     - (c) require special information or training for its safe performance?

5. **Other matters**
   - Is movement or posture hindered by personal protective equipment or by clothing?

---

**Figure 2.9 - Responsible Person to Make Preliminary Assessment of Risks**
Section 25 requires that the person responsible ensure that a further assessment is made having the matters specified in column 1 of Schedule 3 of the OSHR and the questions relating to those matters specified in column 2 of that Schedule as shown in Figure 2.9. The regulations also required to provide information and adequate training to employees.

Confined Spaces

Confined space is a high risk activity among other construction activities; it can claim loss of life if suffocation and loss of consciousness at work. In 2000, Labour Department of the HKSAR Government established a new amendment on confined space regulations that the worker(s) entering the confined space must be a valid certified worker holder who must receive confined space safety training. The confined space must be conducted risk assessment by a competent person. Specified risk must be identified before work.

“Specified risk” means a risk of -
1. serious injury to any person at work arising from a fire or explosion;
2. the loss of consciousness of any person at work arising from an increase in body temperature;
3. the loss of consciousness or asphyxiations of any person at work arising from gas, fume, vapour or the lack of oxygen;
4. the drowning of any person at work arising from an increase in the level of liquid; or
5. the asphyxiations of any person at work arising from a free flowing solid or the inability to reach a respirable environment due to entrapment by a free flowing solid.

Risk Assessment and Recommendations

When work is to be carried out in a confined space, the proprietor or contractor appoints a competent person to carry out risk assessment for work in the confined space and make recommendations on safety and health measures before undertaking the work. If there has been a significant change in the conditions of the confined space or of the work activities, or whenever there is reason to suspect that such change likely to affect the safety and health of workers working therein may occur, a competent person shall be appointed to carry out fresh assessment and make recommendations.

“Risk Assessment Report” is a written report which contains the assessment and recommendations carried out by a competent person for the work in confined space. It identifies the hazards likely to be present in the confined space, evaluates the extent of the risks arising from such hazards and, without limiting the foregoing, covers the following:
- the work method to be used and the plant and materials to be used in work activities;
- whether or not there is any hazardous gas, vapour, dust or fume present or there is any deficiency in oxygen;
- the possibility of -
  1. ingress of hazardous gas, vapour, dust or fume;
  2. sludge or other deposits being present that are liable to give off hazardous gas, vapour, dust or fume;
  3. in-rush of free flowing solid or liquid;
  4. a fire or explosion in the confined space;
  5. loss of consciousness of worker arising from an increase in body temperature;
- recommendations on the safety measures required, including whether or not the use of approved breathing apparatus is necessary;
- the period during which workers may remain safely in the confined space;
- recommendation on use of such monitoring equipment if there is a substantial likelihood of a change in the environment leading to an increase risk from the aforementioned possible hazards in the course of work.
**Safety Precautions**
Before allowing workers to enter a confined space for the first time:

- disconnect and lock out power source to mechanical equipment which is liable to cause danger inside the confined space;
- blank off pipe or supply line whose contents are liable to create a hazard;
- test to ensure absence of any hazardous gas and no deficiency of oxygen in the confined space;
- purge, cool and ventilate the confined space to ensure it is a safe workplace;
- provide adequate respirable air and effective forced ventilation inside the confined space;
- take effective steps to prevent ingress of hazardous gas, vapour, dust, fume and in-rush of free flowing solid or liquid into the confined space.

When work is being carried out in a confined space –
- ensure only certified workers enter or work in the confined space;
- ensure a person is stationed outside the confined space to maintain communication with the workers inside;
- ensure the risk assessment report and related certificate are displayed in a conspicuous place at the entrance of the confined space;
- ensure the safety precautions undertaken continue to be effective.

**Use of Personal Protective Equipment**
Ensure the person entering a confined space or remaining therein

- for underground pipework; or
- where the risk assessment report recommends;
- is wearing an approved breathing apparatus of a type that gives appropriate protection given the nature of the confined space;
- where use of breathing apparatus is required, ensure the person is also wearing a safety harness connected to a lifeline the free end of which is held by a person who is capable of pulling him out of the confined space.

**Breathing apparatus** for confined space work shall be of a type approved by the Commissioner for Labour.

**Emergency Procedures**
- formulate and implement emergency procedures to deal with any serious and imminent danger to workers inside confined space;
- provide and keep readily available in satisfactory condition sufficient supply of -
  1. approved breathing apparatus;
  2. reviving apparatus;
  3. vessels containing oxygen or air;
  4. safety harnesses and ropes;
  5. audio and visual alarm for alerting others outside confined space;
- ensure sufficient number of persons who know how to use the safety equipment are present when work is taking place in confined space.

**Provision of Information, Instructions, etc.**
- provide all confined space workers and those assisting in such work outside confined space with instructions, training and advice as are necessary to ensure safety and health of workers;
- provide all equipment necessary to ensure the safety and health of workers.
Working at Height

Working at height is a common activity in the construction activity; most of the work is working at height (Figure 2.10 and 2.11). Working at height is a high risk activity; many people have claimed the loss of life from fall at height. In 2006, there were 10 fatalities which caused to death from fall of person.

According to the Construction Sites (Safety) Regulations, Section VA, Scaffolds, Working Platforms, and Ladders, etc., the proprietor or contractor has responsibility to provide safety of places of work to the workers.

Duty of contractor responsible for construction site to ensure safety of places of work

Regulation 38A and 38 AA of the CSSR requires the contractor and other contractors to identify and rectify the hazardous conditions of persons working at a height in the construction site respectively; and to safeguard any person working at a height in the construction site against all hazardous conditions. Suitable and adequate safe access to and egress from every place of work on the site is provided and properly maintained. No person gains access to any place on the site where any hazardous conditions are present.

Prevention of Falls

Regulation 38B of the CSSR requires the contractor to take adequate steps to prevent any person on the site from falling from a height of 2 metres or more. A working platform shall be included the provision, use and maintenance. The working platform (other than a suspended working platform) shall have guard-rail, barrier, toe-board, fence, covering for an opening, gangway and/or run.

Safe means of supports

Regulation 38C of the CSSR states that where work cannot be safely done on or from the ground or from part of a permanent structure sufficient, strong suitable and properly maintained scaffolds or ladders or other means of support shall be provided, placed and kept in position for use.
Trained workmen to erect scaffold under supervision
Scaffold shall be erected on a construction site or substantially added to, altered or dismantled by trained competent person under the immediate supervision of a competent person who possess adequate experience of such work with qualification and trained under Regulations 38D of the CSSR.

Construction and maintenance of scaffolds
Scaffold should be made good construction, made of strong and sound materials, and free from patent defect. Scaffold should be fixed, secured or placed to prevent accidental displacement and properly maintained.

Inspection of scaffolds and Form 5
Scaffold shall be used on a construction site when it has been inspected by a competent person within the immediately preceding 14 days. Under exposure to weather condition likely to have affected its strength or stability or to have displaced any part, the scaffold should be examined by a competent person before use.

Regulations 38F requires a scaffold shall be used when a report has been made and signed by the competent person carrying out the inspection in the prescribed form (Form 5), containing the prescribed particulars which includes a statement to the effect that the scaffold is in safe working order.

Construction of working platforms, gangway, run, etc
Every working platform, gangway or run from which a person is liable to fall a distance of more than 2 metres shall be either closely boarded, planked or plated, or shall be a platform consisting of open metal having interstices none of which exceeds 3800 square mm in area.

Every board or plank forming part of a working platform gangway or run shall be of a thickness capable of affording adequate security having regard to the distance between the support and be not less than 200mm in width and not less than 25mm in thickness or not less than 150mm in width when the board or plank exceed 50mm in thickness. The board, plank shall not project beyond its end support to a distance exceeding 150mm unless it is sufficiently secured to prevent tipping.

Widths of working platform
Every working platform from which a person is liable to fall a distance of more than 2 metres shall be at least 400mm wide, it is used for the passage of person only. For the use of passage of materials, the width shall not less than 650mm.

Every side of a working platform, working place, gangway, run or stair being a side from which a person is liable to fall a distance of more than 2 metres shall be provided with a suitable guard-rail or guard-rails of adequate strength to a height between 900mm and 1150mm. A toe board with 200mm high shall be installed above platform for prevention of fall object.

Construction, maintenance, and use of ladders and folding step-ladders
A ladder or a folding step-ladder shall not be used unless it is of good construction, of suitable and sound materials, and of adequate strength, securely fixed to its upper resting place and rest on a level and firm ground, or a person stationed at the foot of the ladder to prevent it slipping.
Openings, corners, breaks and edges

Every opening, corner, break, edge or other dangerous place through or from which any person on the site is liable to fall a distance of more than 2 metres shall be provided with a suitable guard-rail or guard-rails of adequate strength to a heights of between 900mm and 1150mm above the surface across which persons are liable to pass to prevent the fall of persons. Or provided with a covering so constructed as to prevent the fall of persons, materials and articles, and such covering shall be clearly and boldly marked to show its purpose or be securely fixed in position.

Prevention of falls and provision of safety nets and belts

When it is impracticable to comply with any or all of the requirements of regulations relating to the fall of persons, suitable and sufficient safety nets or safety belts or other equipment of such a design shall be provided, installed and kept in position so as to prevent injury to persons. In the use of safety belt is required, a suitable and sufficient anchorage and suitable fittings shall be provided, designed and constructed to prevent serious injury in the event of a fall to any person using it. Regulation 38H refers.

Duties to wear safety belts

Regulation 38I requires every person working on a construction site who has been provided with a safety belt shall wear it and keep it attached to a secure anchorage whenever the use of the belt is necessary for his own or any other person’s safety.

Electricity Supply

Provision of electricity supply is necessary in the construction sites, most of the portable electrical equipment are required to use electrical supply. However, electricity can claim loss of life and shock if short circuit or explosion occurs, the use of electricity is governed by F&IU (Electricity) Regulation.

For the prevention of electricity hazard, it is to ensure that all apparatus and conductors shall be sufficient in size and capacity for the supply of electrical energy for prevention of electrical hazard. The live parts of apparatus shall be protected against accidental personal contact either by the design and construction of the apparatus or by the manner of its installation.

Prevent Electrical Hazard

The live conductors shall be insulated and effectively protected and safeguarded, so as to prevent electrical hazard. Every switch, switch fuse, circuit breaker and isolating link shall be constructed, placed and protected for preventing electrical hazard; it should be maintained effectual contact and insulation; and prevent from arcing; and the conductor is properly earthed.

Starting and Stopping of Electric Motors

Every electric motor shall be controlled by an effective switch or switches for starting and stopping, and the switch or switches shall be placed so as readily accessible to and easily operated by the person in charge of the motor.

Construction of Switchboard

Every switchboard shall be constructed and installed in such a way that adequate access can be obtained to any component part; the location, course and continuity of every conductor may where necessary be readily identified and traced; every conductor arranged for connexion to a separate system is located and kept apart for ready distinguished; and the bare conductor is protected against accidental short circuit liable to cause electrical hazard.
Enclosure of Bare Conductors on Switchboards
The switchboard having a bare conductor with live metal shall be adequately enclosed or fenced so as to prevent electrical hazard; no person except an authorized person or a competent person acting under the immediate supervision of an authorized person shall enter an area enclosed or fenced. A notice in red characters and letters each not less than 50mm high on a white background reading "DANGER LIVE WIRES - UNAUTHORIZED ENTRY PROHIBITED" shall be placed and displayed in a visible position at every area enclosed or fenced.

Location of Switchboard Apparatus
Apparatus and equipment used in or in connexion with a switchboard and requiring handling shall be located and installed for operation from floor level or from a working platform; all measuring instruments and indicators used in or in connexion therewith can be readily observed from floor level or from the working platform.

Precautions to be taken against Metal Becoming Live
Adequate precautions shall be taken either by earthing or other suitable means to prevent any metalwork, other than the current-carrying conductors, enclosing or supporting any such conductors, from becoming live.

Precautions to be taken when persons are Working on Apparatus
When work has to be carried out on any conductor, apparatus or switchboard, adequate precautions shall be taken, including the prevention of any conductor or apparatus becoming accidentally live, so as to ensure that the work may be carried without undue risk from electrical hazard. A notice in red characters and letters each not less than 50mm high on a white background reading "DANGER UNDER REPAIR" shall be placed and displayed in a visible position at, on or near any conductor apparatus or switchboard being worked on.

Precaution for Apparatus Utilizing High Voltage
Where necessary as a precaution against electrical hazard all apparatus operating at high voltage, shall have placed and displayed in a visible position at, on or near such apparatus a notice in red characters and letters each not less than 50mm high on a white background reading “DANGER HIGH VOLTAGE”.

Qualifications and Supervision of Persons Carrying Out Work
No person except an authorized person shall carry out or assist in the carrying out of any work on any apparatus where technical or practical knowledge or experience is required in order to avoid electrical hazard. A competent person under the immediate supervision of an authorized person may carry out or assist in such work if:

- in the case where the electrical hazard to be avoided is under the control of an electrical contractor, he is appointed by the electrical contractor; and

- in the case where the electrical hazard to be avoided is under the control of the proprietor, he is appointed by the proprietor.

Display of Notice on Treatment for Electric Shock
A notice, in the Chinese and English languages, for the treatment of persons receiving electric shock shall be displayed in conspicuous place.
Asbestos

“Asbestos” (石棉) means chrysotile and amphibole asbestos and any mixture containing any of those minerals.

Asbestos cement was commonly used in the old days; it is now banned to use asbestos materials due to the health hazards.

Section 5 of the F&IU (Asbestos) Regulation requires the proprietor shall before carrying out any asbestos work make an assessment of exposure by a trained and experience competent person.

Section 6 requires a notice of not less than 28 days to the Commissioner before carrying out work.

Hygiene and safety requirements such as washing facilities and person protective equipment (respiratory protective equipment) are required to provide to the workmen.

Cleanliness of premises and plant where work with asbestos has been completed the premises or those parts of the premises and the plant used in connection with the work are thoroughly cleaned.

Air monitoring should be conducted and record should be kept by the proprietor. Safety information, instruction and training shall be given to the workmen who carry out asbestos work.

Loose asbestos or waste should be stored, received or dispatched from any place of work, and disposal. It should be labeled in the container with a clear and visible label where label is affixed with written

“DANGER - CONTAINS ASBESTOS
DO NOT INHALE DUST
危險－載有石棉
切勿吸入塵埃
(Follow Safety Instructions)
(遵從安全指示)".
Tools / Equipment / Plant

Abrasive Wheels

Abrasive wheel is used to grind the metal surface and sharpen of chisel for cutting work. The hazard of abrasive wheel is the wheel breakage to harmful the workmen. There are several kinds of abrasive wheels in the construction industry. F&IU (Abrasive Wheels) Regulations governs the safe use of abrasive wheel.

Speed of Wheels

Regulation 5 requires that abrasive wheel having a diameter of more than 55 millimetres shall be clearly marked, in English or Chinese, on it or on its washer the maximum permissible speed in revolution per minute specified by the manufacturer of that wheel. A notice, in English and Chinese, stating the maximum permissible speed in revolution per minute for every abrasive wheel having a diameter of 55 millimetres or less shall be kept permanently fixed in the grinding room or place where grinding is carried out. Excessive operating speed is not allowed.

Regulation 6 requires a notice should be securely affixed to every power driven machine having a spindle with mounting abrasive wheel, specifying the maximum working speed for such spindle.

Mounting Guard

Regulation 7 requires abrasive wheel shall be properly mounted by a competent person who is appointed by the proprietor in writing. The abrasive wheel shall be guarded and secured to prevent any displacement.

Rest and Warning Notice

Rest for supporting a workpiece at an abrasive wheel shall be properly secured and adjusted so as to be as close as practicable, it shall be properly maintained. A warning notice, in English and Chinese, and in the form approved by the Commissioner concerning the dangers arising from the use of abrasive wheels and the precautions to be observed shall be affixed in every room or place where grinding or cutting operations are carried out.

Conditions of Floors

The floor shall be properly maintained, kept clear of loose materials and prevented from becoming slippery.

Responsibility of Employees

Regulation 16 requires that employee shall not willfully misuse or remove any guard, or willfully misuse and protective flange, machine control, governor or other device provided or any rest for a workpiece. If any defect discovers, he shall report the defect to the proprietor.

Restriction On Use of Sand and Free Silica

Regulation 3 of the F&IU (Blasting Abrasives) Special Regulations states that sand or any other material containing free silica as an abrasive in blasting process shall not be used. Provided that the person shall be provided with approved protective helmet designed to enclose the head, face and neck. Clean fresh air at a rate of not less than 170 litres (6 cubic feet) per minute shall also be supplied.
Cartridge-operated Fixing Tools

Operators to hold Certificates of Competency
The cartridge-operated fixing tool is used to fix the object to the concrete structure. It is a driven type portable machine; the misuse of this fixing tool would lead to person death due to the rebound of the cartridge nail. The operator uses the cartridge-operated fixing tools must hold a certificate of competency (Regulation 11 of the F&IU (Cartridge-operated Fixing Tools refer).

Lighting and Safe Place of Work
Regulation 12 requires the place where the tool is used shall be suitably lit to secure the operator’s safety and have a firm foot-hold. Tool shall not be used in an atmosphere containing flammable vapours, flammable gases or explosive dusts. Suitable protection equipment shall be provided and maintained in good condition for the use of every operator.

Gas Welding and Flame Cutting
Gas welding and flame cutting can cause fire hazard and health hazard. The leak of gas from cylinder would have potential to cause explosion, the radiation from heat would cause eye injury and skin burning, fume from galvanized zinc metal also would cause the respiratory organ problem.

The operator who carries out gas welding and flame cutting work should hold valid certificate from gas welding and flame cutting training under the F&IU (Gas Welding and Flame cutting) Regulation. Hot work permit is strongly recommended to be issued before carrying out work.

Woodworking Machinery – Circular Saw
Circular saw is commonly used in the construction site; it is used for cutting plywood and timber joist to size to suit the formwork mould installation. F&IU (Woodworking Machinery) Regulations cover the use of this portable hand-tool in which converts into as bench saw table for cutting work. Regulation 4 of the F&IU (Woodworking Machinery) Regulations required that a woodworking machine shall be provided with an efficient stopping and starting appliance, and the control of this appliance shall be readily and conveniently operated by the person in charge of the machine.

Sufficient clear and unobstructed space shall be maintained at a woodworking machine while in motion to enable the work to be carried on without unnecessary risk. The floor surrounding a woodworking machine shall be maintained in good and level condition, free from chips and other loose material, and shall not be allowed to become slippery.

Lighting
Regulation 7 requires adequate lighting shall be provided for a woodworking machine. Artificial lighting shall be placed or shaded so as to prevent direct rays of light from impinging on the eyes of the operator while he is operating such machine.

Illumination will be provided with no less than 160 lux of light of working area.

Woodworking machine shall not be worked in any underground room. A trained person to work a woodworking machine shall be fully and carefully instructed as to the dangers arising in connexion with such machine and the precautions to be observed.
Fence of Circular Saw
Regulation 10 requires a circular saw below the bench table shall be protected by fencing it with 2 plates of metal or other suitable material, one on each side of the saw and such plates shall not be more than 150 millimetres apart and shall extend from the axis of the saw outwards to a distance of not less than 50 millimetres beyond the teeth of the saw.

The top of a circular saw shall be covered by a strong and easily adjustable guard, with a flange at the side of the saw furthest from the fence.

Push-stick
Regulation 11 requires a suitable push-stick shall be kept available for use at the bench of a circular saw that is fed by hand so as to enable the work to be carried on without unnecessary risk.

Maintenance
All tools, blades and cutting instruments of a woodworking machine and other machines operating on wood shall be kept free from patent defect; properly maintained; kept clean; properly ground, sharpened and set.

The guards and other appliances required under these regulations shall be maintained in an efficient state; constantly kept in position when the machine is in motion, except when, owing to the nature of the work being done, the use of the guards or appliances is rendered impracticable; so adjusted as to enable the work to be carried on without unnecessary risk.

Hoist
Hoist is used for raising and lowering materials, it is governed under the Construction Sites (Safety) Regulations - Part IV – Special Provisions to Hoists.

Safety of Hoistways, Platform and Cages
Regulation 31 requires that the hoistway of a hoist where access is provided shall be efficiently protected by a substantial enclosure. The hoistway at which persons are in danger of being struck by a moving part of the hoist shall also be efficiently protected by a substantial enclosure. The enclosure of a hoistway shall be fitted with secure gates at points for access to and egress from a hoist. The enclosure and gate of a hoistway shall extend to a height of at least 2 metres. It shall be kept close when loading or unloading is not in progress.

Efficient devices shall be provided and maintained to support the platform or cage of a hoist and its safe working load in the event of the failure of the hoist rope(s) or any of the hoisting gear (Regulation 31 (3)). One or more efficient automatic devices shall be provided and maintained to prevent the platform or cage of a hoist from overturning the highest point of travel.

Operation of Hoists
A hoist shall be constructed that it can be operated only from one position at any one time. Effective signaling arrangements shall be made if a hoist operator has no clear and unrestricted view of a hoist’s carriage, platform or cage throughout its travel.

Safety Working Load and Marking of Hoists
The safe working load of a hoist shall be clearly and legibly marked on its platform or cage. A clear and legible notice stating that the carriage of persons is prohibited is displayed and affixed to the platform or cage of a hoist.
Test and Examination of Hoists
Regulations 35 requires a hoist shall be tested and thoroughly examined by a competent examiner before use. It shall be re-tested and re-examined by a competent examiner after substantial alternation or repair. A certificate (Form 2) and a report (Form 3) in respect of the test and thorough examination of a hoist shall be obtained from the competent examiner in the approved form which includes a statement to the effect that the hoist is in a safe working condition. No hoist shall be allowed to use unless it had been thoroughly examined by a competent person during the preceding 6 months.

Mechanical Plant (Loadshifting Machinery)

Mechanical plant is commonly used on site, such as excavator (commonly called backhoe); loader; dumper; truck; lorry; compacter; bulldozer; grader; locomotive; scraper; and fork-lift truck etc. “Strike by” and “overturning” are the hazards of mechanical plant operation when manoeuvring.

The mechanical plant is called loadshifting machine which is a power-driven mobile machine and operated by a person riding on the machine. The mechanical plant is governed by Factories and Industrial Undertakings (Loadshifting Machinery) Regulation. The Regulation requires that a person who operates a loadshifting machine must have attained the age of 18 years and holds a valid certificate applicable to the type of loadshifting machine.

Regulation 45 of the CSSR also requires mechanical equipment shall be operated only by a workman who is trained and competent to operate it. Person under 18 years of age shall not be allowed to operate mechanical equipment or to give signals to the operator.

Guarding and Operation of Machinery

Portable machinery, hand-tools and mechanical plant is required to provide guards /protective covers for protection of worker again injury of the work. F&IU (Guarding and Operation of Machinery) Regulations refers. The most common machinery used in the construction sites can extend to boring rig, piling rig, circular saw, portable cutting saw, drilling machine, they will cause bodily injury to the workers.

Starting and Stopping Devices
Regulation 8 requires machinery or plant shall be equipped with an efficient starting and stopping device and the controls of the device shall be designed and constructed with proper regard to the safe working of the machinery or plant and so placed as to be readily and conveniently operated.

Push Button Controls to have Emergency Stopping Devices
Machinery or plant driven by two or more motors with separate push button controls shall be equipped with one or more overriding stopping devices by means of which the machinery or plant can be stopped forthwith and, where there is more than one stopping device, such devices shall be so spaced as to enable their effective and convenient operation.

Parts of Machinery or Plant to Which these Regulations Do Not Apply including:
- Circular saw, the blade
- Every flywheel and moving part of any prime mover
- Every part of transmission machinery
- Every dangerous part of other machinery (whether or not driven by a prime mover)
- Abrasive wheel
Fencing of Machinery
Regulation 44 of the CSSR requires every flywheel and moving part of a prime mover shall be secured fenced. In addition, every part of transmission machinery or dangerous part of machinery shall be securely fenced.

General Requirements of OSH in Construction Sites

Access Ladder
Section 7 (4) of the F&IUO requires that every fixed access ladder rising 3 metres or more from the lower platform or ground level to the top of rung shall be fitted with suitable safety hoops, the spacing of which shall be at intervals not exceeding 1 metre. A suitable landing place or rest platform shall be provided at an interval of not greater than 9 metres along fixed access ladder. The landing place or rest place or rest platform shall be provided with a suitable guard-rail or guard-rails of adequate strength to a height of between 900 millimetres and 1150 millimetres. This is applicable to tower crane; pile driven rig; vertical shaft for underground work and elevated building structure above elevated ground; and cement silo at concrete mixing plant.

Working in the Tunnel or Quarry Operation
A register of persons employed to work underground in mines, quarries and industrial undertaking involving tunneling operations shall be maintained.

Persons permitted to commence underground must be engaged to examine medical check by a medical practitioner in accordance with Regulation 6C (3) of the F&IUR.

Regulation 16 (A) and (B) of the F&IUR requires that persons who work in mining, quarries and tunneling operations shall have medical examination and registration.

Safety Helmets
Every person entering construction site must wear safety hardness. Regulation 48 of CSSR requires suitable safety helmet shall be provided for every workman employed and no workman remains on a site unless he is wearing a suitable safety helmet.

Notification of Construction Work
A contractor shall notify the Commissioner in writing within seven days after the commencement of any construction work and after the date of completion of the construction work subject to the Regulation 56 and 58 of the CSSR.

Notification should not be required if
- the work takes less than six weeks to complete or the work is additional to any other construction work on the same site for which the Commissioner has been notified; or
- not more than 10 workmen are or will be employed on the work at any one time.
Prevention of Drowning

Regulation 52A of the CSSR requires to provide suitable rescue equipment against risk of drowning. Measure shall be taken for the prompt rescue of any workman in danger of drowning. Secure fencing shall also be provided.

Maintenance of Fire Escape and Fire-fighting Equipment Appliance

Regulation 54 of the CSSR requires all means of escape in case of fire and all fire-fighting appliances shall be maintained in good condition and free from obstruction.

Keeping Records

Regulation 67 of the CSSR requires the certificates and reports in respect of any test, inspection or examination of any plant, scaffold, excavation or earthwork shall be kept at every site and shall be made available for inspection at any reasonable time.

The certificates and reports may be destroyed or disposal of after the expiration of 6 years from the date on which they were received.

Environment

Noise at Work

Noise may be defined as sound undesired by the recipients. Besides being nuisances, noise may damage ear hearing. Short exposure to extremely loud noise, such as an explosion, can result in instant deafness through rupture of the ear drum. Regular exposure to high noise level over a long period of time may result in destruction of certain inner ear cells and a loss of hearing which is permanent and incurable.

For industrial noise measurement, measurement of noise level is expressed in “decibels weighted on the A-scale or dB(A)”.

There are three action levels of noise:

- “First action level” – a daily personal noise exposure (LEp,d) of 85 dB(A)
- “Second action level” – a daily personal noise exposure (LEp,d) of 90 dB(A)
- “Peak action level” – a peak sound level of 140 dB or peak sound persevere of 200Pa.

Assessment of Noise Exposure

Regulation 3(1) of the F&IU (Noise at Work) Regulations required proprietor to arrange for a noise assessment wherever an employee is likely to be exposed at or above the first or peak action level.

The assessment will need for

- Identify all employees likely to be so exposed; and
- Provide enough information to enable appropriate action to be taken.

In deciding whether an assessment is needed, as a rough guide, the first action level might be reached and an assessment of LEp,d will be needed wherever people have to about to be heard or have a difficulty being heard clearly by some about 2 metres away, or they had it difficult to talk to each other.
A noise assessment report under this regulation should be submitted to the Labour Department for record. The following noisy machinery commonly used in construction industry is:

- Abrasive cutting machinery
- Circular cutting machinery
- Extruding and granulating machinery
- Glass grinding machinery
- Marble cutting machinery
- Metal grinding machinery
- Percussive piling driving machinery
- Rock/concrete breaking machinery
- Screw/nail making machinery
- Woodworking machinery (such as circular saw, planning machine, spindle moulding machine, thicknessing machine).

Striking formwork and compaction of concrete also create unpleasant noise to the workmen and neighbourhood.

**Competent persons**

Noise assessments have to be made by a competent person who has received training and experience in carrying out noise assessment. Regulation 3 (4) requires the proprietor to send a copy of the assessment report to the Labour Department within 28 days after completing as assessment.

**Ear Protection Zones**

The ear protection zone does not apply to construction sites; Regulation 5 requires control of noisy machinery in construction sites by means of specifying the distance for noisy machines or tools.

When employees are exposed to hazardous machinery noise but where it is not practicable to demarcate ear protection zones, the proprietor is required to:

- appoint a competent person to carrying out a noise assessment to specify a distance within which an employee is at risk of damage to his hearing unless a suitable approved ear protector is worn;
- attach a sign or label to the machine or tool requiring that a suitable approved ear protector be worn by every employee who operates or assists in the operation of the machine or tool within the specified distance; and
- ensure that every employee wears suitable approved ear protector.

Section 6 requires the proprietor to provide suitable approval ear protection to employees when employees are exposed between the first and second action levels. Section 6(1) requires the proprietors to provide suitable approved ear protectors to employees who request for them. Regulation 6 (3) requires the proprietor to provide suitable ear protectors to all employees likely to be exposed at or above the second or peak action level. Under Regulation 9 (1), the proprietor has to ensure these ear protectors are properly used.

Regulation 8 requires the reduction of noise exposure. Noise reduction programme should:

- identify the noise sources;
- identify practicable steps to reduce noise level by engineering means;
- establish priorities for action;
- ensure that action is taken;
- Reassess noise exposure.
Regulation 10 requires the proprietor to provide information, instruction and training to employees when employees are likely to be exposed at or above any action level. The provision of information includes

- the likely noise exposure and the risk to hearing that may arise;
- how to report defects in approved ear protectors;
- what the employee should do to minimize the risk;
- the employee’s duties under the regulation.

**Housekeeping**

Housekeeping covers the area extending to site office, material storage, safe means of access, etc. Poor housekeeping can pose hidden hazard such as tripping, slipping, fall from through opening and fall materials.

*Materials Kept on Construction Sites*

Regulation 52 of the CSSR requires passageway shall be kept clear of any loose materials which are not required for immediate use. Materials stored on a site shall be securely stacked or avoid of overloading.

*Material should not be stored more than 1.5 metres in height*

Heavy materials and large materials are placed in the lower level. Weight of the materials should be assessed and avoid to pose manual handling hazard.

*Maintain a Clear Access*

Demarcation zone is preferable to locate in such a way that the material can easily be identified and taken away in safe manner. Maintain a clear access with minimum 900 mm width at any time. If access can not be provided in safe manner, it should be fenced off to maintain safe means of access.

**Lighting**

Lighting can provide wellbeing of the workers in the work environment. Lighting can be available either by natural or artificial or both of them. Before the building being covered-up, natural light is sufficient enough for providing a comfortable light for work. After the structure has been covered-up and the workplace become dark, artificial lighting is required.

*Lighting Affects Health and Safety*

Insufficient illuminance or lighting in the workplace can pose health and safety hazards to the workers, such as tripping; slipping; trapping from fall of floor opening; step onto projected nails; contusion; difficult to read gauges and displays accurately and machine operation to see safe distance, affect worker(s) behavior and performance at work. Over-lighting or over-illuminances can cause visual fatigue and discomfort; so that Regulation 51 of the CSSR requires that suitable and adequate lighting is required to provide in securing worker’s safety.

Regulation 7 of the F&IU (Woodworking Machinery) Regulations also requires that adequate lighting shall be provided for a woodworking machine. Artificial lighting shall be placed or shaded so as to prevent direct rays of light from impinging on the eyes of the operator while he is operating such machine. Illumination will be provided with no less than 160 lux of light of working area.
Lighting Recommendations

HSE defines the average illuminances and minimum measures illuminances for different types of work.

<table>
<thead>
<tr>
<th>Activity</th>
<th>Typical Locations/ Types of Work</th>
<th>Average Illuminance Lux (Lx)</th>
<th>Minimum Measures Illuminance Lux (lx)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Movement of people, machines and vehicles</td>
<td>Lorry parks, corridors, circulation routes</td>
<td>20</td>
<td>5</td>
</tr>
<tr>
<td>Movement of people, machines and vehicles, in hazardous area:</td>
<td>Construction site clearance, excavation and soil work, loading bays, bottling and canning plants</td>
<td>50</td>
<td>20</td>
</tr>
<tr>
<td>Rough work not requiring any perception of detail</td>
<td>Work assembling large components</td>
<td>100</td>
<td>50</td>
</tr>
<tr>
<td>Work requiring limited perception of detail</td>
<td>Offices, sheet metal work</td>
<td>200</td>
<td>100</td>
</tr>
</tbody>
</table>

Materials

Chemical materials and dangerous goods will be used in the construction activity. These chemical material and dangerous goods will create hazards to workers health and workplace safety. In addition, some of chemical materials and dangerous goods storage are governed by the F&IU Regulation and Dangerous Goods Ordinance. Storage of dangerous goods shall have exempted quantity used in the workplace. When dangerous goods are used on site such as oxygen and acetylene cylinders used for cutting and gas welding work, if the said quantities exceeded the specified exempted quantity requirement, the contractor or proprietor is required to apply Dangerous Goods Store License Permit under the Fire Services Department.

Flame cutting and gas welding work are commonly application in the construction sites. The welding of the steel structures in terms of bridge construction, lateral support installation to sheet pile and U channel requires to welding for joint connection work. Gas welding and flame cutting to members of the temporary steel structure or support are the daily routine work for engineering construction projects.

Hazards of Flame cutting and Gas welding, and dangerous goods

- Fire explosion
- Eyes problem
- Respiratory organ problem due to inhalation of fumes, vapours, dusts
- Skin Burning
- Heat stress
The following information showing the common use of Chemical materials and dangerous goods used in the construction works in connection with the safety and health issue for reference.

**Dangerous Substances**

Chemical substances are often used in construction process or operation. By nature many chemical substances are dangerous because of their flammability or corrosity. Improper use, handling or labeling of these substances is very likely to expose workers to the risks associated with them.

It is necessary to observe the safety measures and label their Dangerous substances properly with the standard risk symbols that correspond to their associated hazards.

- Container holding dangerous substances to be labeled

- Every container holding dangerous substances is labeled in English and Chinese with in the form prescribed by the Second Scheduled of the F&IU (Dangerous Substances) Regulations. The particular in the label can be read easily. The particulars are shown the name of the chemical substances and their classification with symbol, particular risks and safety precautions.

- The particulars for a dangerous mixture containing more than 1% by weight of any toxic substances is required to specify the trade name of that mixture and exceeds 0.2% by weight of the mixture. If the dangerous mixture containing more than 10% by weight of any harmful substance or substances shall be the trade name of that mixture and the particular that exceeds 1% by weight of the mixture.

- Safety information, training, and precautions.
  Adequate information should be made available to every employee who works with or likely to come into contact with a dangerous substance.

  Training and instruction health hazards associated with exposure to that substance should be given to the employee relating to the substances used. Protective clothing and equipment is required to provide to the employee.

**Listed Substances**

A reference in this Schedule to a concentration range of a substance is a reference to that substance in aqueous solution, the concentration being calculated on a weight to weight basis. An entry that refers to a salt without specifying a particular form of the salt refers to both the hydrated and anhydrous forms of that salt, if any.
<table>
<thead>
<tr>
<th>Name of Substance</th>
<th>名稱</th>
<th>2. Classification</th>
<th>3. Particular Risks</th>
<th>4. Safety Precautions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acetone</td>
<td>丙酮</td>
<td>Flammable</td>
<td>易燃</td>
<td>11</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6/8, 13, 19, 27</td>
</tr>
<tr>
<td>Acetylene; Ethyne</td>
<td>乙炔</td>
<td>Flammable</td>
<td>易燃</td>
<td>5, 6, 11</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>8, 13, 27</td>
</tr>
<tr>
<td>Benzene</td>
<td>苯</td>
<td>Flammable</td>
<td>易燃及有毒</td>
<td>11, 23/24, 39, 45</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6/8, 10, 13, 20, 25</td>
</tr>
<tr>
<td>Carbon Tetrachloride</td>
<td>四氯化碳</td>
<td>Toxic</td>
<td>有毒</td>
<td>26/27</td>
</tr>
<tr>
<td>Tetrachloromethane</td>
<td>四氯甲烷</td>
<td></td>
<td></td>
<td>10, 20, 32, 39</td>
</tr>
<tr>
<td>Chlorine</td>
<td>氯</td>
<td>Toxic</td>
<td>有毒</td>
<td>23, 36/37/38</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6/8, 19, 38</td>
</tr>
<tr>
<td>Ethyl Acetate</td>
<td>醋酸乙酯</td>
<td>Flammable</td>
<td>易燃</td>
<td>11</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6/8, 13, 19, 25, 27</td>
</tr>
<tr>
<td>Ethyl Alcohol; Ethanol</td>
<td>乙醇</td>
<td>Flammable</td>
<td>易燃</td>
<td>11</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6/8, 13</td>
</tr>
<tr>
<td>Hydrochloric Acid, at least 10% but not more than 25%</td>
<td>鹽酸，不少</td>
<td>Irritant</td>
<td>刺激性</td>
<td>36, 38</td>
</tr>
<tr>
<td>Hydrochloric Acid, more than 25%</td>
<td>鹽酸，超過25%</td>
<td>Corrosive</td>
<td>腐蝕性</td>
<td>34, 37</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>22, 24</td>
</tr>
<tr>
<td>Hydrogen</td>
<td>氫</td>
<td>Flammable</td>
<td>易燃</td>
<td>11</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6/8, 13</td>
</tr>
<tr>
<td>Methane</td>
<td>甲烷</td>
<td>Flammable</td>
<td>易燃</td>
<td>11</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6/8, 13, 27</td>
</tr>
<tr>
<td>Turpentine</td>
<td>松節油</td>
<td>Flammable</td>
<td>易燃及有害</td>
<td>10, 20/21/22</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>13</td>
</tr>
<tr>
<td>Zinc Chloride</td>
<td>氯化鋅</td>
<td>Corrosive</td>
<td>腐蝕性</td>
<td>34</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6/7, 20/21, 24</td>
</tr>
<tr>
<td>Zinc Dust; Zinc Powder</td>
<td>鋅粉末</td>
<td>Flammable</td>
<td>易燃</td>
<td>10, 15</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6/7, 13, 37</td>
</tr>
<tr>
<td>Zinc Dust; Zinc Powder (Pyrophoric)</td>
<td>鋅粉末(引火性)</td>
<td>Flammable</td>
<td>易燃</td>
<td>15, 17</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6/7, 13, 37</td>
</tr>
</tbody>
</table>
### SYMBOLS 符號

**Prescribed Form of Label** 限定標籤格式

<table>
<thead>
<tr>
<th>Classification</th>
<th>Symbol</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Explosive</td>
<td><img src="image" alt="Explosive Symbol" /></td>
<td>Black image on Orange Background</td>
</tr>
<tr>
<td>Oxidizing</td>
<td><img src="image" alt="Oxidizing Symbol" /></td>
<td>Black image on Yellow Background</td>
</tr>
<tr>
<td>Flammable</td>
<td><img src="image" alt="Flammable Symbol" /></td>
<td>Black image on Red Background</td>
</tr>
<tr>
<td>Toxic</td>
<td><img src="image" alt="Toxic Symbol" /></td>
<td></td>
</tr>
<tr>
<td>Harmful</td>
<td><img src="image" alt="Harmful Symbol" /></td>
<td></td>
</tr>
<tr>
<td>Corrosive</td>
<td><img src="image" alt="Corrosive Symbol" /></td>
<td></td>
</tr>
<tr>
<td>Irritant</td>
<td><img src="image" alt="Irritant Symbol" /></td>
<td></td>
</tr>
</tbody>
</table>
Note:
The following has been extracted from the List of Particular Risks and List of the Safety precautions,
for more details, please refer to the Factories & Industrial Undertakings (Dangerous Substances)
Regulations and Dangerous Goods Regulations.

List of Particular Risks
危險情況列表

<table>
<thead>
<tr>
<th>Single risks</th>
<th>危險情況</th>
</tr>
</thead>
<tbody>
<tr>
<td>5. Heating may cause an explosion</td>
<td>加熱可能引起爆炸</td>
</tr>
<tr>
<td>6. Explosive with or without contact with air</td>
<td>不論是否與空氣接觸都容易爆炸</td>
</tr>
<tr>
<td>10. Flammable</td>
<td>易燃</td>
</tr>
<tr>
<td>11. Highly flammable</td>
<td>高度易燃</td>
</tr>
<tr>
<td>12. Extremely flammable</td>
<td>極度易燃</td>
</tr>
<tr>
<td>15. Contact with water liberates highly flammable gases</td>
<td>遇水即放出高度易燃氣體</td>
</tr>
<tr>
<td>17. Spontaneously flammable in air</td>
<td>在空氣中會自動燃燒</td>
</tr>
<tr>
<td>18. In use, may form flammable/explosive vapour-air mixture</td>
<td>使用時，可能產生易燃/爆炸性氣體及空氣混合氣體</td>
</tr>
<tr>
<td>23. Toxic by inhalation</td>
<td>吸入後會中毒</td>
</tr>
<tr>
<td>34. Causes burns</td>
<td>引致灼傷</td>
</tr>
<tr>
<td>36. Irritating to eyes</td>
<td>刺激眼睛</td>
</tr>
<tr>
<td>37. Irritating to respiratory system</td>
<td>刺激呼吸系統</td>
</tr>
<tr>
<td>38. Irritating to skin</td>
<td>刺激皮膚</td>
</tr>
<tr>
<td>39. Dangers of very serious irreversible effects</td>
<td>有對人體造成非常嚴重及永不復原的損害的危險</td>
</tr>
<tr>
<td>45. May cause cancer</td>
<td>可能引致癌症</td>
</tr>
<tr>
<td>23/24. Toxic by inhalation and in contact with skin</td>
<td>吸入或沾及皮膚後會中毒</td>
</tr>
<tr>
<td>26/27. Very toxic by inhalation and in contact with skin</td>
<td>吸入或沾及皮膚後會中毒</td>
</tr>
<tr>
<td>36/37. Irritating to eyes and respiratory system</td>
<td>刺激眼睛及呼吸系統</td>
</tr>
<tr>
<td>36/37/38. Irritating to eyes, respiratory system and skin</td>
<td>刺激眼睛、呼吸系統及皮膚</td>
</tr>
</tbody>
</table>
### List of Safety Precautions

| 8. | Keep container in a well ventilated place | 容器必須放在通風的地方 |
| 10. | Keep away from food, drink and animal feeding stuffs | 切勿放進食物、飲品及動物飼料 |
| 13. | Keep away from sources of ignition - No Smoking | 切勿近火─不准吸煙 |
| 19. | Do not breathe gas/fumes/vapour/spray (appropriate wording to be specified) | 切勿吸入氣體/ 煙霧/ 蒸氣/ 噴霧 (須指定適當字眼) |
| 20. | Avoid contact with skin | 避免沾及皮膚 |
| 22. | In case of contact with eyes, rinse immediately with plenty of water and seek medical advice | 如沾及眼睛，立即用大量清水清洗，並盡快就醫診治 |
| 24. | After contact with skin, wash immediately with plenty of... (to be specified) | 沾及皮膚後，立即用大量... (須予指定)來清洗 |
| 25. | Do not empty into drains | 切勿倒入水渠 |
| 27. | Take precautionary measures against static discharges | 採取措施，防止靜電發生 |
| 32. | In case of insufficient ventilation, wear suitable respiratory equipment | 如通風不足，則須配戴適當的呼吸器 |
| 37. | In case of fire, use... (indicate in the space the precise type of fire-fighting equipment; if water increases the risk, add - “Never use water”) | 遇到火警時，使用... (在空位上註明應該使用何種滅火設備；如果用水會增加危險，加註─ “切勿用水”) |
| 38. | If you feel unwell, seek medical advice (show the label where possible) | 如感不適，應就醫診治(可能的話，出示有關標籤) |
| 39. | In case of accident or if you feel unwell, seek medical advice immediately (show the label where possible) | 遇到意外或感到不適時，立即就醫診治(可能的話，出示有關標籤) |
| /6/8. | Keep container tightly closed, in a cool well ventilated place | 容器必須蓋緊，存放在陰涼通風的地方 |
| 6/7. | Keep container tightly closed and dry | 容器必須蓋緊，保持乾燥 |
| 6/8. | Keep container tightly closed and in a well ventilated place | 容器必須蓋緊，並存放在通風的地方 |
| 20/21. | Avoid contact with skin and eyes | 避免沾及皮膚和眼睛 |
Dangerous Goods

Section 3 of the Dangerous Good Ordinance 1983 declares the following to be “Dangerous Goods”:

“All explosives, compressed gases, petroleum and other substances given off inflammable vapours, substances giving off poisonous gas or vapour, corrosive substances, substances which become dangerous by interaction with water or air, substances liable to spontaneous combustion or of a readily combustible nature”.

In the Dangerous Goods (Classification) Regulations dangerous goods are listed into the following categories:

<table>
<thead>
<tr>
<th>Category 1</th>
<th>Explosives</th>
<th>The Authority is the Commissioner of Mines</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Category 2</strong></td>
<td>Compressed Gases</td>
<td>Cl.1 Permanent Gases</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Cl.2 Liquefied Gases</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Cl.3 Dissolved Gases</td>
</tr>
<tr>
<td><strong>Category 3</strong></td>
<td>Corrosive Substances</td>
<td>Cl.1 Substances giving off poisonous gas or vapour</td>
</tr>
<tr>
<td><strong>Category 4</strong></td>
<td>Poisonous Substances</td>
<td>Cl.2 Certain other poisonous substances</td>
</tr>
<tr>
<td><strong>Category 5</strong></td>
<td>Substances giving off inflammable vapours</td>
<td>Cl.1 flash point below 23 degree C</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Cl.2 flash point of or exceeding 23 degree C but not exceeding 60 degree C</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Cl.3 flash point of or exceeding 66 degree C (applicable to diesel oils, furnace oil and other fuel oils only)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Div.1 immiscible with water (applicable to Class 1 &amp; 2 only)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Div.2 miscible with water (applicable to Class 1 &amp; 2 only)</td>
</tr>
<tr>
<td><strong>Category 6</strong></td>
<td>Substances which become dangerous by interaction with water</td>
<td></td>
</tr>
<tr>
<td><strong>Category 7</strong></td>
<td>Strong supporters of combustion</td>
<td></td>
</tr>
<tr>
<td><strong>Category 8</strong></td>
<td>Readily combustible substances</td>
<td></td>
</tr>
<tr>
<td><strong>Category 9</strong></td>
<td>Substances liable to spontaneous combustions</td>
<td></td>
</tr>
<tr>
<td><strong>Category 9A</strong></td>
<td>Combustible goods exempted from Sections 6 to 11 of the Ordinance</td>
<td></td>
</tr>
<tr>
<td><strong>Category 10</strong></td>
<td>Other dangerous substances</td>
<td></td>
</tr>
</tbody>
</table>
## Dangerous Goods Commonly Used in Construction Sites

<table>
<thead>
<tr>
<th>Name</th>
<th>名稱</th>
<th>Category</th>
<th>Exempted quantity</th>
<th>Label 標籤</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acetone</td>
<td>丙酮</td>
<td>Cat.5 Cl.1 Div.2</td>
<td>20 l</td>
<td>B1</td>
</tr>
<tr>
<td>Acetylene</td>
<td>乙炔</td>
<td>Cat.2 Cl.3</td>
<td>2 cylinders</td>
<td>B3</td>
</tr>
<tr>
<td>Air (Compressor)</td>
<td>空氣(壓縮)</td>
<td>Cat.2 Cl.1</td>
<td>2 cylinders</td>
<td>-</td>
</tr>
<tr>
<td>Argon</td>
<td>氩</td>
<td>Cat.2 Cl.1</td>
<td>1 cylinders</td>
<td>-</td>
</tr>
<tr>
<td>Battery Fluid (electrolyte)</td>
<td>電池水 (電解液)</td>
<td>Cat.3</td>
<td>25 l</td>
<td>E</td>
</tr>
<tr>
<td>(a) Sulphuric Acid of Strength not exceeding 50% by weight</td>
<td>(甲)硫酸濃度不超過50%</td>
<td>Cat.3</td>
<td>10 l</td>
<td>E</td>
</tr>
<tr>
<td>(b) Sulphuric Acid of Strength exceeding 50% by weight</td>
<td>(乙)硫酸濃度超過50%</td>
<td>Cat.3</td>
<td>30 l</td>
<td>E</td>
</tr>
<tr>
<td>(c) Solutions of Potassium Hydroxide (Caustic Potash)</td>
<td>氫氧化鈾溶液</td>
<td>Cat.5 Cl.1 Div.1</td>
<td>20 l</td>
<td>B1</td>
</tr>
<tr>
<td>Benzene (petroleum spirit)</td>
<td>汽油</td>
<td>Cat.5 Cl.1 Div.1</td>
<td>20 l</td>
<td>B1</td>
</tr>
<tr>
<td>Brake fluid</td>
<td>制動機油</td>
<td>Cat.5 Cl.1 Div.2</td>
<td>20 l</td>
<td>B1</td>
</tr>
<tr>
<td>Carbon Dioxide</td>
<td>二氧化碳</td>
<td>Cat.2 Cl.2</td>
<td>1 cylinder</td>
<td>-</td>
</tr>
<tr>
<td>Carbon Monoxide</td>
<td>一氧化碳</td>
<td>Cat.2 Cl.1</td>
<td>Nil</td>
<td>B3 and D1</td>
</tr>
<tr>
<td>Cement, Liquid (adhesive)</td>
<td>液體接合劑</td>
<td>Cat.5 Cl.1 Div.1</td>
<td>Nil</td>
<td>B1 and B2</td>
</tr>
<tr>
<td>Chlorine</td>
<td>氫</td>
<td>Cat.2 Cl.2</td>
<td>Nil</td>
<td>D1</td>
</tr>
<tr>
<td>Diesel Oil</td>
<td>柴油</td>
<td>Cat.5 Cl.1</td>
<td>2500 l</td>
<td>-</td>
</tr>
<tr>
<td>Ethyl Alcohol</td>
<td>乙醇</td>
<td>Cat.5 Cl.1 Div.2</td>
<td>20 l</td>
<td>B1</td>
</tr>
<tr>
<td>Hydrochloric Acid, Anhydrous</td>
<td>無水氯氣酸</td>
<td>Cat.2 Cl.3</td>
<td>Nil</td>
<td>D</td>
</tr>
<tr>
<td>Kerosene (Aviation, Turbine, Fuel, Paraffin)</td>
<td>火水(飛機用之輪機頭燃料，石膩油)</td>
<td>Cat.5 Cl.2 Div.1</td>
<td>20 l</td>
<td>B2</td>
</tr>
<tr>
<td>Lacquers</td>
<td>織維漆</td>
<td>Cat.5 Cl.1 Div.1</td>
<td>250 l</td>
<td>B1 or B2</td>
</tr>
<tr>
<td>Lacquer Thinners</td>
<td>漆稀釋用溶劑</td>
<td>Cat.5 Cl.1 Div.1</td>
<td>20 l</td>
<td>B1</td>
</tr>
<tr>
<td>Name</td>
<td>名稱</td>
<td>Category</td>
<td>Exempted quantity</td>
<td>Label</td>
</tr>
<tr>
<td>-----------------------------</td>
<td>----------------------------</td>
<td>----------</td>
<td>-------------------</td>
<td>---------</td>
</tr>
<tr>
<td>Methane</td>
<td>甲烷</td>
<td>Cat.2 Cl.1</td>
<td>1 cylinder</td>
<td>B3</td>
</tr>
<tr>
<td>Nitrogen</td>
<td>氮</td>
<td>Cat.2 Cl.1</td>
<td>1 cylinder</td>
<td>-</td>
</tr>
<tr>
<td>Oxygen</td>
<td>氧</td>
<td>Cat.2 Cl.1</td>
<td>2 cylinder</td>
<td></td>
</tr>
<tr>
<td>Paint, Lacquers &amp; Varnish</td>
<td>漆油，纖維漆、假漆</td>
<td>Cat.5 Cl.1 Div.1</td>
<td>Not exceeding an aggregate of 250 l</td>
<td>B1 or B2</td>
</tr>
<tr>
<td>Paint, thinner</td>
<td>塗料稀釋劑</td>
<td>Cat.5 Cl.1 Div.1</td>
<td>20 l</td>
<td>B1 or B2</td>
</tr>
<tr>
<td>Petroleum (Crude)</td>
<td>原油</td>
<td>Cat.5 Cl.1 Div.1</td>
<td>20 l</td>
<td>B1 or B2</td>
</tr>
<tr>
<td>Toluene</td>
<td>甲苯</td>
<td>Cat.5 Cl.1 Div.1</td>
<td>20 l</td>
<td>B1</td>
</tr>
<tr>
<td>Turpentine and Substitutes</td>
<td>松節油及其代品</td>
<td>Cat.5 Cl.2 Div.1</td>
<td>20 l</td>
<td>-</td>
</tr>
<tr>
<td>Zinc Powder or Dust</td>
<td>鋅粉或塵</td>
<td>Cat.6</td>
<td>10 Kg.</td>
<td>H</td>
</tr>
</tbody>
</table>

Fire Protection Notice No. 4 of Dangerous Goods General

![Label B1](image1.png) ![Label B2](image2.png) ![Label B3](image3.png)

![Label D](image4.png) ![Label D1](image5.png) ![Label E](image6.png) ![Label H](image7.png)
Personnel Protective Equipment

Protection of Eyes

The eyes protection is to protect workmen free from eye injury in the course of installation, construction, operation and maintenance.3

Regulation 43 of the CSSR requires suitable goggles or effective screen shall be provided for workmen engaged in any process which may produce particles or dust liable to enter or damage the eyes. The contractor shall ensure that suitable goggle or effective screen provided is worn by the workmen.

F&IU (Protection of Eyes) Regulations also require that every person carried out in any specified process must require for the protection of his eyes with wearing:

■ an approved eye protectors;
■ an approved shield; or
■ an approved fixed shield.

The Specified Process in construction site but not limited to:

■ Dry grinding of metals or articles of metal applied by hand to a revolving wheel, band or disc driven by mechanical power.
■ Welding or cutting of metals by means of an electrical, oxy-acetylene or similar process.
■ Truing or dressing of an abrasive wheel.
■ Any work carried out with a hand-held cartridge-operated tool, including the operation of loading and unloading live cartridges into such a tool, and the handling of such a tool for the purpose of maintenance, repair or examination when the tool is loaded with a live cartridge.
■ The handling in open vessels or manipulation of acids, alkalis, dangerous corrosive materials, whether liquid or solid, and other substances which are similarly injurious to the eyes.
■ The use of compressed air for removing swarf, dust, dirt or other particles.

Duties to Wear Safety Belts

Regulation 381 of CSSR requires every person working on a construction site who has been provided with a safety belt shall wear it and keep it attached to a secure anchorage whenever the use of the belt is necessary for his own or any other person’s safety.

Safety Helmets

Regulation 48 of CSSR requires suitable safety helmet shall be provided for every workman employed. Reasonable steps shall be taken to ensure that no workman remains on a site unless he is wearing a suitable safety helmet.
SECTION 3

METHODS FOR ACCIDENT PREVENTION

There are many methods to control the accident occurrence; for example, safety system, work method statement, risk assessment, safety management system. The application of these methods is mostly based on plan, do, check and act. Before carrying out work, a careful plan for each work activity and sequence of work is established. Each engineering project has its own nature of work, different site environment and conditions, work constraints, resources support in terms of financial, materials and labour force, quality of person and construction methods.

Despite the lowest price of the contract award, if lack of planning and study the nature of project work, implementation of safety is never successful. On the contrary, accident would be occurred; it would let not only compensation to sufferer but also facing prosecution and delay the completion of the project.

Safe System of Work

What Is It?

A safe system of work is a formal procedure which results from systematic examination of a task in order to identify all the hazards. It defines safe methods to ensure that hazards are eliminated or risk minimized.

Many hazards are clearly recognizable and can be overcome by physically separately people from them, e.g. by using effective guarding or machinery.

There are five steps to a safety system of work
1. Assess the risk
2. Identify into hazards
3. Define safe methods
4. Implement the system
5. Monitor the system

Risk Assessment

Assess all aspects of task and the risks it presents. Hazards to health as well as safety should be considered.

- What is used, e.g. the plants, substances and materials, potential failures of machinery, toxic hazards, electrical hazards, design limited, risks of inadvertently operating automatic controls.
- Who does what, e.g. delegation, training, foreseeable, human errors, short cuts, ability to copy in an emergency.
- Where the tasks is carried out, e.g. hazards in the workplaces, problems caused by weather conditions, or lighting, hazards from adjacent processes or contractors, etc.
- How the task is done, e.g. the procedures, potential failures in work methods, lack of foresight of infrequent events.
Hazards Identification

When the task is assessed, spot its hazards and weigh up the risks. Where possible eliminate the hazards and reduce risks before relying upon a safe system of work.

Define Safety Methods

Safe system of work may be defined by a simple written procedure or by a formal permit to work system.

- Consider the preparation and authorization needed at the start of the job.
- Ensure clear planning of job sequences.
- Specify safe work methods.
- Include means of access and egress.
- Consider the tasks of dismantling, disposal etc. at the end of the job.

Permit to work should be

- define the work to be done;
- how to make the work area safe;
- identify any remaining hazards and the precautions to be taken; described checked to be carried out before normal work can be resumed;
- name the person responsible for controlling the job.

Permit to work system should mostly apply to

- confined space;
- work on electrical equipment;
- electrical isolation;
- hot work activity such as flame cutting, welding.

Implementation

In implementing the system, communication and supervision are a must; the employees must understand the whole safe process of work task and perform in safe behavior manner. The front-line supervisor and managers are all trained in the necessary of skills and are fully aware of the potential risks and the safety precautions used to be adopted.

Monitoring

- Periodically check is required to ensure the safe system is fully implemented.
- The employees continue to find the system workable.
- The procedures laid down in safe system of work are being carried out effectively.
- The changes in circumstances which require alternation to the system of work are taken into account.

Safe Work Method Statement

The safe work method statement is a written document to express the procedure and sequence of work for specific work to be undertaken. Each work activity has its own characteristics and risk, through the sequence of work process and couple with design support, material use and work force can be assessed, so that the work can be undertaken in a safe manner. The safe work method statement is
similar as job-safety analysis.

The following construction works are required for the method statement for reference:

**Slope Works**

Method statement for slope excavation; scaffolding to slope work; hydroseeding work; soil nail installation; fill embankment; drainage construction; spraying cement grouting to cutting slope.

**Bridge and Road Works**

Method statement for excavation and fill embankment; culvert / Manhole construction; falsework installation; working scaffolding; formwork / Slip form / Climbing formwork installation; pouring concrete to temporary structure; pre-tensioned / post-tensioned working; overhead crane / crane girder installation; fascia panel installation; pre-cast segment installation; road diversion.

**Sewerage and Drainage Construction**

Method statement for excavation (Trenchless, open trench / cut or micro-tunneling); drainage pipe installation; road diversion; culvert construction; pump station house construction; lateral and excavation support (Sheet pile or pipe pile wall); manhole construction; piling work; under-water work.

**Port Works**

Method statement for piling work; floating pontoon; coffer dam construction; under-water work; working platform construction; pouring concrete.

**Railways Works Construction**

Method statement for excavation – Tunnel Boring Method; working platform; underground construction; installation of pre-concrete panel; falsework; pouring concrete; E & M installation; disposal of material; ventilation system installation; blasting work (if required); portal structure construction; plant (batching plant, mechanical plant) installation.

**Waterworks**

Method statement for excavation (No-digging method, open trench or micro-tunneling); water mains / pipelines installation; road diversion; culvert / Inspection chamber construction; pump station house construction; lateral and excavation support (Sheet pile or pipe pile wall); piling work; under-water work.

**Risk Assessment**

Risk assessment is used to estimate work activities in overall risk profile. The findings will provide the basis to formulate the control strategies, set out safety and health objectives, and define standards and priorities to minimize the risk.

The basic steps in risk assessment include the following:
Identification of Hazards

This is the process of identifying all significant hazardous relating to each work activity and considering who may be harmed and how harm may be done.

Determination of Risk

This is the process of making a subjective evaluation of the risk associated with each hazard assuming that planned or existing controls are in place, and considering the effectiveness of the controls and the consequences of the failure. It is also to decide whether the risk is tolerable. In deciding the risk tolerable, it is necessary to take into account whether the condition is within statutory limits and /or conforming to legal or international recognized standards.

After risk assessment, the following actions should be taken to eliminate or control the identified risks.

- Development of safety procedures and risk control measures. Safety procedures and risk control measures should be developed the risks that are not tolerable.
- Implementation and maintenance of safety procedures and risk control measures.
- Review of safety procedures and risk control measures, such as non-effectives measures and significant changes in the matter they relate.

Risk Analysis

Risk analysis is used to determine the degree the level of risk, so that priority action shall be taken to mitigate the risk or transfer the risk. The results of a risk analysis can be used to help judge the tolerability of risk and aid in choosing between potential risk-reduction and risk avoidance measures.

Analysis may be qualitative, semi-quantitative or a combination of these as shown in Table 3.1, 3.2 and 3.3.

<table>
<thead>
<tr>
<th>Level</th>
<th>Descriptor</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>Almost certain</td>
<td>The event is expected to occur in most circumstances</td>
</tr>
<tr>
<td>4</td>
<td>Likely</td>
<td>The event will probably occur in most circumstances</td>
</tr>
<tr>
<td>3</td>
<td>Moderate</td>
<td>The event should occur at some time</td>
</tr>
<tr>
<td>2</td>
<td>Unlikely</td>
<td>The event could occur at some time</td>
</tr>
<tr>
<td>1</td>
<td>Rare</td>
<td>The event may occur only in exceptional circumstances</td>
</tr>
</tbody>
</table>

Table 3.1 - Qualitative Measures of Likelihood

<table>
<thead>
<tr>
<th>Level</th>
<th>Descriptor</th>
<th>Example of Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>Catastrophic</td>
<td>Death, toxic, release off-site with detrimental effect, huge finance loss</td>
</tr>
<tr>
<td>4</td>
<td>Major</td>
<td>Extensive injuries, loss of production capability, off-site release with no detrimental effects, major financial loss</td>
</tr>
<tr>
<td>3</td>
<td>Moderate</td>
<td>Medical treatment required, on-site release contained with outside assistance, high financial loss</td>
</tr>
<tr>
<td>2</td>
<td>Minor</td>
<td>First aid treatment, on-site release immediately contained, medium financial loss</td>
</tr>
<tr>
<td>1</td>
<td>Insignificant</td>
<td>No injury, low financial loss</td>
</tr>
</tbody>
</table>

Table 3.2 - Qualitative Measures of Consequence or Impact
<table>
<thead>
<tr>
<th>Likelihood</th>
<th>Insignificant (1)</th>
<th>Minor (2)</th>
<th>Moderate (3)</th>
<th>Major (4)</th>
<th>Catastrophic (5)</th>
</tr>
</thead>
<tbody>
<tr>
<td>5 (Almost certain)</td>
<td>5 (M)</td>
<td>10 (H)</td>
<td>15 (H)</td>
<td>20 (VH)</td>
<td>25 (ER)</td>
</tr>
<tr>
<td>4 (Likely)</td>
<td>4 (M)</td>
<td>8 (M)</td>
<td>12 (H)</td>
<td>16 (H)</td>
<td>20 (VH)</td>
</tr>
<tr>
<td>3 (Moderate)</td>
<td>3 (L)</td>
<td>6 (M)</td>
<td>9 (M)</td>
<td>12 (H)</td>
<td>15 (H)</td>
</tr>
<tr>
<td>2 (Unlikely)</td>
<td>2 (L)</td>
<td>4 (M)</td>
<td>6 (M)</td>
<td>8 (M)</td>
<td>10 (H)</td>
</tr>
<tr>
<td>1 (Rare)</td>
<td>1 (L)</td>
<td>2 (L)</td>
<td>3 (L)</td>
<td>4 (M)</td>
<td>5 (M)</td>
</tr>
</tbody>
</table>

Table 3.3 - Qualitative Risk Analysis Matrix - Level of Risk

*Note:*
1-3 L = Low risk; manage by routine procedures
4-9 M = Moderate risk; management responsibility must be specified
10-19 H = High risk, senior management attention needed
19-20 VH = Very high risk; top management attention needed
21-25 ER = Extremely risk; detailed research and planning required at Executive management level.

**Risk Control**

Control of hazards /risks can be made by elimination, substitution, engineering, controlling and administrative (procedural) controls and personal protective equipment. Develop and establish a programme to manage the risk control for each activity. In addition, develop and apply mechanism to ensure ongoing review of the risks.

When work procedure and work instruction are adopted as controls, there should be input from relevant employees and competent persons. There shall be permit to work system for high risk tasks. The need for training, supply of personal protective equipment and changes for work procedures shall be considered. Schedule programme of maintenance plant and equipment, staff selection and replacement are also considered. Risk transfer in full or in part to the insurer is also required and considered.

**Safety Management System**

The government of the HKSAR has introduced a safety management system consisting of 14 elements. The system is enshrined in the Factories and Industrial Undertaking (Safety Management) Regulation passed on 24 November 2004. The purpose is aimed at the contractor or proprietor to establish a safety management system to his /her own organization for implementation. It is a pro-active management system for accident prevention. After the introduction of the safety management system, the accident rate decreased significantly in the past several years.

The Safety Management Regulation requires 14 elements of safety management system implementing in the workplace as follows:
<table>
<thead>
<tr>
<th>No.</th>
<th>Element</th>
<th>Safety Management System</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Safety Policy</td>
<td>A safety policy which states the commitment of the proprietor or contractor to safety and health at work.</td>
</tr>
<tr>
<td>2</td>
<td>Organization Structure</td>
<td>A structure to assure implementation of the commitment to safety and health at work.</td>
</tr>
<tr>
<td>3</td>
<td>Safety Training</td>
<td>Training to equip personnel with knowledge to work safely and without risk to health.</td>
</tr>
<tr>
<td>4</td>
<td>In-house Safety Rules</td>
<td>In-house safety rules to provide restructure for achieving safety management objectives.</td>
</tr>
<tr>
<td>5</td>
<td>Inspection Programme</td>
<td>A programme of inspection to identify hazardous conclusion and for the rectification of any such conditions at regular intervals or as appropriate.</td>
</tr>
<tr>
<td>6</td>
<td>Hazard Control Programme</td>
<td>A programme to identify hazardous exposure or the risk of such exposure to the workers and to provide suitable personnel protective equipment as a last resort where engineering control methods are not feasible.</td>
</tr>
<tr>
<td>7</td>
<td>Accident / Incident Investigation</td>
<td>Investigation of accidents or incidents to find out the cause of any accident or incident and to develop prompt arrangements to prevent recurrence.</td>
</tr>
<tr>
<td>8</td>
<td>Emergency Preparedness</td>
<td>Emergency preparedness to develop, communicate and execute plans prescribing the effective management of emergency situations.</td>
</tr>
<tr>
<td>9</td>
<td>Evaluation, Selection and Control of Sub-contractors</td>
<td>Evaluation, selection and control of sub-contractors to ensure that sub-contractors are fully aware of their safety obligations and are in fact meeting them.</td>
</tr>
<tr>
<td>10</td>
<td>Safety Committees</td>
<td>Safety committees to identify recommend and keep under review measures to improve the safety and health at work.</td>
</tr>
<tr>
<td>11</td>
<td>Job-hazard Analysis</td>
<td>Evaluation of job related hazards or potential hazards and development of safety procedures.</td>
</tr>
<tr>
<td>12</td>
<td>Safety and Health Awareness</td>
<td>Promotion, development and maintenance of safety and health awareness in a workplace.</td>
</tr>
<tr>
<td>13</td>
<td>Accident Control and Hazard Identification (Process Control)</td>
<td>A programme for accident control and elimination of hazards before exposing workers to any adverse work environment.</td>
</tr>
<tr>
<td>14</td>
<td>Occupational Health Assurance Programme</td>
<td>A programme to protect workers from occupational health hazards.</td>
</tr>
</tbody>
</table>
Acknowledgement

I am very honoured to write ‘Safety Practice in Engineering Projects’, this Part is part of Construction Management and Civil Engineering Practice in Hong Kong in order to celebrate 10 Years Anniversary for returning sovereignty of Hong Kong to Mainland China in 1 July 1997 under the proposal by the Association of Engineer Professionals in Society Ltd. (AES).

I thank Ir Yim Kin Ping of AES Senior Deputy Chairman to give me an opportunity to write this Part. I also thank Dr. Linda Fan for her comment and the editorial work.

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Website: http://www.info.gov.hk/labour
PART B

QUESTIONS AND ANSWERS
ON
CIVIL ENGINEERING WORKS

Vincent T. H. Chu²

Part B is intended primarily to arouse the interests of graduate engineers, assistant engineers and engineers in the technical aspect of civil engineering works. The content of this Part mainly focuses on providing the reasons of adoption of the various current practices of civil engineering. By understanding the underlying principles of engineering practices, graduate engineers/assistant engineers/engineers may develop an interest in civil engineering works.

It is also intended that the Q & A in individual sections will serve as a useful source of reference for practicing engineers. Some of these questions are selected from the book and published in the column “The Civil FAQ” in the monthly journal The Hong Kong Engineer under the Hong Kong Institution of Engineer.

Questions and answers on civil engineering works are discussed in Part B grouped into Section 4 to 13. The first five sections are Q & A related to the infrastructure development of earthworks, road works, bridge works, land reclamation, and piers and marine structures. Two sections are Q & A of concrete structures, and piles and foundations. Two sections are Q & A related to waterworks including water retaining structures and pumping stations, pipe jacking and microtunnelling, and drainage works. Lastly general issues are in the last section.

² Ir. Vincent T. H. Chu is the author of The Civil FAQ in the Hong Kong Engineer published by the Hong Kong Institution of Engineers. Inspired by his fascination with civil engineering, he always buried himself in journals and books in this field since he was at college and developed great interest in it. He has regularly published articles in some engineering journals and is also the author of Civil Engineering Practical Notes A-Z.

For readers who have any queries or feedback, the author can be contacted at askvincentchu@yahoo.com.hk which is a free Civil FAQ email established to provide answers to any civil engineering queries.
SECTION 4

EARTHWORKS

4.1 In soil compaction test, if a test result exceeds 100%, should engineers accept the result?

Soil compaction is the process of increasing the soil density by reducing the volume of air within the soil mass.

Soil compaction depends mainly on the degree of compaction and the amount of water present for lubrication. Normally 2.5kg rammers and 4.5kg rammers are available for compaction in laboratories and the maximum dry densities produced by these rammers cover the range of dry density obtained by in-situ compaction plant.

Regarding the second factor of water content, it affects the compaction in the following ways. In low water content, the soils are difficult to be compacted. When water content is increased gradually, water will lubricate the soils and this facilitates the compaction operation. However, at high water content, as an increasing proportion of soils is occupied by water, the dry density decreases with an increase in water content.

For soil compaction tests, the dry density obtained from compaction carried out in-situ by vibrating roller/vibrating plate is compared with the maximum dry density conducted in laboratories using 2.5kg rammer of compaction with similar soils. In essence, the in-situ compaction is compared with the compacting effort of using 2.5kg (or 4.5kg) rammer in laboratories. In case the compaction test results indicate values exceeding 100%, it only means that the in-situ compaction is more than that being carried out in laboratories which is treated as the basic criterion for satisfactory degree of soil compaction. Therefore, the soil results are acceptable in case compaction test results are over 100%. However, excessive compaction poses a risk of fracturing granular soils resulting in the reduction of soil strength parameters.

4.2 What are the different applications of draglines, backhoes and shovels?

An excavator is defined as a power-operated digging machine and it includes different types like shovels, draglines, clamshells, backhoes, etc.

A dragline possesses a long jib for digging and dumping and it is used for digging from grade line to great depths below ground. Its characteristic is that it does not possess positive digging action and lateral control of normal excavators. A dragline is normally deployed for bulk excavation.

A backhoe is designed primarily for excavation below ground and it is especially employed for trench excavation works. It digs by forcing the bucket into soils and pulling it towards the machine and it possesses the positive digging action and accurate lateral control.

A shovel is a machine that acts like a man’s digging action with a hand shovel and hence it is called a shovel. It digs by putting the bucket at the toe of excavation and pulling it up. Though a shovel has limited ability to dig below ground level, it is very efficient in digging above ground like digging an embankment.
4.3 For compaction of free-draining sands or gravels, what is the optimum moisture content to achieve maximum density?

The compaction curve of sandy materials is totally different from that of clayey materials. For sands or gravels, there are two situations of maximum density, namely the completely dry condition and the complete water saturation. For moisture content of sands and gravels between these two states, the dry density obtained is lower than that obtained in the above-mentioned states. The presence of capillary forces account for the difficulty of compaction sand at water contents between virtually dry and saturated state. They are formed in partially filled water void between soil particles and perform as elastic ties cementing soil particles together. Reference is made to Lars Forssblad (1981).

The compaction curve for clay is suitable for the majority of soil types except sands and gravels because a small amount of clay in soils is sufficient to make the soils impermeable.

4.4 Is it worthwhile to carry out tests on particle density of soil particles for geotechnical design?

Particle density of soils is defined by the ratio of soil particle mass and soil particle volume. Depending on soil types, the range of variation of soil particle density varies not significantly, i.e. by 4%. Therefore, it may not be worthwhile to order laboratory tests and incur additional expenditure just to determine the particles density by recognizing that the variation of particles density is not significant.

4.5 In determining the effective stress parameters of a soil sample, which test is preferable, consolidated undrained test or consolidated drained test?

The effective stress parameters of a soil sample can be obtained from both consolidated undrained test and consolidated drained test. However, consolidated undrained test is normally selected because of the following reasons:

1. Time taken for consolidated undrained test is shorter than that of consolidated drained test. It is because consolidated drained test requires the full dissipation of excess pore water pressure of the soil during testing and it takes long time when soils of low permeability are tested.

2. Useful information can be obtained from the stress path of consolidated undrained test.

3. Failure occurs in lower stress level when compared with consolidated drained test.

4.6 In conducting triaxial test to determine shear strengths for soil samples, what consolidation pressures should be specified?

It appears that the selection of consolidation pressure is independent of in-situ soil stress theoretically. However, this may not be correct because the actual shear strength envelopes for soils are non-linear over a wide range of stresses. Therefore, consolidation pressure corresponding to the range of stresses relevant to site condition should be adopted.
4.7 What is the relation of bearing pressure on soil nail head to the ratio $L_a/L_b$, where $L_a$ is the length of soil nail before the potential slip circle while $L_b$ is the length of soil nail beyond the potential slip circle?

The unstable soil mass before the potential circular slip is resisted by two components: soil nail head bearing pressure and friction of soil nail in the unstable soil mass. Therefore, the longer is the length of soil nail before potential slip circle $L_a$, the higher is the proportion of forces being resisted by frictional forces and hence the smaller amount is to be resisted by soil nail head. Hence, the smaller the ratio $L_a/L_b$, the greater is the resistance provided by soil nail head.

![Image of $L_a$ and $L_b$ in Slopes]

Figure 4.1 - $L_a$ & $L_b$ in Slopes

4.8 Soil nails are mainly designed for stabilization of major slips. How should designer cater for the stability of minor slips?

There are some methods to treat minor slips:
1. Adoption of smaller diameter size bars at closer spacing;
2. Installation of tie beams at the same horizontal levels;
3. Provision of steel wire meshes in-between soil nails; and

4.9 What are the main reasons for conducting pull-out tests for soil nails?

There are mainly four reasons for this test:
1. To check and verify the bond strength between soil and grout adopted during the design of soil nails. This is the main objective of conducting soil nail pull-out test.

2. To determine the bond strength between soil and grout for future design purpose. However, if this target is to be achieved, the test nails should be loaded to determine the ultimate soil/grout bond with a upper limit of 80% of ultimate tensile strength of steel bars.

3. To check if there is any slippage or creep occurrence.

4. To check the elastic and plastic deformations of test nails. This is observed during the repeated loading and unloading cycles of soil nails.

*Note: Pull-out tests are carried out by applying specified forces in an attempt to pull out the constructed soil nails.*
4.10 Are there any differences in the methods of compaction between clayey soil material and sandy material?

As suggested by Lars Forssblad (1981), the three main actions of compaction are static pressure, impact force and vibration. Different compactors contain one or more modes of these actions. For example, vibratory tampers perform mainly by the principle of impact while vibratory rollers work with principle of static pressure and vibration.

For sandy soils, vibration is adequate for normal compaction because the action of vibration sets the soil particles in motion and friction forces between soil particles are virtually demolished. During this vibration motion, the soil particles rearrange themselves to develop a dense state.

For normal soils, it is necessary to combine the action of vibration together with static pressure to breakdown the cohesion forces between soil particles in order to allow for better compaction. The static pressure of vibratory machines is adopted to exert a shearing force to eliminate the cohesion in clayey soils.
SECTION 5

ROAD WORKS

5.1 How can unreinforced concrete pavement function without mesh reinforcement?

For concrete carriageway, it is normally classified into two types: reinforced and unreinforced concrete pavement. The reinforcement in reinforced carriageway (in the form of mesh) is used for controlling cracking. Then one may query how unreinforced pavement can control cracking without the use of mesh reinforcement. To answer this question, one should pay attention to the features of unreinforced concrete pavement. In accordance with Highways Standard Drawing No. H1109, an approximately 3mm wide groove with a depth of about one-third to one-fourth of slab thickness is designed with a regular spacing (normally 5m). The grooves are designed to be too narrow for stones to fall into when the cracks are open due to concrete contraction. The sectional area in which the groove is located is a plane of weakness and thus this groove acts a potential crack-inducing device in which any potential cracks due to shrinkage and thermal contraction may form. Consequently, the cracks are formed at the base of the groove and thus it would not cause any unpleasant visual appearance on the exposed surface of unreinforced concrete pavement.

![Crack Formed in Unreinforced Concrete Pavement](image)

Figure 5.1 - Crack Formed in Unreinforced Concrete Pavement

5.2 Why are concrete profile barriers designed with curved surface profiles?

Safety fencings are designed to contain vehicles in the carriageway in which they are traveling and prevent them from rebounding into the road and causing hazards. For normal fencing design, when vehicles crash into safety fencings, it will give way so as to absorb as much energy as possible, thus reducing the impact forces on the vehicles. Moreover, it serves to realign the vehicles along the carriageway when vehicles hit on them. However, for concrete profile barriers they are not designed to absorb energy during vehicle crashing, but to hold the vehicles hitting on them. In this connection, concrete profile barriers are designed with curved profiles so that vehicles can mount and go up partly on them, and yet they will not cause overturning of vehicles. Reference is made to Arthur Wignall, Peter S. Kendrick and Roy Ancil.
For shallow-angle crashing of cars, they would climb on the lower slope face of concrete profile barriers. On the other hand, when a car hits at a large angle to the barrier, the bumper collides with the upper sloping face of concrete profile barrier and the car rides upwards. This provides the uplift of the car whose wheels move up the lower sloping face of the barrier. It is not intended to lift the car too high, otherwise it may result in rolling. Since the friction between the wheels and barriers provide extra lifting forces, it is undesirable to design rough finish on these faces. In essence, the kinetic energy of the car during collision is transformed to potential energy during its lifting up on profile barrier and finally converted back to kinetic energy when the car returns to the road.

*Note: For details of concrete profile barriers, reference is made to HyD Standard Drawing No. H2101A.*

5.3 **Should joints of concrete kerbs be in line with the joints in concrete carriageway?**

In normal practice, joints are provided in road kerbs to cater for concrete expansion and contraction. However, the location of joints in kerbs is not arbitrary and they should match with joints in concrete carriageway. Otherwise, it is very likely that cracks may form in concrete kerbs at location of pavement joints (Ministry of Transport (1955)).

5.4 **Why is the slump specified in concrete carriageway comparatively low (30mm) when compared with normal concrete (75mm)?**

The slump of concrete carriageway is purposely specified to be a relatively low value, i.e. 30mm. For concrete carriageway, traffic loads directly act on concrete pavement surface and therefore the surface strength is detrimental to its future performance. In freshly placed concrete, segregation (may be in the form of bleeding) occurs within the mixture of cement paste and aggregates. The degree of resistance to segregation is related to workability of concrete. If substantial segregation is allowed to take place, then the relatively porous and weak laitance layer will be formed on the carriageway surface and the aggregates will concentrate in the bottom. Hence, concrete which has insignificant bleed possesses a stronger surface layer and is more abrasion resistant. Consequently, a small slump value is specified to increase the wearing resistance of concrete and to achieve a suitable surface texture of concrete pavements.

Moreover, a low-slump concrete facilitates the use of slipforms when constructing the concrete pavement. With concrete of a low slump value, it still remains its compacted shape and is not liable to deform when the paving machines go away. However, if a high slump concrete is used instead, the pavement surface would drop and the edges may deform readily.

5.5 **Can all utility detectors detect the depth of utilities?**

In Hong Kong, underground utility detectors are normally divided into two types: electromagnetic detector and ground penetrating radar (GPR).

For electromagnetic detector, it can detect the signals emitted by metallic utilities themselves by passive mode. While in active mode, the detector has to pick up the signals through a transmitter and sonda connected directly to the non-metallic utility. Both the alignment and depth can be found in active mode while only alignment can be found in passive mode. The electromagnetic detector available in market can detect utilities up to depth of 3m.
For ground penetrating radar, it sends radio waves into the ground and receives signals from reflections from utilities. It has the advantage of locating both the depth and alignment of utilities. More importantly, it can detect both metallic and non-metallic utilities. However, it suffers from the disadvantage that it is quite expensive and interpretation of data is not simple. Reference is made to LD, DSD (2000).

5.6 What is the importance of air void content in bituminous pavements?

The air void content of bituminous materials is an important control parameter for the quality of bitumen being laid and compacted. If the air void content is too high, it allows for intrusion of air and water. Moreover, it also increases the rate of hardening of binders which produce premature embrittlement of pavements. In addition, too high a void content will also lead to differential compaction subject to traffic loads and result in formation of ruts and grooves along the wheel track.

However, a minimum amount of air void should be maintained to avoid instability during compaction process and to provide space for bitumen flow in long-term consolidation under traffic loads. A sufficient amount of air voids should be designed to make room for expansion of binder in summer and compaction by road traffic as suggested by National Association of Australian State Road Authorities (1968), otherwise bleeding and loss of stability may occur and the pavement will deform readily under severe loads.

5.7 In concrete pavement, why is the requirement of 95% by mass of quartz grains specified in contract?

In General Specification of Civil Engineering Works (1992 Edition), in Clause 10.09 it states “Fine aggregate for concrete shall be natural river-deposited sand consisting of at least 95% by mass of quartz grains”. The aim of such specification is to control the quality of river sand. As quartz is a durable and hard material, using a high percentage of quartz in aggregates of concrete can enhance the strength and durability of the surface texture of concrete carriageway.

In addition, such specification requires a high percentage of quartz content, thereby reducing the probability of presence of impurities like shell.

5.8 What is the load transfer mechanism for paving blocks in pedestrian footway?

In Hong Kong, footway is normally designed with paving slabs/interlocking blocks instead of concrete because the extensive use of concrete in pavement is boring. Moreover, poor appearance will result in concrete pavement due to frequently trench openings for utility work. In addition, owing to the prolonged setting and curing time of concrete, the use of concrete pavement inevitably generates disturbance to the general public.

The pedestrian loads are taken up through the following ways:

1. Load carrying capacity of paving slabs/interlocking blocks are derived from their individual strength;

2. Pedestrian loads are also supported by interlocking forces provided by friction transfer through the sand in vertical joints (K. K. Tang & Robert P. Cooper (1986)).
5.9 **What is the function of a sand layer underlying paving slab/interlocking blocks?**

Normally after the laying of sub-base layer of the paving slab/interlocking blocks, a 30mm thick sand bedding is screeded and tamped over the pavement area. Then paving slabs are laid horizontally with joints of 2-3mm wide and are laid in uphill direction. After completing the laying of paving slabs, sand used for filling joints is spread over the surface of the units and brushed into the joints such that all joints are completely filled. The paving slabs are then bedded into final position by using plate vibrators.

The sand layer serves the same purpose of normal blinding layer under concrete structure:

1. Provide a level and flat surface for the paving slab/interlocking blocks to lay on;
2. Protect the foundation (i.e. underground subgrade and sub-base layer) against adverse outside conditions (e.g. bad weather) during construction of the laying work of paving slab/interlocking blocks.

5.10 **What is the function of longitudinal joints in concrete road pavements?**

A longitudinal joint consists of a tie bar placed at the mid-depth of a concrete pavement and it is not intended for joint lateral movement. Then one may doubt the reasons of placing longitudinal joints in concrete pavements. In fact, longitudinal joints are normally designed at a regular spacing e.g. 4.5m to accommodate the effect of differential settlement of pavement foundation. When uneven settlement occurs, the tie bars in longitudinal joints perform as hinges (Ministry of Transport (1955)) which allow for the settlement of concrete carriageway. Moreover, it also serves to cater for the effect of warping of concrete due to moisture and temperature gradients by permission of a small amount of angular movement to occur so that stresses induced by restrained warping can be avoided.

Dowel bars are provided in longitudinal joints for the following reasons:

1. In case of the occurrence of uneven settlement between adjacent panels, it helps to maintain a level surface by transfer of loads through dowel bars.
2. Keep the longitudinal joints close.

5.11 **For rigid pavement, what are the advantages of using lean concrete sub-base instead of traditional granular sub-base?**

There are several shortcomings of using granular sub-base in concrete carriageway:

1. Since sub-base is permeable, water can seep through sub-base and soil particles will be pumped out through contraction/expansion joints when subject to traffic load. Consequently, voids are formed underneath the pavement structure and the concrete pavement may crack under severe traffic loading.
2. Lean concrete increases the strength and renders the roads capable of carrying heavy traffic loads (David Croney and Paul Croney (1992)).
3. Due to workmanship problem, it may have uneven distribution of sub-base and this results
in cracking of concrete carriageway when subject to severe traffic loading.

5.12 In General Specification for Civil Engineering Works (1992 Edition), the design of roadbase material is based on recipe approach. Why?

The design of roadbase material is based on recipe approach (David Croney and Paul Croney (1992)) because Hong Kong government follows the traditional British practice by adopting recipe design in which the aggregate grading envelope, the quantity and grade of bitumen are specified in the bituminous mix. This recipe of bituminous mix is derived based on past experience and good workmanship during construction. In fact, many countries nowadays adopt special design mix of roadbase which proves to produce satisfactory bituminous mixes to suit different site and design conditions.

In fact, recipe specification of bituminous materials does suffer from several drawbacks. Firstly, the conditions of traffic and climate of newly constructed bituminous road may differ from the conditions on which the recipe design is based. In case adjustment has to be made to the recipe design, it is very difficult to determine and assess the modifications required. Secondly, it poses problem to site engineers to assess the effects of minor non-compliance if recipe specification is adopted. Finally, the recipe mix may not be the most economical design which is dependent on site conditions.

5.13 If the construction of concrete carriageway is carried out in summer, can expansion joints be omitted?

If the construction of concrete carriageway is carried out in summer, expansion joints may not be necessary as suggested by Arthur Wignall, Peter S. Kendrick and Roy Ancil. Expansion of concrete carriageway is mainly due to seasonal changes with an increase in temperature from that during construction to the ambient temperature (i.e. the temperature in summer). However, if the construction of concrete carriageway takes place in summer, the concrete carriageway will undergo contraction in the following winter, thus the space available in contraction joints can accommodate the future expansion in the next summer.

5.14 If there is a delay of bituminous laying on top of sub-base, should tack coat be applied on the top surface of sub-base?

When there is a delay between bituminous laying of different bituminous layers (i.e. roadbase, base course etc.), a tack coat is applied on top of the bituminous layers because it helps to enhance better bonding between bituminous materials. If there is insufficient bonding between adjacent bituminous layers, they behave as separate independent layers which can hardly resist the traffic loads. When applying the tack coat, it should be sprayed uniformly on the bituminous surface and allowed for sufficient curing. The hot bituminous material laid on top of the coat would soften it, enabling the tack coat to partly fill voids in the bituminous materials. For emulsified asphalt type tack coats, they are normally diluted with water in order to achieve a more uniform application without excessive usage of asphalt. After the subsequent compaction is carried out, the coat would be interlocked with the bituminous materials. On the other hand, care should be taken to ensure that excessive coat would not be laid, otherwise slippage or shear cracks in the bituminous material would occur due to the relative thick layer of the tack coat applied.

However, for sub-base surface, priming coat instead of tack coat may be applied in the event of
a delay in laying of bituminous layer on top of the sub-base layer. The function of the primer serves to maintain the existing surface condition for a longer period and it also provides an impermeable surface to prevent ingress of water or water loss by evaporation. Moreover, it fills the surface voids and protects the sub-base from adverse weather conditions. In addition, it also helps to promote adhesion between adjacent road layers and to harden the surface.

5.15 What is difference in purpose for conducting surface regularity test and sand patch test (test on texture depth)?

The purpose of surface regularity test is to measure the riding quality of pavements and the same requirement and standard is applied to both concrete and bituminous carriageway. On the other hand, sand patch test is used for checking the skid resistance of road pavements. Moreover, it is related to traffic noise because the intensity of noise generated from road traffic is related to texture depth of carriageway.

5.16 In General Specification for Civil Engineering Works (1992 Edition), it specifies the temperature requirements for bituminous material during and after mixing. What is the reason behind this?

Temperature is one of the factors that govern the compaction of bituminous material and the air void content is found to decrease with an increase in compaction temperature. This phenomenon is explained by the viscosity-temperature relations: the higher is the viscosity of binders, the greater is the resistance to compaction. Therefore, in normal contract for bituminous laying, the temperature requirements for bituminous material during and after mixing are specified.

5.17 For unreinforced concrete carriageway, what is the sequence of closing and opening of expansion joints and contraction joints?

Let’s take an example to illustrate the sequence of closing and opening of joints (Ministry of Transport (1955)). For instance, an unreinforced concrete carriageway is constructed in winter. When temperature rises in the following summer, the section between expansion joints will expand as a whole single element resulting in the closure of expansion joints. This section of concrete pavement will move outwards from the mid-point between the expansion joints. In the next winter, each bay (i.e. concrete pavement between adjacent contraction joints) of concrete contracts about the midpoint of its length with opening of contraction joints.

Figure 5.2 - Movement of Joints in Summer and Winter
5.18 **Can a sub-base layer serve as a drainage layer to remove water from pavement?**

Besides providing load distribution in post-construction stage and working platform in construction stage, sub-base can also serve as a drainage layer to remove water coming from the pavement. For thick layers of road pavement, it is likely that the water leakage from pavement is insignificant and therefore the sub-base layer mainly serves to support the traffic stresses. However, for thin pavement layers, water penetration is quite substantial and therefore sub-base may also act as a drainage layer to remove these water.

5.19 **Are kerbs necessary in road pavements?**

In general, kerbs are essential in road pavements due to the following reasons (based on Arthur Wignall, Peter S. Kendrick and Roy Ancil):

1. They provide strength to the sides of road pavements and avoid lateral displacement of carriageway due to traffic loads.
2. In terms of road safety, they serve as a separation line between footway and carriageway and aid car drivers in driving safely.
3. They act as a vertical barrier to guide the surface runoff collected in road pavements to the gullies.

![Kerbs Necessary in Road Pavements](image)

Figure 5.3 - **Kerbs Necessary in Road Pavements**

5.20 **What are the design considerations for dowel bars in joints of concrete carriageway?**

The behaviour of dowel bars resembles that of piles in soils subject to lateral loads. Failure of the joint occurs by yielding of steel under bending action or by crushing of concrete due to bearing stresses.

In general, the spacing of dowel bars should not be too close which may pose problems during construction. However, it should be not too wide to allow the occurrence of bending between the dowel bars. On the other hand, regarding the length of dowel bars, it should not be too long because the induced stress at the end of long dowel bars is insignificant and is not effective in transferring loads between adjacent concrete panels. However, if the dowel bars are too short, the stress at the face of joint is increased resulting in concrete crushing. Reference is made to Ministry of Transport (1955).
5.21 What is the function of a separation membrane between concrete pavement slab and sub-base?

The separation membrane between concrete pavement slab and sub-base has the following functions:

1. It aids in reducing the frictional forces between concrete slab and sub-base and helps to movement of concrete slab with respect to sub-base owing to changes in temperature and moisture.

2. It prevents the loss of cement and water in immature concrete which significantly affects the strength and durability of hardened concrete.

3. It avoids the mixing up of sub-base materials and freshly placed concrete.

Polythene sheeting, which is a waterproof material, is commonly used as separation membrane.

5.22 Should emulsified asphalts or cutback asphalts be selected as tack coat in bituminous roadworks?

Emulsified asphalt is a suspension of asphalt in water by using an emulsifying agent which imposes an electric charge on asphalt particles so that they would be join and cement together. On the other hand, cutback asphalt is simply asphalt dissolved in petroleum. The purpose of adding emulsifying agent in water or petroleum is to reduce viscosity of asphalt in low temperatures.

The colour of emulsion for tack coat is brown initially during the time of application. Later, the colour is changed to black when the asphalt starts to stick to the surrounding and it is described as “break”. Finally, when water has all evaporated, the emulsion is said to have “set”. Similarly, for cutback emulsion, it is described to “cure” when the solvent has evaporated. However, there are several problems associated with cutback asphalts:

1. Emulsified asphalt can be diluted with water so that a low application rate could be achieved.

2. The evaporation of petroleum into atmosphere for cutback asphalt poses environmental problem.

The cost of production of petroleum is higher than that of emulsifying agent and water.
SECTION 6

BRIDGE WORKS

6.1 Under what situation shall engineers use jacking at one end only and from both ends in prestressing work?

During prestressing operation at one end, frictional losses will occur and the prestressing force decreases along the length of tendon until reaching the other end. These frictional losses include the friction induced due to a change of curvature of tendon duct and also the wobble effect due to deviation of duct alignment from the centerline. Therefore, the prestress force in the mid-span or at the other end will be greatly reduced in case the frictional loss is high. Consequently, prestressing, from both ends for a single span i.e. prestressing one-half of total tendons at one end and the remaining half at the other end is carried out to enable an even distribution and to provide symmetry of prestress force along the structure.

In fact, stressing at one end only has the potential advantage of lower cost when compared with stressing from both ends. For multiple spans (e.g. two spans) with unequal span length, jacking is usually carried out at the end of the longer span so as to provide a higher prestress force at the location of maximum positive moment. On the contrary, jacking from the end of the shorter span would be conducted if the negative moment at the intermediate support controls the prestress force. However, if the total span length is sufficiently long, jacking from both ends should be considered.

6.2 What is “preset” during installation of bridge bearings?

“Preset” is a method to reduce the size of upper plates of sliding bearings in order to save the material cost. The normal length of a upper bearing plate should be composed of the following components: length of bearing + 2 x irreversible movement + 2 x reversible movement. Initially the bearing is placed at the mid-point of the upper bearing plate without considering the directional effect of irreversible movement. However, as irreversible movement normally takes place at one direction only, the bearing is displaced/presetted a distance of (irreversible movement/2) from the mid-point of bearing in which the length of upper plate length is equal to the length of bearing + irreversible movement + 2 x reversible movement. In this arrangement, the size of upper plate is minimized in which irreversible movement takes place in one direction only and there is no need to include the component of two irreversible movements in the upper plate.

Note: “Preset” refers to the displacement of a certain distance of sliding bearings with respect to upper bearing plates during installation of bearings.

6.3 In incremental launching method of bridge construction, what are the measures adopted to enhance sufficient resistance of the superstructure during the launching process?

During the launching process the leading edge of the superstructure is subject to a large hogging moment. In this connection, steel launching nose typically about 0.6-0.65 times span length is provided at the leading edge to reduce the cantilever moment. Sometimes, instead of using launching nose a tower and stay system are designed which serves the same purpose.
The superstructure continually experiences alternative sagging and hogging moments during incremental launching. Normally, a central prestress is provided in which the compressive stress at all points of bridge cross section is equal. In this way, it caters for the possible occurrence of tensile stresses in upper and lower part of the cross section when subject to hogging and sagging moment respectively. Later when the whole superstructure is completely launched, continuity prestressing is performed in which the location and design of continuity tendons are based on the bending moments in final completed bridge condition and its provision is supplementary to the central prestress.

For very long span bridge, temporary piers are provided to limit the cantilever moment.

6.4 In bridge widening projects, the method of stitching is normally employed for connecting existing deck to the new deck. What are the problems associated with this method in terms of shrinkage of concrete?

In the method of stitching, it is a normal practice to construct the widening part of the bridge at first and let it stay undisturbed for several months. After that, concreting will then be carried out for the stitch between the existing deck and the new deck. In this way, the dead load of the widened part of bridge is supported by itself and loads arising from the newly constructed deck will not be transferred to the existing deck which is not designed to take up these extra loads.

One of the main concerns is the effect of stress induced by shrinkage of newly widened part of the bridge on the existing bridge. To address this problem, the widened part of the bridge is constructed a period of time (say 6-9 months) prior to stitching to the existing bridge so that shrinkage of the new bridge will take place within this period and the effect of shrinkage stress exerted on the new bridge is minimized.

Traffic vibration on the existing bridge causes adverse effect to the freshly placed stitches. To solve this problem, rapid hardening cement is used for the stitching concrete so as to shorten the time of setting of concrete. Moreover, the stitching work is designed to be carried out at nights of least traffic (Saturday night) and the existing bridge may even be closed for several hours (e.g. 6 hours) to let the stitching works to left undisturbed.

Sometimes, longitudinal joints are used in connecting new bridge segments to existing bridges. The main problem associated with this design is the safety concern of vehicles. The change of frictional coefficients of bridge deck and longitudinal joints when vehicles change traffic lanes is very dangerous to the vehicles. Moreover, maintenance of longitudinal joints in bridges is quite difficult.

Note: Stitching refers to formation of a segment of bridge deck between an existing bridge and a new bridge.

6.5 What are the advantages of assigning the central pier and the abutment as fixed piers?

For abutment pier to be assigned as fixed pier while the bridge is quite long, the longitudinal loads due to earthquake are quite large. As the earthquake loads are resisted by fixed piers, the size of fixed piers will be large and massive. In this connection, for better aesthetic appearance, the selection of abutment as fixed piers could accommodate the large size and massiveness of piers. Normally abutments are relatively short in height and for the same horizontal force, the bending moment induced is smaller.
For the central pier to be selected as the fixed pier, the bridge deck is allowed to move starting from the central pier to the end of the bridge. However, if the fixed pier is located at the abutment, the amount of movement to be incorporated in each bearing due to temperature variation, shrinkage, etc. is more than that when the fixed pier is located at central pier. Therefore, the size of movement joints can be reduced significantly.

6.6 Sometimes the side of concrete bridges is observed to turn black in colour. What is the reason for this phenomenon?

In some cases, it may be due to the accumulation of dust and dirt. However, for the majority of such phenomenon, it is due to fungus or algae growth on concrete bridges. After rainfall, the bridge surface absorbs water and retains it for a certain period of time. Hence, this provides a good habitat for fungus or algae to grow. Moreover, atmospheric pollution and proximity of plants provide nutrients for their growth. Improvement in drainage details and application of painting and coating to bridges help to solve this problem. Reference is made to Sandberg Consulting Engineers Report 18380/X/01.

6.7 In prestressing work, if more than one wire or strand is included in the same duct, why should all wires/strands be stressed at the same time?

If wires/strands are stressed individually inside the same duct, then those stressed strand/wires will bear against those unstressed ones and trap them. Therefore, the friction of the trapped wires is high and is undesirable.

6.8 In the design of elastomeric bearings, why are steel plates inserted inside the bearings?

For elastomeric bearing to function as a soft spring, the bearing should be allowed for bulging laterally and the compression stiffness can be increased by limiting the amount of lateral bulging. To increase the compression stiffness of elastomeric bearings, metal plates are inserted. After the addition of steel plates, the freedom to bulge is restricted and the deflection is reduced when compared with bearings without any steel plates under the same load. Tensile stresses are induced in these steel plates during their action in limiting the bulging of the elastomer. This in turn would limit the thickness of the steel plates.

However, the presence of metal plates does not affect the shear stiffness of the elastomeric bearings.

![Figure 6.1 - Effect of Steel Plate in Elastomeric Bearing](image-url)
6.9 **How to determine the size of elastomeric bearings?**

For elastomeric bearing, the vertical load is resisted by its compression while shear resistance of the bearing controls the horizontal movements. The design of elastomeric bearings are based on striking a balance between the provision of sufficient stiffness to resist high compressive force and the flexibility to allow for translation and rotation movement.

The cross sectional area is normally determined by the allowable pressure on the bearing support. Sometimes, the plan area of bearings is controlled by the maximum allowable compressive stress arising from the consideration of delamination of elastomer from steel plates. In addition, the size of elastomeric bearings is also influenced by considering the separation between the structure and the edge of bearing which may occur in rotation because tensile stresses deriving from separation may cause delamination. The thickness of bearings is designed based on the limitation of its horizontal stiffness and is controlled by movement requirements. The shear strain should be less than a certain limit to avoid the occurrence of rolling over and fatigue damage. The vertical stiffness of bearings is obtained by inserting sufficient number of steel plates.

6.10 **In a curved prestressed bridge, how should the guided bearings in piers of the curved region be oriented with respect to the fixed bearing in abutment?**

To determine the orientation of guided bearings, one should understand the movement of curved region of a prestressed bridge. Movement of prestress and creep are tangential to the curvature of the bridge (or along longitudinal axis) while the movement due to temperature and shrinkage effects is in a direction towards the fixed pier. If the direction of guided bearings is aligned towards the fixed bearing in the abutment, the difference in direction of pretress and creep movement and the guided direction towards fixed bearing would generate a locked-in force in the bridge system. The magnitude of the lock-in force is dependent on the stiffness of deck and supports. If the force is small, it can be designed as additional force acting on the support and deck. However, if the force is large, temporary freedom of movement at the guided bearings has to be provided during construction. The Figure 6.2 shows how the guided bearings in piers of the curved region are oriented with respect to the fixed bearing in abutment.

![Guided Bearings in Piers](image-url)
6.11 In the construction of a two-span bridge (span length = L) by using span-by-span construction, why is a length of about 1.25L bridge segment is constructed in the first phase of construction?

Basically, there are mainly three reasons for this arrangement:

1. The permanent structure is a statically indeterminate structure. During construction by using span-by-span construction, if the first phase of construction consists of the first span length L only, then the sagging moment in the mid span of the partially completed bridge is larger than that of completed two-span permanent structure. To avoid such occurrence, 0.25L of bridge segment is extended further from the second pier which provides a counteracting moment, thereby reducing the mid-span moment of the partially completed bridge.

2. The position of 1.25L countering from the first pier is the approximate location of point of contraflexure (assume that the two-span bridge is uniformly loaded) in which the bridge moment is about zero in the event of future loaded bridge. Therefore, the design of construction joint in this particular location has the least adverse effect on the structural performance of the bridge.

3. In case of a prestressed bridge, prestressing work has to be carried out after the construction of first segment of the bridge. If the prestressing work is conducted at the first pier which is heavily reinforced with reinforcement, it is undesirable when compared with the prestressing location at 1.25L from the first pier where there is relatively more space to accommodate prestressing works.

Note: Span-by-span construction means that a bridge is constructed from one bridge span to another until its completion.

6.12 What are the advantages of piers constructed monolithically with the bridge deck over usage of bearings?

Basically, piers constructed monolithically with the bridge deck are advantageous in the following ways:

1. Movement of the bridge deck is achieved by the bending deformation of long and slender piers. In this way, it saves the construction cost of bearings by using monolithic construction between bridge deck and piers. Moreover, it is not necessary to spend extra effort to design for drainage details and access for bearing replacement. On the other hand, in maintenance aspect substantial cost and time savings could be obtained by using monolithic construction instead of using bearings as bridge articulation.

2. Monolithic construction possesses the shortest effective Euler buckling length for piers because they are fixed supports at the interface between bridge deck and piers.

Note: Monolithic construction means that piers are connected to bridge decks without any joints and bearings.
6.13 **Are diaphragms necessary in the design of concrete box girder bridges?**

Diaphragms are adopted in concrete box girder bridges to transfer loads from bridge decks to bearings. Since the depth of diaphragms normally exceeds the width by two times, they are usually designed as deep beams. However, diaphragms may not be necessary in case bridge bearings are placed directly under the webs because loads in bridge decks can be directly transferred to the bearings based on Jorg Schlaich & Hartmut Scheef (1982). This arrangement suffers from the drawback that changing of bearings during future maintenance operation is more difficult.

In fact, diaphragms also contribute to the provision of torsional restraint to the bridge deck.

6.14 **What is the advantage of sliding bearings over roller bearings?**

In roller bearing for a given movement the roller bearing exhibit a change in pressure centre from its original position by one-half of its movement based on David J. Lee. However, with sliding bearing a sliding plate is attached to the upper superstructure and the moving part of bearing element is built in the substructure. It follows that there is no change in pressure center after the movement.

6.15 **What are the three major types of reinforcement used in prestressing?**

1. **Spalling reinforcement**
   Spalling stresses are established behind the loaded area of anchor blocks and this causes breaking away of surface concrete. These stresses are induced by strain incompatibility with Poisson’s effects or by the shape of stress trajectories.

2. **Equilibrium reinforcement**
   Equilibrium reinforcement is required where there are several anchorages in which prestressing loads are applied sequentially.

3. **Bursting Reinforcement**
   Tensile stresses are induced during prestressing operation and the maximum bursting stress occurs where the stress trajectories are concave towards the line of action of the load. Reinforcement is needed to resist these lateral tensile forces.

6.16 **Why is the span length ratio of end span/approach span to its neighboring inner spans usually about 0.75?**

From aesthetic point of view, an odd number of spans with a decrease in length in the direction of abutment is desirable. Moreover, spans of equal length are found to be boring. However, the arrangement of irregular span lengths is not recommended because it gives a feeling of uneasiness.

From structural point of view, for a multi-span bridge with equal span length, the sagging moment at the mid-span of the end span/approach span is largest. In order to reduce this moment, the span length of end span/approach span is designed to be 0.75 of inner spans. However, this ratio should not be less than 0.40 because of the effect of uplifting at the end span/approach span support.
6.17 In the design of a simply supported skew bridge, which direction of reinforcement should be provided?

In the conventional design of steel reinforcement for a simply supported skew bridge, a set of reinforcement is usually placed parallel to free edge while the other set is designed parallel to the fixed edge. However, this kind of arrangement is not the most efficient way of placing the reinforcement. The reason is that in some parts of the bridge, the moment of resistance is provided by an obtuse angle formed by the reinforcement bars which is ineffective in resisting flexure. In fact, the most efficient way of the arrangement of reinforcement under most loading conditions is to place one set of bars perpendicular to the fixed edge while placing the other set parallel to the fixed end as recommended by L. A. Clark (1970). In this way, considerable savings would be obtained from the orthogonal arrangement of reinforcement.

![Arrangement of Reinforcement in Skewed Bridge](image)

6.18 What is the consideration in selecting the orientation of wing walls in the design of bridge abutments?

There are three common arrangements of wing walls in bridge abutments based on Dr. Edmund C Hambly (1979):

1. Wing walls parallel to abutments
   This is the simplest and shortest time to build but is not the most economical design. This design has the advantage that it has least disturbance to existing slope embankment.

2. Wing walls at an angle to abutments
   This is the most economical design among the three options in terms of material cost.
3. Wing walls perpendicular to abutments

Though it is not the most economical design, the wing walls provide a continuous alignment with bridge decks which provide supports to parapets. However, they cause disturbances to adjacent structures and utility services during construction. Moreover, if the bridge is curved, the wing walls may hinder the road curvature.

One the other hand, when the wing walls are structurally connected to the abutment, then structural advantage can be taken by the stability of box structure.

Figure 6.4 - Different Orientation of Wing Walls
6.19 What are the functions of grout inside tendon ducts?

1. Grout in prestressing works serves the following purposes:
2. Protect the tendon against corrosion.
3. Improve the ultimate capacity of tendon.
4. Provide a bond between the structural member and the tendon.
5. In case of failure, the anchorage is not subject to all strain energy.

6.20 In joints of precast concrete bridge segments, what are the functions of applying epoxy adhesive?

Epoxy adhesive is applied in these joints for the following purposes according to International Road Federation (1977):

1. It seals up the joints completely between precast concrete segments to protect the prestressing tendons;
2. By filling voids and irregularities along the segment joints, it helps to reduce stress concentrations otherwise it will be developed; and
3. It helps in transferring of shear between the joints in case a large single shear key is used.

6.21 Under what situation should engineers use pot bearings instead of elastomeric bearings?

In the event of high vertical loads combined with large angle of rotations, rubber bearings are undesirable when compared with pot bearings. For instance, elastomeric bearings require large bearing surfaces so that compression can be maintained between the contact surfaces between the bearings and piers. Moreover, it also leads to uneven distribution of stress on the piers and some of these highly induced stresses may damage the piers. Consequently, pot bearings are better alternatives than elastomeric bearings in such a scenario as suggested by David J. Lee.

6.22 What are the shortcomings of grillage analysis which is commonly used in structural analysis of bridges?

Grillage analysis suffers from the following shortcomings based on E. C. Hambly:

1. For coarse mesh, torques may not be identical in orthogonal directions. Similarly, twists may differ in orthogonal directions.
2. Moment in any beams is mainly proportional to its curvature only. However, moment in an element depends on the curvatures in the beam’s direction and its orthogonal direction.

6.23 Polytetrafluoroethylene (PTFE) is commonly used in sliding bearings. Why?

The choice of sliding surface of bearings is of vital importance because the sliding surfaces generate frictional forces which are exerted on the bearings and substructure of the bridge. For instance, PTFE and lubricated bronze are commonly choices of sliding surfaces for bearings. PTFE is a flurocarbon polymer which possesses good chemical resistance and can function in a wide range of temperature. The most important characteristic of this material is its low coefficient of friction. PTFE has the lowest coefficients of static and dynamic friction of any solid with absence of stick-slip movement (David J. Lee). The coefficient of friction is found to decrease with an increase in compressive stress. However, PTFE do have some demerits like high thermal expansion and low compressive strength.
In designing the complementary contact plate with PTFE sliding surface, stainless steel plates are normally selected where the plates should be larger than PTFE surface to allow movement without exposing the PTFE. Moreover, it is recommended that the stainless steel surface be positioned on top of the PTFE surface to avoid contamination of dirt and rubbish. Lubricants are sometimes introduced to reduce the friction between the PTFE surface and the upper stainless steel plate. Hence, the PTFE may be designed with dimples to avoid the lubricant from squeezing out under repeated translation movements.

6.24 Should raking piles of a bridge abutment be placed under an embankment?

For a bridge abutment to be supported on raking piles with different orientations, the movement between the ground and the pile group is difficult to predict. For instance, if some of the raking piles of the bridge abutment are extended beneath an embankment, then the settlement of embankment behind the abutment may cause the raking piles to experience severe bending moment and damage the piles as recommended by Dr. Edmund C Hambly (1979).

6.25 How do engineer determine the number of cells for concrete box girder bridges?

If the depth of a box girder bridge exceeds 1/6 or 1/5 of the bridge width, then it is recommended to be designed as a single cell box girder bridge. However, if the bridge depth is smaller than 1/6 of the bridge width, then a twin-cell or multiple cell is a better choice as suggested by Jorg Schlaich & Hartmut Scheef (1982). However, one should note that even for wider bridges with small depths, the number of cells should be minimized because there is not much improvement in transverse load distribution when the number of cells of box girder is increased to three or more.

6.26 What is sucker deck principle for variable depth bridge decks?

For a variable depth bridge deck, the depth of continuous multi-span bridge deck is increased in pier supports and this absorbs sagging moments in the mid-span with the consequent increase in hogging moments in pier supports. As a result, the mid-span depth can be significantly reduced due to the reduction in sagging moment. In essence, this sucker deck principle is applied in locations where headroom requirement is of great concern. Moreover, in terms of structural performance, sucker decks are effective in reducing dead loads than voided slab of equivalent uniform depth for span length between 20-40m. In terms of aesthetics point of view, the public tends to appreciate the structural form of arches and curved soffit rather than boring uniform deck alignment. Reference is made to Brian Pritchard (1992).
SECTION 7

RECLAMATION

7.1 What are different approaches for reclamation in deep water region and shallow water region?

To illustrate the different approaches adopted for reclamation in deep water and shallow water region, the following example is used:

In deepwater region, consider the seabed level is −8.5mPD. After laying of geotextiles and 1.5m thick sand blanket, the top level of sand blanket is about −7mPD. Split barges are deployed for dumping public fill to −2.5mPD. Afterwards, end dipping of public fill by trucks will be carried out up to +2.5mPD which is the designed reclamation level. Between level −2.5mPD and +2.5mPD, it is too shallow for split barges to enter the water, thus the method of end dipping is used instead.

For shallow water region, the seabed level is taken as −5.5mPD in this example. With the laying of geotextiles and 1.5m sand blanket into position, the top level of sand blanket is about −4mPD. In this case, split barges are also used for reclamation work between the level −4mPD and −2.5mPD. After that, if end dipping is used for reclamation work above −2.5mPD, then in considering the relative thin layer of fill above seabed (1.5m sand blanket + 1.5m sand blanket), it stands a high chance that mud wave would occur in seabed. Therefore, half-loaded derrick barges are employed for reclamation up to level 0mPD. With a thicker layer of public fill now, end dipping can then be used for reclamation between 0mPD and +2.5mPD.

This reclamation sequence is just an example to demonstrate the different considerations for reclamation in deep water and shallow water region.

7.2 What is the importance of geotextiles and sand in reclamation works?

For geotextiles used in reclamation, they serve mainly the following two purposes:

1. they separate reclamation fill from marine mud;
2. they may act as reinforcement to enhance the stability of reclamation. However, the reinforcement function is still under heated debate because its performance as reinforcement depends on several factors like the directional strength of woven geotextiles and damage effect by installation of vertical band drains.

For sand:

1. it spreads the load of future public dump on top of it;
2. it acts as drainage path for dissipation of excess pore water pressure for band drain installation.
7.3 In case mud waves occur during reclamation, what are the possible solutions to rectify the situation?

1. Option 1 – **Complete Removal of All Disturbed Mud**
   To remove all disturbed mud once mud waves occur is the fastest way to treat the problem. After that, filling material is used for replacing the disturbed mud. However, this option is a rather expensive option because it involves dredging of all disturbed mud and replacement of large amount of fill.

2. Option 2 – **Accelerated consolidation of Disturbed Mud**
   This option involves placement of surcharging loads on top of mud waves, together with installation of band drains to accelerate the consolidation of disturbed mud. This method suffers from the drawback that sufficient long time is required for the consolidation process of mud.

3. Option 3 – **Partial Removal of Disturbed Mud**
   This option is a combination of the first two options in which the top weak layer of mud is removed while the lower mud is treated with surcharging with band drain installation.

*Note: Mud waves refer to excessive displacement of mud due to successive slip failure during reclamation.*

7.4 Geotechnical Instrumentation is frequently employed for monitoring the condition of reclamation. Sometimes two piezometers are installed inside the same borehole. What is the reason behind this?

For standpipes, they normally contain one plastic tube between its intention is to measure water level only. However, for piezometers, they are used for measuring pore water pressure in a certain depth below ground. For instance, if there are two clayey layers below ground at different depths, a multiple piezometer including two separate piezometers may be sunk at the same borehole to determine the pore water pressure at these layers respectively. This arrangement has the advantage that it saves the cost of installation of separate boreholes for several piezometers. However, the installation of multiple piezometers within the same borehole is affected by occurrence of leakage along the pipes as suggested by Marius Tremblay (1989).

7.5 What is the difference between standpipe, standpipe piezometer and piezometer?

A standpipe normally contains plastic pipes with perforated holes at the base. The annular space between the perforated tube and casing is filled with gravel or sand backfill. Under such an arrangement, standpipe is used to measure water level of a certain region.

A standpipe piezometer is a type of piezometer which measures pore water pressure at a certain level. It consists of plastic pipes without holes. The tip of the standpipe piezometer is perforated and the annular space between the tip of the piezometer and soil is filled with sand while the annular space between other parts of plastic tube and soil is filled with cement/bentonite grout to seal off water from entering the region of piezometer tip. This enables the pore water pressure in the region of piezometer tip to be measured. In essence, standpipe piezometers are installed to study the pore water pressure of a specified depth below ground. However, it suffers from the disadvantage that the response time is relatively slow in clayey soils. Reference is made to Marius Tremblay (1989).
7.6 For drained reclamation, what is the significance of smear zone induced by installation of band drains?

During installation of band drains, smear zones are created in which a zone of soil surrounding the band drains is disturbed. The compressibility of surrounding soils is increased and it results in the reduction of their permeability. In fact, the surrounding soils are remoulded during the installation process and the effectiveness of band drains is reduced. In essence, for the reduced permeability of soils around band drains, it takes longer time to complete the consolidation process.

7.7 In case a road passes through a reclaimed land and an existing land, what is the main concern regarding the design of pavements?

For an existing land, it is anticipated that there will be no major settlement within the design life of pavement structures. However, for a recently reclaimed land, even with surcharging and installation of vertical drains, some settlement will still occur after the construction. If a road pavement has to be constructed connecting these two areas, special design has to be made in this transition region. In order to avoid the occurrence of differential settlement which may damage the pavement structure, a transition slab may be designed to accommodate such movement (J. S. M. Kwong (1996)).

7.8 In dredged reclamation, what are the considerations in selecting between trailer suction hopper dredgers and grab dredgers?

Trailer suction hopper dredgers are vessels which remove material off the seabed through hydraulic suction by using pumps. During the dredging operation, a mixture of soil and water is transported through suction pipe to storage hoppers. Significant turbulence inside the hoppers keeps the dredged mixture in suspension and this should be minimized to enhance the material to settle swiftly prior to the process of overflowing. Trailer suction hopper dredgers are mounted with draghead or dragarm pumps which increases the dredging depth and trims down the occurrence of cavitation as suggested by John B. Herbioh (1992). This machine is limited to dredging relatively low-strength material. Moreover, they cannot be deployed in very shallow waters and instead grab dredgers should be used. However, its dredging capacity is higher than that of grab dredger and it can be mobilized in relatively deep-water region. Trailer suction hopper dredgers are renowned for their mobility, versatility and capability to operate in unfavorable sea conditions.

7.9 What are the considerations in selecting marine plants and land plants for installation of band drains?

For installation of band drains by marine plants, it must have sufficient water depth to accommodate the marine plants in the first place. However, due to the effect of tides and waves, the establishment of the position for installation of band drains and the subsequent installation works may be affected. In addition, the establishment cost of marine plants is higher than that of land plants.

For installation of band drains by land plants, difficulty may be encountered during the installation of band drains through the reclaimed layer e.g. C&D material. Land plants may take longer construction time due to the above-mentioned difficulty. Sometimes when the supply of public fill is increased suddenly, it may be preferable to place these fill immediately into position and in this situation the installation of band drains (originally installed by marine
plants) is delayed so that the construction of band drains is changed to using land plants.

7.10 **For installation of silt curtains, why is it not desirable to design the curtain to touch the seabed?**

Silt curtains are impermeable vertical barriers extending from the seawater surface to its designed depth. The curtains are held in a vertical position by the carrier float on their top and a curtain weight at their bottom. A tension cable is designed at the carrier float to resist stresses incurred by currents. Moreover, the silt curtains are anchored to the seabed to hold them in the designed configuration.

In essence, the depth of silt curtains should not be so long and touch the seabed because the bottom segment of the silt curtains would be trapped inside the newly accumulated sediment, thus resulting in sinking of the curtain. Consequently, it is difficult to remove these sunken curtains. Moreover, reversal tidal and current actions may cause the movement of bottom region of curtains which stir up the settled suspensions and induce additional turbidity.

7.11 **What are the functions of slip joints in blockwork seawalls?**

Slip joints are joints which are formed through a complete vertical plane from the cope level to the toe level of seawalls. These joints are designed in blockwork seawalls to cater for possible differential settlements between adjacent panels of seawalls. The aggregates inside the half-round channels in slip joints allow for the vertical movements induced by differential settlement and at the same time providing aggregate interlocking forces among adjacent panels of seawalls to link the panels in one unit against the lateral earth pressure exerted on seawall. Besides, slip joints provide a path for the relief of water pressure developed and allow the lateral movement (e.g. contraction) due to seasonal variations.

*Note: For details of slip joints, reference is made to CEDD Standard Drawing No. C3008C.*
SECTION 8

PIERS and MARINE STRUCTURES

8.1 Why is sulphate-resisting cement not used in marine concrete?

The main components of Portland cement are tricalcium silicate, dicalcium silicate, tricalcium aluminate and tetracalcium aluminoferrite. In sulphate-resisting cement, it contains a low amount of tricalcium aluminate in order to avoid sulphate attack. Otherwise, tricalcium aluminate would react with sulphates to form calcium sulphoaluminate and gypsum that cause expansion and crack the concrete structure.

However, for marine concrete sulphate-resisting cement should not be used because tricalcium aluminate has high affinity for chloride ions. This is based on the possible reaction of chloride ions and tricalcium aluminate to form calcium chloroaluminate hydrate as suggested by P. Kumar Mehta (1991) and the reduction of which may increase the rate of chloride attack to the concrete marine structure and result in faster corrosion of steel reinforcement in marine structures.

8.2 Shall a layer of wearing course or additional thickness be designed on the surface of piers?

In the design of piers, consideration should be given to the effect of wearing action caused by passengers, other traffics and even sometimes vehicles. In maritime environment, the durability and integrity of concrete is detrimental to the servicing life of piers because it acts an essential barrier to chloride attack. However, in view of these gradual wear and tear generated by the loading traffic, some forms of surface protection should be provided on top of pier surface like wearing course or additional increase in concrete cover.

8.3 What is the difference in application of surface-protecting fenders and energy-absorbing fenders?

Surface-protecting fenders are fenders that induce high reaction forces to berthing structures for the energy absorbed while energy-absorbing fenders are fenders which transmit low impact to berthing structures for the energy absorbed (Carl A. Thoresen (1988)). In fact, the principal function of fenders is to absorb the berthing energy and transmit a force to the structures without damaging them. Therefore, in open berth structures, it is desirable to use energy-absorbing fenders to reduce the loads acting on the relatively flexible structures. On the other hand, for solid berth structures the usage of surface-protecting fenders is adequate because they are capable of taking up large berthing loads.

8.4 Should dolphins be designed in a rigid manner, i.e. resting on several raking piles?

In designing dolphins, they are normally supported on a system of three to four raking piles. This in essence is a rigid structure and exhibits little flexibility e.g. movement against impact and berthing loads by vessels. In fact, this kind of design may not be desirable in terms of maintenance because the dolphins are readily susceptible to damage by high berthing vessels. To rectify this situation, some energy absorption devices like rubber/plastic fenders have to be installed to reduce the impact load deriving from its own deflection. On the other hand,
by designing dolphins as flexible structures capable for allowing slight deflection, it helps to reduce the large forces generated during berthing of vessels. In this connection, one way of designing dolphins as flexible structures is by provision of a single pile only.

*Note: For a rigid structure, it takes up external loads without undergoing excessive deformations.*

8.5 **In connecting fenders to pier structures, should single lock nuts or double lock nuts be used?**

In many pier structures the connection of fenders to piers is achieved by using single lock nuts. However, they do not perform well because some timber fenders loosen more easily when subject to vibrating loads due to berthing, wave and tidal actions. To solve this problem, double lock nuts should be adopted as they prove to function satisfactory in other structural elements which are subject to frequent vibration loads.

*Note: Double lock nuts mean two nuts are adopted in a single bolt connection between fenders and marine structures.*

8.6 **What is the design level of landings in piers?**

Landings are designed as resting place for passengers during berthing and deberthing of vessels. In general, landings are provided near mean high and mean low water levels to facilitate embarking and disembarking of passengers (BS6349: Part 2: 1988). Therefore, the level of landing steps should be different from place to place because of different mean high and mean low water levels in different locations.

8.7 **What are the pros and cons of using timber fenders, plastic fenders and rubber fenders?**

**Timber fenders:**
They are low in strength and are subject to rotting and marine borer attack. Moreover, they have low energy absorption capacity and the berthing reaction depends on the point of contact. The contact pressure between fender and vessels are high. They are considered to be environmentally unfriendly because they consume tropical hardwoods in their production.

**Plastic fenders:**
Their strength is similar to that of timber fenders but they have relatively high abrasive resistance. They are resistant to chemical and biological attack. Their energy absorption capacities are moderate and the berthing reactions are also dependent on the point of contact. The reaction is lower when compared with timber fenders for a given energy absorption. They are considered to be environmental friendly because they are manufactured from recycled material.

**Rubber fenders:**
They possess high abrasive resistance and are also resistant to most biological and chemical attacks. They have moderate to high energy absorption capacity and the energy absorption performance is independent of the point of contact. Similar to plastic fenders, they are also environmental friendly products.
8.8 Why are most marine piles circular in cross section?

For marine piles, there are several options available for selection, namely H-piles, circular pipes and box piles.

However, only circular piles and box piles are suitable for marine application because of the following two reasons suggested by G. M. Cornfield (1968):

1. Circular piles and box piles possess high column buckling strength. For marine structures like jetties, piles are well above seabed level and therefore the column buckling effect is significant when compared with other structures. Therefore, it is essential to use pile sections which have relatively high buckling strength in piers.

2. Circular piles and box piles display high energy absorbing capability. For marine structures like dolphins and fenders, which require substantial amount of berthing energy to be absorbed, these pile sections are inevitably good choices.

In marine structures, it appears that circular sections prevail over the box sections. The main reason is that the range of section available for selection of circular piles is more than that of box piles.

8.9 For marine pile type of steel tubular piles with reinforced concrete infill, minimum toe level is often specified in contract drawings. What is its purpose?

The purpose of minimum toe level is two-fold:

1. In detailed design stage, ground investigation should be conducted and the approximate level of rockhead is known. Therefore, to avoid the marine piles to be founded prematurely on boulders, minimum toe levels of marine driven piles are specified in contract.

2. It provides sufficient length of soils for lateral and uplift resistance.

Note: Minimum toe level refers to the minimum level that a marine driven pile should be driven into seabed.

8.10 What is the problem in traditional marine piling system of steel tubular pile with concrete infill and what are the possible remedial measures?

In the design of marine piles of steel tubular piles with concrete infill, loads from pier deck are taken up by steel tubular piles before the occurrence of corrosion of steel piles above seabed. In fact, it is assumed that steel piles above seabed level will all be corroded after a certain year. The load transfer mechanism after complete corrosion of steel pile above seabed is as follows: loads from pier deck are taken up by concrete infill above the seabed level. Below the seabed level, loads would be transferred to steel piles through frictional forces between concrete infill and steel casings.

However, substantial radial shrinkage and contraction occurs after concreting of concrete infill and this will hinder the load transfer from the concrete infill to steel piles because the bond may be ruptured by radial shrinkage. It is in doubt if frictional forces can be properly developed in this situation. To solve this problem, shear keys could be installed at regular spacing inside steel piles to ensure their rigid connection with concrete infill. Alternatively, expanding agents
may be adopted in concrete mixes to ensure that there is no shrinkage after the concreting process.

8.11 **Why are steel tubular marine piles often driven open-ended?**

In marine structures where piles are constantly subject to significant lateral and uplift forces induced by berthing operation and wave action, it is necessary to drive the piles to much greater depth. To avoid premature refusal so that insufficient soil cover may develop which is incapable of providing the required lateral and uplift resistance, tubular piles are normally driven open-ended so that they are driving to greater depths than piles with closed ends.

![Typical Details of Marine Piles](image1)

**Figure 8.1 - Typical Details of Marine Piles**

8.12 **For typical pile bents in marine piers, how is vertical loads related to horizontal capacity of the pile bents?**

Let’s consider a pile bent with a top slab supported by two ranking piles, each inclining at an equal angle to the pier slab. In designing such a system, truss action is normally adopted to analyze the pile bent. When the reaction forces of these piles, horizontal forces (e.g. due to berthing and debberthing of vessels) and vertical forces (e.g. superimposed deck loads) are analyzed by drawing a force polygon, it is noted that lateral resistance of the pile bent is dependent on the vertical load, i.e. lateral resistance is small when vertical loads are high.

![Force Polygon of Pile Bent](image2)

**Figure 8.2 - Force Polygon of Pile Bent**
8.13 For underwater concreting, tremie pipes are normally used with the aid of hoppers. Sometimes tubes are inserted inside the hoppers. Why?

In placing concrete by tremie pipes, hoppers are connected to their top for receiving freshly placed concrete. However, air may be trapped inside the tremie pipes if there is rapid feeding of fresh concrete. To release the trapped air inside the tremie pipes, hoses (called ventilation tubes) are inserted and lowered down through the hoppers. Reference is made to Carl A. Thoresen (1988).

8.14 Why is shallow bedrock condition unfavorable for open berth piers?

The most severe load on piers generally is the horizontal load due to berthing of large vessels. Since the widths of open berth piers are relatively small so that they provides a short lever arm to counteract the moment induced by berthing loads. Moreover, the dead load of open berth piers are normally quite light and therefore the resisting moment provided by the dead load of pier structures may not be sufficient to counteract the moment generated by berthing loads.

To aid in providing adequate resistance to the overturning moment by the berthing load, the soil resistance above bedrock contributes to stabilizing moment. For commonly adopted marine piling type, i.e. driven steel tubular piles with reinforced concrete infill, driven piles can at most be founded on top of rockhead surface. In case the rockhead level is shallow, then the little soil cover may result in insufficient lateral resistance to the berthing load.

8.15 Why are high and narrow beams not desirable in concrete piers?

Based on past experience in other countries (Carl A. Thoresen (1988)), high and narrow beams after several years of construction showed signs of serious deterioration at the bottom of the beams. However, the deterioration of pier slabs was not significant when compared with that of the deep beams. The main reason to account for this is due to the close proximity of the deep beams to the sea level. To avoid these problems, either beamless slab or wide with shallow beams are normally designed.

8.16 What is the mechanism of formation of soil plugs in marine driven steel piles with reinforced concrete infill?

During initial driving process, open-ended steel piles are driven through the soils at their bases. However, shaft friction will gradually develop between the steel piles and soils inside piles at some time after pile driving. The hitting action of driving hammers induces forces to the soil and later it comes to a stage when the inertial forces of inside soils, together with the internal frictional forces exceeding the bearing capacity of soils at pile toes. Consequently, the soil plug formed is brought down by the piles. Reference is made to M. J. Tomlinson (1977).

Note: A soil plug is a column of soil formed at the bottom portion of marine pile type of steel tubular piles with reinforced concrete infill.

8.17 Should stiff or soft fenders be designed for berthing in piers?

The elasticity of fenders is related to the ability to release the stored energy during berthing of vessels. However, it has no effect on the reaction force and the deflection of fender system. The amount of energy that a fender can absorb is dependent on the reaction-deflection curve and is represented by the area under the curve. The higher is the reaction force, the higher amount of
energy would be absorbed by the fender provided that the resistance of ships’ hull is sufficient to withstand the force without permanent deformations. Although stiff and soft fender may have the same deflection under the same maximum reaction force acting on the berthing vessel, the amount of energy absorbed by stiff fenders is much higher than that of soft fenders. Consequently, stiff fenders should be employed for berthing purpose.

On the other hand, in mooring operations where vessels are constantly subject to wave action, it is desirable to keep the tension force on the rope to a low value. In this connection, it is recommended to use soft fenders.

8.18 What is the significance of direction of approaching velocities of ships during berthing operation?

One of the major effects of angle of approaching velocities of ships is its influence of the energy to be absorbed by the fender system. Consider several ships berth on the same pier at the same speed but with different angle of approach, though their kinetic energies are the same, the amount of energy absorbed by fender differs. The amount of energy absorbed by fender is:

\[ W = 0.5mv^2 \left( k^2 + r^2 \cos^2 \Phi \right) / \left( k^2 + r^2 \right) \]

where
- \( W \) = energy absorbed by the fender
- \( m \) = mass of the ship
- \( v \) = velocity of the ship
- \( k \) = radius of gyration of the ship
- \( r \) = distance of centre of gravity of the ship to the point of contact of the fender
- \( \Phi \) = direction of velocity

Hence, when the direction of approaching velocity of a ship is normal to the fender system (i.e. \( \Phi = 90^\circ \)), the amount of energy absorbed is smaller when compared with that of a ship whose velocity is tangential to the shoreline. Reference is made to F. Vasco Costa (1964).
SECTION 9

CONCRETE STRUCTURES

9.1 What are the functions of different components of a typical expansion joint?

In a typical expansion joint, it normally contains the following components: joint sealant, joint filler, dowel bar, PVC dowel sleeve, bond breaker tape and cradle bent.

Joint sealant: it seals the joint width and prevents water and dirt from entering the joint and causing dowel bar corrosion and unexpected joint stress resulting from restrained movement.

Joint filler: it is compressible so that the joint can expand freely without constraint. Someone may doubt that even without its presence, the joint can still expand freely. In fact, its presence is necessary because it serves the purpose of space occupation such that even if dirt and rubbish are intruded in the joint, there is no space left for their accommodation.

Dowel bar: This is a major component of the joint. It serves to guide the direction of movement of concrete expansion. Therefore, incorrect direction of placement of dowel bar will induce stresses in the joint during thermal expansion. On the other hand, it links the two adjacent structures by transferring loads across the joints.

PVC dowel sleeve: It serves to facilitate the movement of dowel bar. On one side of the joint, the dowel bar is encased in concrete. On the other side, however, the PVC dowel sleeve is bonded directly to concrete so that movement of dowel bar can take place. One may notice that the detailing of normal expansion joints in Highways Standard Drawing is in such a way that part of PVC dowel sleeve is also extended to the other part of the joint where the dowel bar is directly adhered to concrete. In this case, it appears that this arrangement prevents the movement of joint. If this is the case, why should designers purposely put up such arrangement? In fact, the rationale behind this is to avoid water from getting into contact with dowel bar in case the joint sealant fails. As PVC is a flexible material, it only minutely hinders the movement of joint only under this design.

Bond breaker tape: As the majority of joint sealant is applied in liquid form during construction, the bond breaker tape helps to prevent flowing of sealant liquid inside the joint.

Cradle bar: It helps to uphold the dowel bar in position during construction.

9.2 If on-site slump test fails, should engineers allow the contractor to continue the concreting works?

This is a very classical question raised by many graduate engineers. In fact, there are two schools of thought regarding this issue.

The first school of thought is rather straightforward: the contractor fails to comply with contractual requirements and therefore as per G. C. C. Clause 54 (2)(c) the engineer could order suspension of the Works. Under the conditions of G. C. C. Clause 54(2)(a) – (d), the contractor is not entitled to any claims of cost which is the main concern for most engineers. This is the contractual power given to the Engineer in case of any failure in tests required by
the contract, even though some engineers argue that slump tests are not as important as other tests like compression test.

The second school of thought is to let the contractor to continue their concreting works and later on request the contractor to prove that the finished works comply with other contractual requirements e.g. compression test. This is based upon the belief that workability is mainly required to achieve design concrete compression strength. In case the compression test also fails, the contractor should demolish and reconstruct the works accordingly. In fact, this is a rather passive way of treating construction works and is not recommended because of the following reasons:

1. Workability of freshly placed concrete is related not only to strength but also to durability of concrete. Even if the future compression test passes, failing in slump test indicates that it may have adverse impact to durability of completed concrete structures.

2. In case the compression test fails, the contractor has to deploy extra time and resources to remove the work and reconstruct them once again and this slows down the progress of works significantly. Hence, in view of such likely probability of occurrence, why shouldn’t the Engineer exercise his power to stop the contractor and save these extra time and cost?

9.3 What is the function of shear keys in the design of retaining walls?

In determining the external stability of retaining walls, failure modes like bearing failure, sliding and overturning are normally considered in design. In considering the criterion of sliding, the sliding resistance of retaining walls is derived from the base friction between the wall base and the foundation soils. To increase the sliding resistance of retaining walls, other than providing a large self-weight or a large retained soil mass, shear keys are to be installed at the wall base. The principle of shear keys is as follows:

The main purpose of installation of shear keys is to increase the extra passive resistance developed by the height of shear keys. However, active pressure developed by shear keys also increases simultaneously. The success of shear keys lies in the fact that the increase of passive pressure exceeds the increase in active pressure, resulting in a net improvement of sliding resistance.

On the other hand, friction between the wall base and the foundation soils is normally about a fraction of the angle of internal resistance (i.e. about 0.8 \( \phi \)) where \( \phi \) is the angle of internal friction of foundation soil. When a shear key is installed at the base of the retaining wall, the failure surface is changed from the wall base/soil horizontal plane to a plane within foundation soil. Therefore, the friction angle mobilized in this case is \( \phi \) instead of 0.8 \( \phi \) in the previous case and the sliding resistance can be enhanced.

9.4 In designing concrete structures, normally maximum aggregate sizes are adopted with ranges from 10mm to 20mm. Does an increase of maximum aggregate size benefit the structures?

To answer this question, let’s consider an example of a cube. The surface area to volume ratio of a cube is 6/b where b is the length of the cube. This implies that the surface area to volume ratio decreases with an increase in volume. Therefore, when the size of maximum aggregate is increased, the surface area to be wetted by water per unit volume is reduced. Consequently, the
water requirement of the concrete mixes is reduced accordingly so that the water/cement ratio can be lowered, resulting in a rise in concrete strength.

However, an increase of aggregate size is also accompanied by the effect of reduced contact areas and discontinuities created by these larger sized particles. In general, for maximum aggregate sizes below 40mm, the effect of lower water requirement can offset the disadvantages brought about by discontinuities as suggested by Longman Scientific and Technical (1987).

9.5 **In concrete compression test, normally 150mmx150mmx150mm concrete cube samples is used for testing. Why isn’t 100mmx100mmx100mm concrete cube samples used in the test instead of 150mmx150mmx150mm concrete cube samples?**

Basically, the force supplied by a concrete compression machine is a definite value. For normal concrete strength application, say below 50MPa, the stress produced by a 150mmx150mmx150mm cube is sufficient for the machine to crush the concrete sample. However, if the designed concrete strength is 100MPa, under the same force (about 2,000kN) supplied by the machine, the stress under a 150mmx150mmx150mm cube is not sufficient to crush the concrete cube. Therefore, 100mmx100mmx100mm concrete cubes are used instead to increase the applied stress to crush the concrete cubes.

For normal concrete strength, the cube size of 150mmx150mmx150mm is already sufficient for the crushing strength of the machine.

9.6 **What are the major problems in using pumping for concreting works?**

In pumping operation, the force exerted by pumps must overcome the friction between concrete and the pumping pipes, the weight of concrete and the pressure head when placing concrete above the pumps. In fact, as only water is pumpable, it is the water in the concrete that transfers the pressure.

The main problems associated with pumping are the effect of segregation and bleeding. To rectify these adverse effects, the proportion of cement is increased to enhance the cohesion in order to reduce segregation and bleeding. On the other hand, a proper selection of aggregate grading helps to improve the pumpability of concrete.

9.7 **Is it desirable to use concrete of very high strength i.e. exceeding 60MPa? What are the potential problems associated with such high strength concrete?**

To increase the strength of concrete, say from 40MPa to 80MPa, it definitely helps in improving the structural performance of the structure by producing a denser, more durable and higher load capacity concrete. The size of concrete members can be significantly reduced resulting in substantial cost savings. However, an increase of concrete strength is also accompanied by the occurrence of thermal cracking. With an increase in concrete strength, the cement content is increased and this leads to higher thermal strains. Consequently, additional reinforcement has to be introduced to control these additional cracks caused by the increase in concrete strength. Moreover, the ductility of concrete decreases with an increase in concrete strength. Attention should be paid during the design of high strength concrete to increase the ductility of concrete. In addition, fire resistance of high strength concrete is found to be less than normal strength concrete as suggested by Odd E. Gjørv (1994).
Though the tensile strength of high strength concrete is higher than that of normal concrete, the rate of increase of tensile strength is not proportional to the increase of compressive strength. For normal concrete, tensile strength is about one-tenth of compressive strength. However, for high strength concrete, it may only drop to 5% of compressive strength.

Moreover, owing to a low aggregate content of high strength concrete, creep and shrinkage increases.

9.8 What are the disadvantages of curing by ponding and polythene sheets?

The purpose of curing is to reduce the rate of heat loss of freshly placed concrete to the atmosphere and to minimize the temperature gradient across concrete cross section. Moreover, curing serves to reduce of the loss water from freshly placed concrete to the atmosphere.

Ponding: This method of thermal curing is readily affected by weather condition (cold wind). Moreover, a large amount of water used has to be disposed off the construction sites after curing.

Polythene sheet: This method of curing is based on the principle that there is no flow of air over the concrete surface and thereby no evaporation can take place on top of the freshly concreted surface by provision of polythene sheets. However, it suffers from the demerit that polythene sheets can be easily blown off in windy condition and the performance of curing would be affected. Moreover, for water lost due to self-desiccation, this method cannot replenish these losses.

9.9 Comparing the rate of “Formwork exceeding 300mm wide, horizontal or at any inclination up to and including 5° to the horizontal” with the rate of “Formwork exceeding 300mm wide, at any inclination more than 85° up to and including 90° to the horizontal”, which one is higher?

The item “Formwork exceeding 300mm wide, at any inclination more than 85° up to and including 90° to the horizontal” refers to formwork formed vertically and when compared with formwork erected in horizontal plane, the amount of falsework required is smaller.

The item “Formwork exceeding 300mm wide, horizontal or at any inclination up to and including 5° to the horizontal” refers to formwork to be erected in horizontal position and in general it requires much falsework to support this type of formwork. Therefore, the rate for this item is higher than the one mentioned in the above paragraph.

9.10 If concrete compression test fails, should Schmidt hammer test be adopted as an alternative test to prove the concrete strength?

The Schmidt hammer test is based on the elastic rebound of hammer which presses on concrete surface and it measures the surface hardness of concrete. Since the test is very sensitive to the presence of aggregates and voids at the concrete surface, it is necessary to take more than 10 readings over the area of test. However, it should be noted that Schmidt hammer test measures surface hardness only but not the strength of concrete. Therefore, it may not be considered a good substitute for concrete compression test.
9.11 What is the indication of shear slump and collapse slump in slump tests?

There are three types of slump that may occur in slumps test, namely, true slump, shear slump and collapse slump.

True slump refers to general drop of the concrete mass evenly all around without disintegration.

Shear slump implies that the concrete mix is deficient in cohesion. Consequently, it may undergo segregation and bleeding and thus is undesirable for durability of concrete.

Collapse slump indicates that concrete mix is too wet ad the mix is deemed to be harsh and lean.

9.12 In erection of falsework, for a rectangular panel inside a falsework should it be braced along the two diagonals?

When a rectangular panel is subject to an eccentric load or a lateral load, it tends to deform into a parallelogram with one diagonal shortening and the other elongating. Theoretically, it is sufficient to brace along one of the diagonals (the one in tension). If one diagonal is only allowed to brace inside the rectangular panel, it should be not braced in the diagonal in compression because under severe lateral loading the diagonal may buckle leading to failure of structure.

However, in actual situation lateral loads may come from both sides of the panel and hence it should be braced in both diagonals.

9.13 In carrying out compression test for concrete, should test cubes or test cylinders be adopted?

Basically, the results of compression test carried out by using cubes are higher than that by cylinders. In compression test, the failure mode is in the form of tensile splitting induced by uniaxial compression. However, since the concrete samples tend to expand laterally under compression, the friction developed at the concrete-machine interface generates forces which apparently increase the compressive strength of concrete. However, when the ratio of height to width of sample increases, the effect of shear on compressive strength becomes smaller. This explains why the results of compression test by cylinders are lower than that of cubes. Reference is made to Longman Scientific and Technical (1987).

9.14 Can grout replace concrete in normal structure?

The mixture of cement and water alone cannot replace concrete (Longman Scientific and Technical (1987)) because:

1. Shrinkage of grout is several times that of concrete with the same mass.
2. The effect of creep of grout is far more than that of concrete.
3. Heat of hydration of cement with water is more than normal concrete and this leads to the problem of severe cracking.
9.15 **What is the function of rebate in a typical construction joint?**

Construction joints are created on sites to facilitate the construction process. However, if improperly constructed, the completed construction joints will leave an uneven scar on the concrete surface and affect significantly its appearance. To avoid this, a rebate is formed during the first pour of one side of construction joint. After the other pour is concreted, it will hide the uneven joint inside the rebate.

![Figure 9.1 - A Rebate](image)

9.16 **If a contractor proposes to increase concrete cover beyond contractual specification (i.e. 40mm to 70mm), shall engineers accept the proposal?**

In contractual aspect, based on the requirement of General Specification of Civil Engineering Works (1992 Edition), the tolerance of concrete cover is between +5mm and –5mm and engineers should not accept sub-standard work because they do not possess the authority to change the acceptance criteria. In case engineers consider contractor’s proposal acceptable in technical point of view, consent has to be sought from the employer regarding the changes in acceptance criteria.

From technical point of view, the effect on cracking due to an increase in concrete cover should be considered. In general, there are three main parameters which govern crack width, namely tensile strain at the point considered, the distance of longitudinal bar to the concerned point and the depth of tension zone.

For the second factor, i.e. proximity of longitudinal bars to point of section, the closer a bar is to this point, the smaller is the crack width. Therefore, closely spaced bars with smaller cover will give narrower cracks than widely spaced bars with larger cover. Therefore, with an increase of concrete cover, the crack width will increase which is undesirable.

9.17 **Which type of bar reinforcement is more corrosion resistant among epoxy-coated bars, stainless steel bars or galvanized bars?**

Based on the experiment conducted by the Building Research Establishment, it was shown that the corrosion resistance of galvanized steel was the worst among the three types of bar
reinforcement. For galvanized steel bars, corrosion started to occur when a certain chloride content in concrete (i.e. 0.4% by cement weight) was exceeded. However, for epoxy-coated bars, they extended the time taken for cracking to occur when compared with galvanized steel bars.

The best corrosion resistant reinforcement among all is stainless steel. In particular, austenitic stainless steel stayed uncorroded even there was chloride contamination in concrete in the experiment. Reference is made to K. W. J. Treadaway (1988).

9.18 Can a concrete structure be completely free of expansion joints and contraction joints?

Consider that the concrete structure is not subject to the problem of differential settlement.

For contraction joints, it may be possible to design a concrete structure without any contraction joints. By using sufficient steel reinforcement to spread evenly the crack width over the span length of the structure, it may achieve the requirement of minimum crack width and cause no adverse impact to the aesthetics of the structure. However, it follows that the amount of reinforcement required is higher than that when with sufficient contraction joints.

For expansion joints, the consequence of not providing such joints may be difficult to cater for. For example, a concrete structure has the coefficient of thermal expansion of \(9 \times 10^{-6}/{}^\circ C\) and a Young’s modulus of 34.5kN/mm\(^2\). With an increase of temperature of 20\(^\circ C\) and it is restricted to free expansion, then the structure is subject to an axial stress of 6.21MPa. If the structure is very slender (e.g. concrete carriageway), buckling may occur. Therefore, the structure has to be designed to take up these thermal stresses if expansion joints are not provided. However, for water retaining structures, most of them are not affected by weather conditions because they are insulated from the water they contain internally and soil backfill that surround them. Therefore, it is expected that a smaller amount of thermal movement will occur when compared with normal exposed concrete structure. Consequently, expansion joints may be omitted in this case with the view that the compressive stress induced by thermal expansion toughens the structure to limit the development of tensile stress.

9.19 General Specification for Civil Engineering Works (1992 Edition) Clause 15.09 specifies that tying wires for reinforcement adjacent to and above Class F4 and F5 finishes should be stainless steel wires. Why?

If plain steel tying wires are used for reinforcement adjacent to Class F4 and F5 finishes, it poses the problem of rust staining which may impair the appearance of exposed concrete surfaces. The rate of corrosion of plain steel tying wires is similar to normal steel reinforcement. However, for tying wires with very small diameter, upon long exposure it stands a high chance of rusting completely and these rust will stain the formwork and significantly affect the concrete finish. Therefore, stainless steel tying wires are specified for locations in the vicinity of high quality of finishes to avoid rust staining by corroded typing wires.

*Note: Tying wires are wires used for fixing and connecting steel reinforcement bars.*
9.20 **Does the presence of rust have adverse impact to the bond performance of bar reinforcement?**

In fact, the presence of rust in bars may not have adverse impact to the bond performance and it depends on the types of bar reinforcement under consideration.

For plain round bars, the rust on bars improves the bond performance by the formation of rough surfaces which increases the friction between steel and concrete.

However, for deformed bars, the same theory cannot apply. The presence of rust impairs the bond strength because corrosion occurs at the raised ribs and subsequently fills the gap between ribs, thus evening out the original deformed shape. In essence, the bond between concrete and deformed bars originates from the mechanical lock between the raised ribs and concrete. On the contrary, the bond between concrete and plain round bars derives from the adhesion and interface friction. With such differences in mechanism in bonding, the behaviour of bond between deformed bars and plain round bars in the presence of rust varies. Reference is made to CIRIA Report 147.

9.21 **For long slender structures like beams, propping is required after removal of formwork. Why?**

After concreting, the time at which striking of formworks should not be too long, otherwise it would affect the colour of concreted structures. For long span concrete structures, when they have attained sufficient strength to support their self-weight, creep deflection may occur in these structures if propping is not provided after the removal of formwork. Therefore, re-propping is carried out after removing formwork and these props should not be allowed to stand too long because creep loads may overstress them.

*Note: Propping refers to provision of falsework to support slabs and beams during their gain in concrete strength after concreting.*

9.22 **What is the difference in application between open stirrups and closed stirrups in concrete beams?**

Open stirrups are provided principally to resist shear forces in concrete beams and they are applied in locations in which the effect of torsion is insignificant. U-shaped stirrups are placed in the tension side of concrete beams in which shear cracks would occur. However, when concrete beams are designed to resist a substantial amount of torsion, closed stirrups should be used instead.

9.23 **For column reinforcements, why is helical reinforcement sometimes designed instead of normal links?**

The use of links for column design in Britain is very popular. However, in U.S.A. engineers tend to use helical reinforcement instead of normal links because helical reinforcement has the potential advantage of protecting columns/piles against seismic loads. Moreover, when the columns reach the failure state, the concrete outside hoops cracks and falls off firstly, followed by the eventual failure of the whole columns. The peeling off of concrete outside helical reinforcement provides a warning signal before the sudden failure of columns as suggested by G. P. Manning (1924). In addition, it can take up a higher working load than normal link reinforcement.
For instance, helical reinforcement is adopted in the design of marine piles in Government piers.

*Note: Helical reinforcement refers to shear reinforcement which is spiral in shapes.*

**9.24 What is the difference between epoxy grout, cement grout and cement mortar?**

Epoxy grout consists of epoxy resin, epoxy hardener and sand/aggregates. In fact, there are various types of resin used in construction industry like epoxy, polyester, polyurethane etc. Though epoxy grout appears to imply the presence of cement material by its name, it does not contain any cement at all. On the other hand, epoxy hardener serves to initiate the hardening process of epoxy grout. It is commonly used for repairing hairline cracks and cavities in concrete structures and can be adopted as primer or bonding agent.

Cement grout is formed by mixing cement powder with water in which the ratio of cement of water is more or less similar to that of concrete. Setting and hardening are the important processes which affect the performance of cement grout. Moreover, the presence of excessive voids would also affect the strength, stiffness and permeability of grout. It is versatile in application of filling voids and gaps in structures.

Cement mortar is normally a mixture of cement, water and sand. They are used as bedding for concrete kerbs in roadwork.

**9.25 What is the purpose of skin reinforcement for deep beams?**

In BS8110, it states that secondary reinforcement should be provided for beams exceeding 750mm deep at a distance measured 2/3 depth from the tension face. Experimental works revealed that at or close to mid-depth of deep beams, the maximum width of cracks arising from flexure may be about two to three times larger than the width of the same crack at the level of surface where the crack originally forms.

The presence of crack is undesirable from aesthetic point of view. Moreover, it poses potential corrosion problems to reinforcement of deep beams. To safeguard against these crack formation, skin reinforcement is designed on the sides of deep beams to limit the formation of flexural crack widths. Though the principal function of skin reinforcement is to control crack width, it may be employed for providing bending resistance of the section.
SECTION 10

PILES and FOUNDATIONS

10.1 It is not necessary to design nominal reinforcement to piles. Is it true?

In BS8110 and BS5400 Pt.4, they require the provision of nominal reinforcement for columns. However, for pile design the requirement of nominal reinforcement may not be necessary. Firstly, as piles are located underground, the occurrence of unexpected loads to piles is seldom. Secondly, shear failure of piles is considered not critical to the structure due to severe collision. Moreover, the failure of piles by buckling due to fire is unlikely because fire is rarely ignited underground.

However, the suggestion of provision of nominal reinforcement to cater for seismic effect may be justified. Reference is made to J P Tyson (1995).

10.2 How do rock sockets take up loads?

The load transfer mechanism is summarized as follows:

When a socketed foundation is loaded, the resistance is provided by both rock socket wall and the socket base and the load distribution is a function of relative stiffness of foundation concrete and rock mass, socket geometry, socket roughness and strength. At small displacements the rock-socket system behaves in an elastic manner and the load distribution between socket wall and socket end can be obtained from elastic analysis. At displacements beyond 10-15mm, relative displacement occurs between rock and foundation and the socket bond begins to fail. This results in reduction of loads in rock-socket interface and more loads are transferred to the socket end. At further displacements, the interface strength drops to a residual value with total rupture of bond and more loads are then distributed to the socket end.

10.3 In designing mini-piles, should the strength of grout be neglected during assessment of loading carrying capacity?

In designing mini-piles, there are two approaches available:

1. In the first approach, the axial resistance provided by the grout is neglected and steel bars take up the design loads only. This approach is a conservative one which leads to the use of high strength bars e.g. Dywidag bar. One should note that bending moment is not designed to be taken up by mini-piles because of its slender geometry.

2. In the second approach, it involves loads to be taken up by both grout and steel bars together. In this way, strain compatibility requirement of grout and steel has to be satisfied.

10.4 What are the considerations in determining whether casings should be left in for mini-piles?

Contrary to most of pile design, the design of mini-piles are controlled by internal capacity instead of external carrying capacity due to their small cross-sectional area.
There are mainly two reasons to account for designing mini-piles as friction piles:

1. Due to its high slenderness ratio, a pile of 200mm diameter with 5m long has a shaft area of 100 times greater than cross-sectional area. Therefore, the shaft friction mobilized should be greater than end resistance.

2. Settlements of 10%-20% of pile diameter are necessary to mobilize full end bearing capacity, compared with 0.5%-1% of pile diameter to develop maximum shaft resistance.

Left-in casings for mini-piles have the following advantages:

1. Improve resistance to corrosion of main bars;
2. Provide additional restraint against lateral buckling;
3. Improve the grout quality by preventing intrusion of groundwater during concreting;
4. Prevent occurrence of necking during lifting up of casings during concreting.

10.5 **What is the purpose of post-grouting for mini-piles?**

Post-grouting is normally carried out some time when grout of the initial grouting work has set (e.g. within 24 hours of initial grouting). It helps to increase the bearing capacity of mini-piles by enhancing larger effective pile diameter. Moreover, it improves the behaviour of soils adjacent to grouted piles and minimizes the effect of disturbance caused during construction. In essence, post-grouting helps to improve the bond between soils and grout, thereby enhancing better skin friction between them.

During the process of post-grouting, a tube with a hole at its bottom is lowered into the pile and grout is injected. The mechanism of post-grouting is as follows: the pressurized grout is initially confined by the hardened grout and can hardly get away. Then, it ruptures the grout cover and makes its way to the surrounding soils and into soft regions to develop an interlock with harder soil zones. In order to enhance the pressurized grout to rupture the initial grout depth, a maximum time limit is normally imposed between the time of initial grouting and time of post-grouting to avoid the development of high strength of initial grout. Consequently, the effect of soil disturbance by installation of casings and subsequent lifting up of casings would be lessened significantly.

10.6 **In designing the lateral resistance of piles, should engineers only use the earth pressure against pile caps only?**

In some design lateral loads are assumed to be resisted by earth pressure exerted against the side of pile caps only. However, it is demonstrated that the soil resistance of pile lengths do contribute a substantial part of lateral resistance. Therefore, in designing lateral resistance of piles, earth pressure exerted on piles should also be taken into consideration.

In analysis of lateral resistance provided by soils, a series of soil springs are adopted with modulus of reaction kept constant or varying with depth. The normal practice of using a constant modulus of reaction for soils is incorrect because it overestimates the maximum reaction force and underestimates the maximum bending moment. To obtain the profile of modulus of subgrade reaction, pressuremeter tests shall be conducted in boreholes in site investigation. Reference is made to Bryan Leach (1980).
10.7 In some codes, they limit the ratio of weight of hammer to weight of pile for pile driving. What is the reason behind this?

When a hammer with initial motion collides with a stationary pile, the transfer of energy is most efficient when the two masses are comparable. That is the reason why some codes limit the ratio of weight of hammer to the weight of pile to be more than 0.5. If the weight of hammer is too low, most of energy during hammer driving is distributed to the hammer and this causes tension induced in hammer and results in inefficient transfer of energy.

10.8 Should engineers rely solely on Hiley’s formula in the design of H-piles?

About 90% of H-piles adopt Hiley’s formula for design. However, this formula is only applicable to pile lengths less than 30m and is suitable for course-grained materials (not suitable to fine-grained soils) as suggested by GEO (1996). In Hiley’s formula, by observing the penetration of piles after the hammer impact, the pile capacity could be readily obtained from the response of the impacting force. Therefore, the individual pile capacity could be obtained by this dynamic method.

However, in normal foundation, groups of H-piles are present and the soil foundation may not be able to support these H-piles simultaneously even though individual piles are proven to have sufficient capacity by using dynamic method. In this case, static method should be employed to ascertain if the soil foundation could support these H-piles.

10.9 What is the function of drilling fluid in rotary drilling in site investigation?

Drilling fluid in rotary serves two main purposes:

1. Facilitate the rotation of drilling tube during rotary drilling;
2. Act as a cooling agent to cool down heat generated during drilling operation.

Traditionally, water is normally employed as drilling fluid. However, it suffers from the following drawbacks:

1. It affects the stability of nearby ground with the introduction of water into the borehole (borehole for soil; drillhole for rock);
2. It affects the quality of sample by changing the water content of soil samples collected from the borehole/drillhole.

Substitutes are available in market to replace water as drilling fluid (e.g. white foam).

10.10 What are the differences in function between rock anchors and rock sockets?

Rock anchors are used primarily for resisting uplift forces. On the contrary, rock sockets serve three main purposes:

1. Rock socket friction and end bearing to resist vertical load;
2. Passive resistance of rock sockets contribute to resistance of lateral load; and
3. Socket shaft friction is also used for resisting uplifting forces. But only 70% of this capacity should be used because of the effect of negative Poisson ratio.
Note: Rock anchors, which may consist of a high tensile bar or a stranded cable, are provided for tension piles when there are insufficient soil covers to develop the required uplifting resistance.

10.11 What are the functions of cap block, drive cap and pile cushion in driven piles?

Cap block is installed between the hammer end and the drive cap to control the hammer blow in order to protect both the hammer and the pile from damage. When the hammer hits the cap block, it compresses elastically and reduces the peak forces, thereby lengthening the time of hammer blow. Moreover, it should be capable of transmitting the hammer energy effectively to the piles.

Drive cap is inserted at hammer tip to enhance uniform distribution of hammer energy to the pile. Pile cushion is positioned between the drive cap and the pile top. It intends to protect the pile from driving stress induced during hammer blows. Moreover, it also serves to provide a uniform driving load on top of the pile.

10.12 What is the significance of driving sequence of driven piles?

For basement construction, if piles are driven from the centre to the perimeter, there is a tendency of soils to move outwards. Such lateral movement of soil may cause damage to nearby structures and utilities.

However, if piles are driven from the outside perimeter inwards, there are little soil lateral movements. This results in a well-compacted centre with an excess pore water pressure built up to resist the loading of piles. Consequently, shorter pile lengths than the original designed ones may result. However, some time after the pile driving operation, the excess pore water pressure is dissipated and the shorter driven piles may not be able to take up the original design loads. In this situation redriving is required to drive the piles to deeper depths after dissipation of excess pore water pressure.

10.13 What is the function of followers in driven H-piles?

A follower is an extension between the pile head and the hammer that transfers the blow to the pile in which the pile head cannot be reached by the hammer or is under water. For construction of driven piles, the piling frame and hammer are normally erected on existing ground level but not at the base of pile caps. However, H-piles are designed to be terminated near the base of pile caps. If piles are driven at ground level, a certain length of H-piles is wasted and cut when constructing pile caps. In this connection, pile followers are used so as to save the wasted section of H-piles because followers can be removed during subsequent construction of pile caps.

10.14 What are the advantages of using top-down approach in basement construction?

The advantages of top-down approach are listed below:

1. The structures above ground can be carried out simultaneously with the structures below ground. This greatly reduces the time for construction.

2. By using this approach, settlement can be reduced.
3. Since the permanent columns and slabs can be utilized to support loadings during construction, it saves the cost of formwork.

*Note: Top-down approach means construction of basement is carried out from ground level downwards.*

### 10.15 What are the methods to tackle negative skin friction?

1. Use slender pile sections (e.g. H-pile or precast pile) because smaller pile area when subject to the same working load would produce higher deformation, thus increasing the relative downward movement of piles.

2. In a certain region of H-piles for ground water table fluctuation, painting is applied on the surface of H-piles because the rise and fall of water table contribute to the corrosion of H-piles. On the other hand, to reduce the effect of additional loads brought about by negative skin friction, bitumen is applied on the pile surface corresponding to the region of soils that has negative skin friction. However, bitumen should not be applied to the whole section of H-piles because it would be unable to derive the designed frictional reaction from soils.

3. Design the piles as end-bearing so that they can take up more loads.

### 10.16 In piling works, normally founding levels of bored piles are defined by using total core recovery or rock quality designation (RQD). Are there any problems with such specification?

The use of total core recovery to determine the founding level may not be able to indicate the quality of rock foundation for piles because it depends on the drilling technique and drilling equipment (GEO (1996)). For instance, if standard core barrels are used to collect samples, it may indicate sufficient core recovery for samples full of rock joints and weathered rock. On the other hand, if triple tube barrels are used for obtaining soil samples, samples with joints and weathered rock can also achieve the requirements of total core recovery.

In case RQD is adopted for determining founding levels, it may also result in incorrect results. For instance RQD does not indicate the joints and infilling materials. Moreover, as it only measures rock segments exceeding 100mm, rock segments exceeding 100mm is considered to be of good quality rock without due consideration of its strength and joint spacing.

### 10.17 What are the head details of H-piles under compression and subject to bending moment?

For steel sections referred to in BS5950, universal bearing pile is characterized by having equal flange and web thickness while universal column has different flange and web thickness. Universal columns can also be used as bearing piles.

In the design of the head details of H-piles, there are three typical cases to be considered, namely compression piles, tension piles and piles with bending moment at the head in addition to tension or compression. The design of these piles recommended by G. M. Cornfield (1968) is listed below:
1. **Compression piles**  
   For this type of piles, H-piles should be embedded 150mm in concrete pile caps and it is not necessary to use any dowels and capping plates in their connection.

2. **Tension piles**  
   A number of hook-ended bars are welded to the top of H-piles.

3. **Piles with bending moment at their head (tension or compression)**  
   The depth of embedment of piles into pile caps is substantially increased and loads are transferred by horizontal bars welded to piles’ flanges.

![Head Details of H-piles Under Compression](image)

**Figure 10.1 - Head Details of H-piles Under Compression**

10.18 In deep excavation, adjacent ground water table is drawn down which may affect the settlement of nearby buildings. What is the remedial proposal to rectify the situation?

One of the methods to control settlement of nearby buildings due to excavation work is by recharging. Water collected in wells in deep excavation is put back to the top of excavation in order to raise the drawn-down water table. The location of recharge should be properly selected to ensure the soil is sufficiently permeable to transfer the pumped water back near the affected buildings.

10.19 What is the significance of quality of bentonite slurry in the construction of diaphragm walls?

The quality of slurry plays an important role in the quality of diaphragm walls. Firstly, if a thick slurry cake is formed in the interface between slurry and in-situ soil, it has a tendency to fall off during concreting works and it mixes with freshly placed concrete. Moreover, large thickness of slurry cake would reduce the concrete cover and affect the future durability performance of diaphragm walls.

10.20 During concreting of diaphragm walls, three tremie pipes are used in one time. However, only one concrete truck is available. How should the concreting works be carried out?

The most ideal situation is to supply each tremie pipe with a single concrete truck. However, if
only one concrete truck is available, all the fresh concrete in the truck should not be placed in one single tremie pipe. With all fresh concrete placed in one single tremie pipe while the others left void, then due to the huge supply of concrete to the tremie pipe, a small concrete hump may form at the base of the tremie pipe and it is likely that it may collapse and trap the slurry inside the diaphragm walls. Therefore, the fresh concrete should be evenly shared among the tremie pipes to avoid such occurrence.

10.21 What is the purpose of conducting load test for piling works?

Pile load test provides information on ultimate bearing capacity but not settlement behavior. In essence, it can determine if the load is taken up by the stratum designed or if the centre of resistance is at the design location in piles as suggested by Robert D. Chellis (1961).

After conducting load tests, the curve of movement of pile head (Settlement against load) and the curve of plastic deformation can be plotted. By subtracting the curve of plastic deformation from the curve of pile head movement at each load, the curve of elastic deformation can be obtained. For piles of end-bearing type unrestrained by friction, the theoretical elastic deformation can be calculated from \( e = \frac{R L}{A E} \) where \( e \) is elastic deformation, \( L \) is pile length, \( A \) is area of pile, \( E \) is Young’s Modulus of pile material and \( R \) is the reaction load on pile. By substituting \( e \) in the formula, the elastic deformation read from the curve of elastic deformation, \( L \) can be obtained which shows the location of the centre of resistance corresponding to that load.

10.22 In Hiley’s formula for driven piles i.e. \( R = \frac{E}{(s+0.5c)} \), why is a coefficient of 0.5 applied for the term elastic deformation of piles and soil?

Hiley’s formula is based on the principle of energy conservation in which the energy brought about by hammers during the action of hitting are transferred to piles in ground. When the hammer force and displacement is plotted, the energy absorbed by piles is the area under the curve. Since the curve of elastic component is linear with a positive slope, the area i.e. energy should be the area of triangle \( (0.5xRxc) \) where \( R \) is reaction force and \( c \) is elastic compression due to helmet, piles and soil system. For settlement, it is of horizontal line in force-displacement diagram and hence the energy transferred to pile-soil system is \( (RxS) \).
10.23 Why are vibrators not used in concrete compaction in piling works?

Concrete for piles should be a high-slump self-compacting mix which is capable of flowing between reinforcement cage with ease. Since concrete is designed to be self-compacting, vibrators are not used for providing further compaction. Moreover, the concrete in piles is compacted by energy derived from free falling. However, if vibrators are used, the vibrated concrete may be compacted to the sides of the concrete casings and hinders the lifting up of casings. Reference is made to GEO (1996).

10.24 For a rigid pile cap with vertical piles at the middle and raking piles at the sides, what is the pattern of load distribution of piles in such arrangement?

Due to the effect of interaction of individual piles, the central piles tend to settle more than the edge piles when the pile cap is under a uniform load. Therefore, raking piles at the edge take up a higher fraction of total loads and are subject to higher axial and bending loads in case the pile cap is stiff. In the extreme case, failure of these raking edge piles may occur.

10.25 What are the problems associated with prestressed concrete piles (Daido)?

The origin of Daido piles comes from Japan where these prestressed concrete piles are used as replacement piles. Holes are pre-formed in the ground and Daido piles are placed inside these pre-formed holes with subsequent grouting of void space between the piles and adjacent ground. However, in Hong Kong Daido piles are constructed by driving into ground by hammers instead of the originally designed replacement method. Since the installation method of Daido piles is changed, construction problems like deformation of pile tip shoes, crushing of concrete at pile tip etc. occur. Reference is made to B. W. Choy (1993).

10.26 Which one is a better choice, a large diameter piles or a system of several smaller piles with the same load capacity?

The choice of a large diameter pile suffers from the disadvantage that serious consequences would occur in case there is setting out error of the pile. Moreover, in terms of cost
consideration, for the same load capacity the cost of a group of small diameter piles is generally lower than that of a large diameter pile. On the other hand, for small diameter piles i.e. mini-piles, they are advantageous in site locations with limited headroom and space. In addition, in some structures with only a few piles, it is uneconomic because of its high mobilization cost. Reference is made to Dr. Edmund C Hambly (1979).

10.27 **What is the difference between capping beams and ground beams for piles?**

Capping beams for piles aim at transferring loads from closely spaced columns or walls into a row of piles. On the other hand, ground beams are beams provided between adjacent pile caps and they perform as compression struts or ties in an attempt to prevent lateral displacement or buckling of piles under uneven distribution of loads on pile caps. Both of them have to be specially designed to cater for differential settlement of piles.

Capping beam performs the same functions as pile caps. However, ground beams are structural elements to connect adjacent pile caps to improve the stability of foundation.

10.28 **In modeling a nonrigid mat foundation by using elastic springs, should a uniform modulus of subgrade reaction be used along the whole base of mat?**

By using a bed of springs to simulate the flexible behaviour of mat subject to loads, care should be taken in selection of the modulus of subgrade reaction. In fact, the modulus of subgrade reaction depends on many factors like the width of the mat, the shape of the mat, the depth of founding level of the mat etc. In particular, the modulus of subgrade reaction is smaller at the center while it is larger near the mat’s edges. If a constant modulus of subgrade reaction is adopted throughout the width of the mat, then a more or less uniform settlement will result when subject to a uniform load. However, the actual behaviour is that settlement in the center is higher than that at side edges. Consequently, it leads to an underestimation of bending moment by 18% to 25% as suggested by Donald P. Coduto (1994).

In general, a constant value of modulus of subgrade reaction is normally applied for structure with a rigid superstructure and the rigid foundation. However, a variable modulus of subgrade reaction is adopted instead for non-rigid superstructure and non-dominance of foundation rigidity to account for the effect of pressure bulbs.

10.29 **What is the difference between direct circulation drilling and reverse circulation drilling?**

For direct circulation drilling and reverse circulation drilling, the major difference in drilling method is related to the direction of movement of drilling fluid. For direct circulation drilling, the drilling fluid is circulated from the drill stem and then flows up the annulus between the outside of the drill stem and borehole wall. The drilling fluid that carries the drill cuttings flows to the surface and the subsequent settlement pits. Pumps are employed to lift the cuttings free fluid back to the drill stem.

For reverse circulation drilling, the direction of flow of drilling fluid is opposite to that of direct circulation drilling. Drilling fluid flows from the annulus between the drill stem and hole wall to the drill stem. The drilling fluid is pumped to an nearby sump pits where cuttings are dropped and settled.
10.30 What is the difference between “hammer efficiency” and “coefficient of restitution” when using Hiley’s formula in pile driving?

Hammer efficiency refers to the ratio of kinetic energy of the hammer to the rate energy (or potential energy). In essence, there are undoubtedly certain energy losses induced by the hammer itself prior to the actual impact on the driven pile. For instance, these losses may include misalignment of the hammer, energy losses due to guiding friction, inaccurate dropping height etc…

Coefficient of restitution refers to a value indicating the strain energy during collision regained after the bodies reverted back to their original shapes. If the coefficient of restitution is equal to unity, it means that the collision is elastic and all energy has been returned after the impact action. Hence, this is a index showing the degree the impact action in terms of elasticity.

In mathematical forms,
Coefficient of restitution = -(v₁-v₂)/(u₁-u₂)
Where u = initial velocity and v = final velocity after impact
SECTION 11

WATERWORKS: WATER RETAINING STRUCTURE
PUMPING STATION
PIPE JACKING and MICROTUNNELING

Water Retaining Structure

11.1 When designing a water storage tank, should movement joints be installed?

In designing water storage tanks, movement joints can be installed in parallel with steel reinforcement. To control the movement of concrete due to seasonal variation of temperature, hydration temperature drop and shrinkage etc. two principal methods in design are used: to design closely spaced steel reinforcement to shorten the spacing of cracks, thereby reducing the crack width of cracks; or to introduce movement joints to allow a portion of movement to occur in the joints.

Let’s take an example to illustrate this. For 30m long tanks wall, for a seasonal variation of 35 degree plus the hydration temperature of 30ºC, the amount of cracking is about 8.8mm. It can either be reduced to 0.3mm with close spacing or can be absorbed by movement joints. Anyway, the thermal movement associated with the seasonal variation of 35ºC is commonly accounted for by movement joints.

For water-retaining structure like pumping stations, the crack width requirement is even more stringent in which 0.2mm for severe and very severe exposure is specified in BS8007. It turns out to a difficult problem to designers who may choose to design a heavy reinforced structure. Obviously, a better choice other than provision of bulky reinforcement is to allow contraction movement by using the method of movement joints together with sufficient amount of reinforcement. For instance, service reservoirs in Water Supplies Department comprise grids of movement joints like expansion joints and contraction joints.

11.2 What is the crack pattern induced by hydration due to internal restraint?

Let’s take a circular column as an example to illustrate this.

When the temperature is rising, the inner concrete’s temperature is higher than outer concrete’s temperature and the inner concrete is expanding. This induces pressure to the outside and the induced compressive stress will result in formation of radial cracks near the surface of concrete.

When the temperature drops, the concrete at the outside drops to surrounding temperature while the concrete at the central region continues to cool down. The contraction associated with inner concrete induces tensile strains and forms cracks tangential to the circular radius.
11.3 What is the purpose of adding cooling pipes or even using cold water for concrete in concreting operation?

All these measures aim at reducing the placing temperature and reducing thermal cracks induced during concreting of massive pours. Since the final concreting temperature should be the ambient temperature, reducing the initial placing temperature will also lower the peak hydration temperature. Therefore, the temperature difference between the hydration peak and the ambient temperature is reduced accordingly and subsequently the thermal effect to concrete structure can be reduced by controlling the placing temperature.

11.4 Is the material of formwork (timber or steel) helps to reduce thermal cracks in concreting operation?

To answer this question, one must fully understand the effect of formwork on the temperature of concreting structure. Without doubt, with better insulation of structure by timber formwork, the overall rise of temperature and hence the peak hydration temperature is also increased. However, for a well-insulated structure, the temperature gradient across concrete element is reduced. Therefore, the use of well-insulated formwork (like timber) increases the maximum temperature and reduces the temperature gradient across the structure at the same time. Hence, whether steel or timber formwork should be used to control thermal cracking is dependent on the restraints and the size of section.

If the section under consideration is thick and internal restraint is the likely cause to thermal cracking, then timber formwork should be used. On the other hand, if external restraint is the main concern for thermal cracking, then steel formwork should be used instead.

11.5 What is the importance of critical steel ratio in calculating thermal reinforcement?

The fulfillment of critical steel ratio means that in construction joints or planes of weakness of concrete structure, steel reinforcement will not yield and concrete fails in tension first. This is important in ensuring formation of more cracks by failure of concrete in tension, otherwise failure in steel reinforcement would produce a few wide cracks which is undesirable.

11.6 In selection of waterstop, shall engineers use plain dumb-bell type or center-bulb type?

The plain dumb-bell type is used for joint location where small movements are anticipated. Therefore, construction joints are desirable locations of this type of waterstop. On the other hand, center-bulb type waterstop is suitable for expansion joints or locations where lateral and shear movements occur due to settlement or deflection. Reference is made to W. L. Monks (1972).

11.7 Why do BS8007 specify the allowable crack width of water retaining structure as 0.2mm for severe or very severe exposure?

For crack width less than 0.2mm, it is assumed that the mechanism of autogenous healing will take place in which the crack will automatically seal up and this would not cause the problem of leakage and reinforcement corrosion in water retaining structure.

When the cracks are in inactive state where no movement takes places, autogenous healing occurs in the presence of water. However, when there is a continuous flow of water through
these cracks, autogenous healing would not take place because the flow removes the lime. One of the mechanisms of autogenous healing is that calcium hydroxide (generated from the hydration of tricalcium silicate and dicalcium silicate) in concrete cement reacts with carbon dioxide in the atmosphere, resulting in the formation of calcium carbonate crystals. Gradually these crystals accumulate and grow in these tiny cracks and form bonding so that the cracks are sealed. Since the first documented discovery of autogenous healing by the French Academy of Science in 1836, there have been numerous previous proofs that cracks are sealed up naturally by autogenous healing. Because of its self-sealing property, designers normally limit crack width to 0.2mm for water retaining structures.

11.8 In designing reservoirs, the indirect tensile strength of the concrete mix is specified to be less than a specific value (e.g. 2.8N/mm\(^2\)) for potable water. Why should engineers put an upper limit of indirect tensile strength?

The crack width formation is dependent on the early tensile strength of concrete. The principle of critical steel ratio also applies in this situation. The amount of reinforcement required to control early thermal and shrinkage movement is determined by the capability of reinforcement to induce cracks on concrete structures. If an upper limit is set on the early tensile strength of immature concrete, then a range of tiny cracks would be formed by failing in concrete tension. However, if the strength of reinforcement is lower than immature concrete, then the subsequent yielding of reinforcement will produce isolated and wide cracks which is undesirable for water-retaining structures. Therefore, in order to control the formation of such wide crack widths, the concrete mix is specified to have an indirect tensile strength at 7 days not exceeding a certain value (e.g. 2.8N/mm\(^2\) for potable water). Reference is made to R. D. Anchor, A.W. Hill and B. P. Hughes (1979).

11.9 Shall reversible moisture movement be taken into account in estimating movement for movement joints?

The size of concrete is affected by changes in atmospheric humidity: moisture causes expansion while drying causes shrinkage. Such moisture movement is reversible. This is totally different from drying shrinkage in which concrete slowly loses moisture during hardening, thus causing irreversible shrinkage.

In fact, the variation of humidity and the estimated reversible moisture movement is not significant (about 30%) and therefore, its contribution to movement does not justify for movement joints as suggested by MN Bussell & R Cather (1995).

11.10 In the design of watermains, how to decide the usage of double air valves and single air valves?

Single air valves allow squeezing air out of the pipeline in automatic mode in high-pressure condition and are normally designed in high points of watermain in which air voids are present. Double air valves basically serve the same purpose except that it has another important function: it can get air into/out of the pipeline during low-pressure condition.

In WSD practice, watermain are normally divided into sections by installation of sectional valves to facilitate maintenance. In a single isolated pipeline section bounded by two sectional valves, at least a double air valve should be installed. During normal maintenance operation like cleansing of watermain, water inside pipelines is drawn from washout valves. However,
as normal watermain is subject to very high pressure like 1.5MPa and the sudden withdrawn of water will cause a transient vacuum condition and will damage the watermain. Therefore at least one double air valve should be present to allow air to squeeze in to balance the pressure and this protects the pipeline from damaging.

In essence, for local high points single air valves should be installed. Within a section of pipeline, at least one double air valve should be installed.

11.11 Why are two gate valves required in normal practice to form a washout valve?

In fact, the situation is analogous to that of fire hydrants in which two gates valves are installed with a single fire hydrant. Washout valves are used for normal maintenance work of watermain like allowing flowing out of water during cleaning of watermain. At the junction where a tee-branch out to a washout point, a gate valve is installed to separate the two pipelines. However, this gate valve is open during normal operation while another gate valve further downstream is installed (closed during normal operation). If the downstream gate valve is not installed in position, then the pipe section of branched-out watermain will be left dry during normal operation and there is a high probability that damage to watermain and frequent leakage would occur. With the downstream gate valve installed, the segment of branched-out watermain contains water in normal operation. In case there is any leakage, it can be readily detected by using the two gate valves.

11.12 After the construction of watermain, prior to hydrostatic pressure test, swabbing is carried out. What is the purpose of swabbing?

Pipelines should be tested before commissioning to check the strength of watermain and the absence of leak. Before carrying out hydrostatic pressure test, swabbing is conducted to clear out rubbish and dirt left inside the pipeline during construction. Swabbing is required for pipes less than 600mm diameter because for larger size of pipes, they can be inspected internally to ensure cleanliness.

After carrying out of hydrostatic pressure test, test for water sterilization is then conducted which involves collecting water sample from the pipeline. The purpose is to check the water quality like colour, turbidity, odor, pH value, conductivity etc. and is compared with the quality of water drawn from water supply point.

11.13 In the design of watermain, the normal practice is to use ductile iron for pipe size less than 600mm and to use steel for pipe size more than 600mm. Why?

For watermain pipe size less than 600mm, ductile iron is normally used because internal welding for steel pipes below 600mm is difficult to be carried out. Moreover, it requires only simple jointing details which allow for faster rate of construction. For watermain pipe size above 600mm, steel pipes are recommended because steel pipes are lighter than ductile iron pipes for the same material strength and therefore the cost of steel pipes is less than that of ductile iron pipes. In addition, in areas of difficult access the lighter mild steel pipes pose an advantage over ductile iron pipes for easy handling.

11.14 In the design of service reservoirs, horizontal reinforcement in walls of reservoirs is placed at the outer layer. Why?

Since service reservoirs are designed as water-retaining structures with stringent requirement
of crack width control, the design of reinforcement of service reservoirs is under the control of serviceability limit state. For the walls of service reservoirs, contraction and expansion of concrete are more significant in the horizontal direction of walls because of their relatively long lengths when compared with heights. In this connection, in order to minimize the usage of reinforcement, horizontal bars are placed at the outmost layer so that the distance of reinforcement bars to concrete surface is reduced. Since the shorter is the distance to the point of concern, the smaller is the crack width and hence with such reinforcement arrangement advantages are taken if the reinforcement bars in the critical direction are placed closest to concrete surface.

11.15 In the design of service reservoirs, how are reservoir floors designed to prevent leakage of water due to seasonal and shrinkage movements?

There are in general two main approaches in designing floors of service reservoirs:

1. In the first method, movement joints are designed in each panel of reservoir floors so that they can expand and contract freely. Each panel is completely isolated from one another and a sliding layer is placed beneath them to aid in sliding.

2. The second method, on the contrary, does not make provision to free movement. Due to seasonal and shrinkage movements, cracks are designed to occur in the reservoir floors such that very tiny cracks are spread over the floor and these cracks are too small to initiate corrosion or leakage. However, in this case, the amount of reinforcement used is much larger than the first approach.

11.16 What is the difference between air chamber and surge tank?

Air chambers and surge tanks are normally installed in watermain to ease the stress on the system when valves or pumps suddenly start up and shut down. A surge tank is a chamber containing fluid which is in direct contact with the atmosphere. For positive surge, the tank can store excess water, thus preventing the water pipes from expansion and water from compression. In case of downsurge, the surge tank could supply fluid to prevent the formation of vapour column separation. However, if the surge pressure to be relieved is very large, the height of surge tank has to be designed to be excessively large and sometimes it is not cost-effective to build such a chamber. On the contrary, an air chamber can be adopted in this case because air chamber is an enclosed chamber with pressurized gases inside. The pressure head of gas inside the air chamber is the component to combat the hydraulic transient. However, air chamber has the demerits that regular maintenance has to be carried out and proper design of pressure level of gas has to be conducted.

Pumping Station

11.17 What is the difference among cement plaster, cement render and cement screed? Under what situations should each of the above be used?

The purpose of plastering, rendering and screeding is to create a smooth, flat surface to receive finishes like paint, wallpaper etc.

Plastering is the intermediately coating of building materials to be applied on the internal facade of concrete walls or blockwalls.
Rendering is the intermediate coating for external walls only.

Screeding is the coating laid on floors to receive finishes like tiles, carpet, and marble...

Hence, these terms differ basically from the locations at which they are applied. Due to different locations of application of plasterwork, the proportion of material component for plaster and render is different. For example:

1. **Cement plaster**
   - Undercoat - cement:lime:sand (by volume) = 1:4:16
   - Finishing coat - cement:lime:sand = 1:12:30

2. **Cement render**
   - Undercoat - cement:lime:sand (by volume) = 1:2:6
   - Finishing coat - cement:lime:sand = 1:3:6

11.18 **In the design of corbel beams in a pumping station, why are shear links designed in the top 2/3 of the section? What is the general advice on the design?**

Corbel beams are defined as \( z/d < 0.6 \) where \( z \) is the distance of bearing load to the beams’ fixed end (or called shear span) and \( d \) is depth of beams. The design philosophy is based on strut and tie system. To establish the design model, it is firstly assumed the failure surface, i.e. shear cracks extending to 2/3 of depth of beam. Experiment results verified that the failure cracks extended only to 2/3 of beam while the remaining 1/3 depth of concrete contributed as concrete strut to provide compressive strut force to the bearing loading.

Horizontal links are normally provided to corbel beams because experimental results indicated that horizontal links were more effective than vertical links when shear span/depth is less than 0.6. For shear span/depth>0.6, it should be not considered as corbel beams but as cantilevers.

In designing corbel beams, care should be taken to avoid bearing load to extend beyond the straight portion of tie bars, otherwise the corners of corbel beams are likely to shear off. Reference is made to L. A. Clark (1983).

![Figure 11.1 - Design of Corbel Beams](image-url)
11.19 Given a 1m high staircase resting on solid concrete, would it be adequate to design nominal reinforcement for the staircase?

For the design of staircase, there are three main scenarios:

1. **Stairs spans longitudinally**
   This kind of stairs refers to stairs spanning between landings only without any side supports. In this case, the staircase should be designed as a beam between two end supports (i.e. landing) and the main reinforcement is provided at the bottom of staircase slabs.

2. **Stairs spanning transversely**
   This kind of staircase is supported by sidewalls only and it may also be supported by stringer beams. For the case of sidewalls, it acts as a cantilever beam and the main reinforcement are provided the top surface of slab. For the case of staircase supported sideways by both sidewall and stringer beam, it should be designed transversely with end supports as sidewall and stringer beam and reinforcement is provided at the bottom of the staircase.

3. **Stairs resting on solid support**
   For stairs resting on solid supports, only nominal steel reinforcement is provided to control thermal and shrinkage cracking.

11.20 In selecting screw pumps in polder scheme projects, what are the factors that affect the design capacity of screw pumps?

The commonly used angles of inclination for screw pumps are 30º, 35º and 38º. For screw pumps of relatively high lifting head, like over 6.5m, angle of inclination of 38º is normally used. However, for relatively lower head and high discharge requirement, angle of inclination of 30º shall be selected. In general, for a given capacity and lifting head, the screw pump diameter is smaller and its length is longer for a screw pump of 30º inclination when compared with a screw pump of 38º inclination.

To increase the discharge capacity of screw pumps, a larger number of flights should be selected. In fact, screw pumps with 2 flights are more economical than that with 3 flights in terms of efficiency and manufacturing cost. Moreover, the discharge capacity is also determined by the screw pump diameter and sizes of 300mm to 5000mm are available in current market.

11.21 What are the components of a waterproofing system in the roof of a typical pumping station?

In the design of a waterproofing system at the roof of a pumping station, normally the following components are:

1. Above the structural finish level of the concrete roof, a screed of uniform thickness is applied to provide a smooth surface for the application of waterproofing membrane. (Screed of varying thickness can also be designed on the roof to create a slope for drainage.) The screed used for providing a surface for membrane should be thin and possess good adhesion to the substrate. Moreover, the screed aids in the thermal insulation of the roof.
2. Above the screed, waterproofing membrane is provided to ensure watertightness of the roof.

3. An insulation board may be placed on top of waterproof membrane for thermal insulation. In cold weather condition where the loss of heat at the roof is significant, the insulation board helps to reduce these losses. On the contrary, in summer the roof is heated up by direct sunlight and the insulation layer reduces the temperature rise inside the pumping station.

11.22 In pumping stations one of the choices for the material of water tanks is fibre-reinforced plastic (FRP). What are the advantages associated with this kind of material?

There are two main advantages for FRP water tanks:

1. It possesses high strength to weight ratio and this leads to the ease of site handling.
2. It is highly resistant to corrosion and thus it is more durable than steel water tank.

11.23 In the construction of pump troughs for accommodation of screw pumps, what is the construction method to ensure close contact between the screw pumps and the pump trough?

In the construction of screw pump troughs, trapezoidal-shaped troughs are usually formed by using normal formwork. In order to enhance close contact between screw pumps and troughs, upon lifting the screw pumps into the troughs screeding works is carried out. Screw pumps are set to rotate and screeds are placed between the gap of screw pumps’ blade and trapezoidal-shaped troughs during the rotating action of screw pumps. After the screed sets, it serves to prevent leakage of water during the pumping operation of screw pumps.

11.24 Why are voids filled with lightweight infilling material in raft foundation of pumping stations?

To reduce the dead load and hence to reduce the settlement of pumping stations, the voids inside the raft foundations are filled with light material. If instead concrete is placed inside these voids, it poses severe thermal cracking problem and drastically increases loads to pumping stations. The use of general fill is also not desirable because its self-weight is comparable to that of concrete. On the contrary, if these voids are left vacant, water may penetrate into these voids during future operation and increases the dead load of pumping stations during its normal operation. Therefore, lightweight infill material, which is non-water-absorbing and non-biodegradable, is designed inside these voids to avoid ingress of water and to reduce the dead load of the structure.

11.25 What is the difference in arranging pumps in series and in parallel?

For identical pumps with similar functions, if the pumps arranged in series, the total head is increased without a change to maximum discharge. On the other hand, for pumps arranged in parallel to one another, the discharge is increased without any changes to maximum head.

11.26 In terms of pumping performance, how should engineers determine the use of radial flow pumps and axial flow pumps?

Specific speed is usually defined for a pump operating at its maximum efficiency. In order
to minimize the cost of future operation, it is desirable to operate the pumps as close to the maximum efficiency point as possible. The specific speed for radial flow pumps is relatively small when compared with that of axial flow pumps. This implies that radial flow pumps tend to give higher head with lower discharge while axial flow pumps tend to give higher discharge with lower head.

Pipe Jacking and Microtunneling

11.27 In the design of pipe jacking, what particular areas on pipe joints should engineers take care of?

Since in pipe jacking, the jacked pipes could hardly be jacked in the designed level and alignment and some deviation from the original one is commonly acceptable provided that the deviation are within the tolerance of the Contract. However, in order to avoid damage made to the pipe joints due to overstressing, it is necessary to estimate the stress concentrations resulting from these angular deflections.

Note: Pipe jacking is a trenchless method in which pipes are jacked underground from jacking pits and receiving pits.

11.28 What are the differences in design between normal precast concrete pipes and pipes used for pipe jacking?

For pipes used for pipe jacking, they should possess the following characteristics:

1. Pipes should have high concrete strength to withstand the stress induced during the jacking process.

2. There is tight tolerance in pipe dimension and the pipe joints are specially designed to provide trouble-free joint details. Two commonly available joints are rebated joint and butt end joint.

3. Pipes preferably should have smooth external concrete finishes to reduce the friction between the pipes and surrounding soil.

11.29 Why are intermediate jacks designed in some pipe jacking projects?

When the process of pipe jacking stops, building up of resistance is very fast in some soil. For instance, increase in jacking force of 20%-40% is required for a stoppage of pipe jacking for just several hours. Hence, it is recommended that pipe jacking should be carried out in a continuous operation.

For a long pipeline, the frictional forces established between the jacking pipes and soil is high. Sometimes, such resisting forces may be so high that they can hardly be overcome by the jacks in jacking pits. Moreover, even if the jacks can overcome the high frictional forces induced during jacking, high loads are experienced in jacking pipes during driving. Jacking pipe’s material e.g. concrete may not have sufficient strength to resist these stresses and hence pipe strength is another factor that govern the need for consideration of using intermediate jacks.
11.30 What is the function of packing materials in the joint of concrete pipes in pipe jacking?

Packing materials are about 10mm to 20mm thick and are normally made of plywood, fibreboard or other materials. In case packing materials are absent in pipe joints for pipe jacking, then any deflection in the joints reduces the contact area of the concrete and it leads to spalling of joints due to high stresses induced. With the insertion of packing material inside the pipe joints, the allowable deflection without damaging the joint during the pipe jacking process can be increased.

11.31 In pipe jacking/microtunneling, it is commonly accepted that cover depths of jacking pipes cannot be too shallow (i.e. less than 2D where D is the diameter of jacking pipes). Why?

For pipe jacking/microtunneling, the causes of large settlement are loss of face stability, failure to stabilize ground around shafts, presence of annular space around pipes and shield, drag along pipe joints, etc. The settlement mechanism of shallow depths of pipe jacking/microtunneling is the formation of a settlement trough on top of the jacking pipes. The width of the trough depends on soil properties; the larger is the cover depth of jacking pipes, the larger is the width of settlement trough. For the same soil volume loss due to pipe jacking/microtunneling, the width of settlement trough for shallow cover depth is smaller and therefore it results in a larger vertical maximum settlement.

Figure 11.2 – Piping Settlement
In precast concrete jacking pipes, sometimes grout holes are designed inside these precast pipes. Why?

Grout holes are present in precast jacking pipes for the following reasons:

1. They serve as the locations for injection of bentonite or other lubricant. Lubricant is used for both granular soils and cohesive soils to trim down the frictional resistance. For cohesive soils, the soils cannot get onto the pipes by the presence of lubricant and the shearing plane lies within the lubricant as suggested by R. N. Craig (1983). On the other hand, for granular soils, the lubricant mixes with soils with a significantly reduced friction. With the use of lubricant, longer pipe lengths can be jacked without the use of intermediate jacking station.

2. They provide the inlet locations for subsequent grouting works after completion of pipe jacking to fill completely the void space between the pipes and surrounding soils.

3. They are used as lifting holes when placing the precast jacking pipes into rails inside the jacking pits.
SECTION 12

DRAINAGE WORKS

12.1 What is the function of waterstops in joints of box culverts and drainage channels?

The principal function of waterstops is to prevent liquids (e.g. water), water-borne materials and solids to pass through concrete joints. In essence, it aims at providing watertightness to the drainage channel.

Besides, waterstops in drainage channels or box culverts can also serve two other purposes:
1. to avoid water contacting joints’ dowel bars and causing corrosion.
2. to avoid water seeping in from the underside of drainage channels or box culverts, thereby washing in soil particles and causing voids underneath these structures and finally leading to their failure.

To serve the second purpose, obviously only one waterstop is required at any depth location.

To serve the first purpose, a waterstop has to be installed on top of dowel bars to prevent water from drainage channels from leaking through. On the other hand, a waterstop has to be provided below dowel bars to avoid underground water from surging upwards.

In fact, the other way out to serve the first purpose is by using corrosion resistant bars.

12.2 What are the differences in applications between pipe culverts and box culverts?

Basically, a culvert means a covered hydraulic structure which conveys fluid. Therefore in a broad sense, pipe culverts in a small scale represent normal pipes like precast concrete pipes.

In terms of hydraulic performance, circular section is the best geometrical sections among all. Therefore, for relative small discharge, precast concrete pipes and ductile iron pipes are normally used which are circular in shape. But for applications of very large flow, precast concrete pipes and ductile iron pipes may not be available in current market. In this connection, cast-in-situ construction has to be employed. It is beyond doubt that the fabrication of formwork for circular shape is difficult when compared with normal box culvert structures. However, circular shape is the most hydraulic efficient structure which means for a given discharge, the area of flow is minimum. Therefore, it helps to save the cost of extra linings required for the choice of box culverts.

However, box culverts do possess some advantages. For example, they can cope with large flow situation where headroom is limited because the height of box culverts can be reduced while the size of pipe culverts is fixed. Secondly, for some difficult site conditions, e.g. excavation of structure in rock, for the same equivalent cross-sectional area, the width of box culverts can be designed to be smaller than that of pipe culverts and this enhances smaller amount of excavation and backfilling.
12.3 **What are the limitations of Rational Method in calculating runoff?**

Computation of runoff is a complicated matter which depends on many factors like the ground permeability, rainfall duration, rainfall pattern, catchment area characteristics etc. Basically, Rational Method is a means to find out the maximum discharge suitable for design purpose. In this method, it is assumed that the rainfall duration is the same as the time of concentration and the return period of rainfall intensity is the same as the peak runoff. Time of concentration refers to the time required for the most remote location of stormwater inside the catchment to flow to the outlet. When the time of concentration is equal to the rainfall period, the maximum discharge occurs and rainfall collected inside the catchment comes to the same outlet point.

Rational Method provides the peak discharge only and it cannot produce a hydrograph. If a more detailed pattern of runoff is required, unit hydrograph or other methods have to be used. The accuracy of rational method depends very much on our correct selection of runoff coefficient and delineation of catchment area.

Rational Method is a rather conservative method. One of the basic assumptions of the rational formula is that the rainfall intensity must be constant for an interval at least equal to the time of concentration. For long duration of rainfall, this assumption may not hold true. Moreover, the runoff coefficient in Rational Method is difficult to be determined accurately and it depends on many factors like moisture condition of soils, rainfall intensity and duration, degree of soil compaction, vegetation etc. In addition, in Rational Method the runoff coefficient is independent of rainfall intensity and this does not reflect the actual situation.

12.4 **Why are some manhole covers made of cast iron while some are made of ductile iron?**

Traditionally, manholes covers are made of cast iron. However, in the viewpoint of pipe maintenance, frequent opening of manhole covers has to be carried out. Therefore, it poses potential safety hazard to the workers during the lifting-up process of manhole covers because cast iron manhole covers are very heavy to normal workers. Consequently, research has been conducted and ductile iron is considered as a better choice than cast iron because it can resist the same traffic loads with lower self-weight. Moreover, as ductile iron is less brittle than cast iron, the traditional cast iron manhole covers are more susceptible to damage and thus requires higher maintenance cost.

However, ductile iron manhole covers do suffer from some demerits. For instance, owing to their relative low self-weight, vehicles passing over these manhole covers would lead to the movement of covers and generate unpleasant noises. To solve this problem, instead of increasing the self-weight of ductile iron manhole covers which similarly causes safety
problems to workers during regular maintenance, the covers can be designed to be attached to the manhole frames which hold them in firm position.

12.5 Why is it preferable to design stormwater drains to match soffit?

Stormwater drains collect stormwater in their corresponding catchment areas during rainstorm and convey the collected water through outlets to the sea. Therefore, in considering the hydraulic design of stormwater drains, other than normal drainage pipe capacity to be taken into consideration, one should check the backwater effect due to tidal condition at outlets if the drains are located quite close to the downstream end of outlets.

Stormwater drains are normally designed to match soffit to avoid surcharging by backwater effect or when the downstream pipes are running full. Normally pipe size increases from upstream to downstream. For the case of matching drain invert, when outlet pipes are fully surcharged by tidal effect of the sea or when the downstream pipes are fully filled with stormwater, pipe sections immediately upstream of the outlet are also surcharged too. However, for the case of matching pipe soffit, the immediate upstream sections of outlet pipes are not totally surcharged even though downstream pipes are running full. However, it is not always practical to maintain soffit for all pipelines because it requires sufficient drop to achieve this.

![Figure 12.2 - Match Soffit vs Match Invert](image)

Moreover, the flow of stormwater is mainly by gravity in the design of stormwater drains. In case the drains are designed to match invert, then it stands a high probability that the flow in the upstream smaller pipes has to be discharged against a head.

*Note: Matching soffit means that all pipelines are aligned continuously with respect to the pipelines’ crown level.*

12.6 What is the application of inverted siphons? What are the disadvantages of using inverted siphons?

Inverted siphons are designed at locations in which a sewer system is blocked by underground utilities or stormwater drains. They are sometimes called depressed sewers because it is
claimed that there is no actual siphon action. They connect the upstream and downstream sewers with U-shaped vertical alignment such that they are always running full.

The drawbacks of inverted siphons are:
They induce additional head loss to the sewer system which is undesirable in hydraulic performance;
U-shaped siphons create sediment accumulation problem and previous experience showed that inverted siphons were easily blocked due to siltation;
Maintenance of invert siphons is difficult due to its inaccessibility.

12.7 **What is the mechanism of cavitation in pipes and drains?**

Cavitation refers to the formation of air bubbles in fluid in low-pressure condition which is lower than the saturation pressure. It is a potentially damaging condition in which the fluid in pipes or sewers is at high velocities. By Bernoulli’s Equation, at high flow velocities, the pressure head of fluid is reduced accordingly. As the fluid pressure is less than saturation pressure, dissolved gases are released from the fluid and these air bubbles will suddenly collapse when the flow enters into a region of higher pressure. This produces a high dynamic pressure which causes damage to the pipelines due to its high frequency.

12.8 **When a drainage system (i.e. u-channels with catchpits) is connected to a main drainage channel, a segment of short pipe is used. What is the reason of such arrangement?**

There are three scenarios of such connection arrangement: (a) a new drainage system is connected to an existing drainage channel (b) an existing drainage system is connected to a new drainage channel (c) a new drainage system is connected to a new drainage channel.

For all scenarios, what engineers consider is the total amount of differential settlement or lateral movement to be encountered between the drainage system and main drainage channel. For scenario (b) and (c), it is very likely that substantial differential settlement will occur and this will cause damage to the connecting concrete pipes. Therefore a segment of short pipes are designed so that they serve to provide flexibility to the pipes in case of uneven settlement occurring between drainage system and main drainage channels.
12.9 What are the functions of bedding under stormwater drains?

Bedding, which are normally made of granular materials or concrete, serves four main functions as suggested by O. C. Young and J. J. Trott:

To enhance a uniform support under pipes in order to reduce the bending moment longitudinally;

To increase the load-supporting strength of the pipes;

For pipes with spigot and socket joints, it enables pipes to be supported along pipe lengths instead of pipe sockets. Otherwise, uneven stress may be induced and it may damage the pipes;

To provide a platform for achieving correct alignment and level during and after construction.

12.10 In designing sewer pipes, why are vitrified clay pipes commonly used for pipe size less than 600mm while precast concrete pipes with PVC lining is used for pipe size exceeding 600mm?

The market price of vitrified clay pipes is generally less than that of precast concrete pipes with PVC lining. Therefore, for small size of pipes (pipe diameter less than 600mm) it is more economical to use vitrified clay pipes. However, vitrified clay pipes do suffer from the problem of brittleness and its effect is even severe for larger size of pipes. Moreover, it is rather time consuming to deliver clay pipes products because the majority of them are manufactured in Europe. Hence, for larger size of sewer pipes (diameter more than 600mm) it is customary to use precast concrete pipes with PVC lining.

12.11 Nowadays, most flap valves are made of HDPE. What are the advantages of using HDPE when compared with cast iron?

It has no reaction with sewage and seawater and does not suffer from the corrosion problem associated with cast iron.

No protective coating is required and it is almost maintenance-free.

HDPE flap valves require very low opening pressure in operation (like 5mm water level difference). For cast iron flap valves, due to its own heavy self-weight, the required opening pressure of cast iron flap valves is higher than that of HDPE flap valves. This criterion is essential for dry weather flow conditions.

However, the pressure resistance of HDPE flap valves is not as good as cast iron flap valves. For instance, a typical 450mm wide HDPE flap valve can only withstand about 5m water column.

12.12 What are the functions of the following features observed in a typical manhole?

(i) groove near benching
(ii) R.S.J.
(iii) double seal manhole cover and
(iv) u-trap with rodding arm.
The groove is used to facilitate the maintenance of manholes and sewer/drain pipes. Shutoff boards are erected on the grooves during maintenance operation so that water flow coming from upstream is terminated in the manhole and backwater from downstream is also blocked. In addition, the groove also facilitates water flow diversion for routine maintenance operation.

R.S.J. is a small-scale size of universal beams and is used for resisting the high stresses incurred by heavy traffic loads acting directly on the upper narrow projected section of manholes.

Double seal terminal manhole covers are used for sealing off gases emitted inside sewer/drains and prevent them from releasing out of the manhole.

U-trap with rodding arms is also used for sealing off unpleasant gas smell by the trapped u-shaped water columns. Rodding arm is normally closed with rubber rings during normal operation. However, during maintenance operation, the rubber ring is removed and rodding can be carried out through the rodding arm.

12.13 The spacing of manholes in straight sections for different pipe sizes is stated in Stormwater Drainage Manual. How are these figures arrived at?

For pipe size < 300mm, rodding is usually adopted in which workers place about 1m long rods through the pipes to the location of blockage and manually operate the rod to clear the blockage.

For pipe size < 700mm, water-jetting is normally employed in which water is supplied from nearby fire hydrants and pressurized water jet is used for clearing blockage.

Winching method is adopted for all sizes of pipes.

For instance, for pipe size exceeding 1050mm, it is stated in Stormwater Drainage Manual that maximum intervals between manholes along straight lengths should be 120m. This is because for sizes over 1050m, the main method of pipe maintenance is by winching whose maximum length of operation is 120m. Similarly, the maximum intervals of manholes for other straight pipes are derived from their corresponding maintenance methods.

12.14 In selection of dams in drainage channels, what are the advantages of using rubber dams instead of steel-gate dams?

The advantages of rubber dams are as follows:

Since rubber is flexible in nature it is capable of performing deflation even in the presence of dirt and sedimentation on the downstream side. However, for rigid steel-gate dams, it may not be possible to open when there is excessive sediment.

Since the foundation of rubber dams is comparatively lighter than that of steel-gate dams, it saves both construction cost and time.

Rubber dams can be designed with longer spans without piers while steel-gate dams require intermediate piers for long spans.
12.15 Should air test or water tests be selected to test the leakage of constructed gravity pipelines?

For gravity pipes, air tests or water tests are carried out after completion of laying and jointing of the pipes. These tests are conducted to check the watertightness of joints and to ensure the pipelines are free from damage where leakage may occur.

Air test has the advantage that the test itself is simple and faster to be carried out. It does not require the disposal of significant quantities of water used in the test which is a mandatory requirement for water test. However, in case leakage exists in the constructed segment of gravity pipelines, the position of leakage can hardly be located in air test. Moreover, the rate of water leakage cannot be determined from air tests. In addition, air test is readily affected by atmospheric condition because air has a relatively high coefficient of thermal expansion. The test is also influenced by the moisture condition of the test pipelines because it affects the passage of air through the pipelines.

For water test, though it is comparatively slow, it can detect the location of water leakage. However, the leakage rate results from water test may not truly reflect its actual leakage because pipeline materials like concrete and clay are porous and would absorb water during the test.

12.16 In designing of access ramps for drainage channels, why should the direction of access ramps be sloping down towards downstream?

In the design of access ramps, the direction is normally specified to be sloping down towards downstream so as to avoid the occurrence of over-shooting of flowing water for supercritical flow in case of aligning the ramps in the reverse direction of channel flow.

*Note: Access ramps refer to ramps used for maintenance vehicles during routine maintenance of channels.*

12.17 When branch pipelines are connected to main pipelines, sometimes Y-junctions or fitting branched pipelines to main pipelines by formation of holes in main pipelines are used. Which one is a better choice?

By using standard precast units of Y-junction branch pipelines, it is beyond doubt that joints between branched pipelines and main pipelines are properly formed and the quality of joints is relatively less dependent on workmanship. However, it suffers from the problem that with fixed precast units of Y-junctions, sometimes it may be difficult for contractors to determine the precise orientation of specific angles of Y-junctions with respect to gullies. (e.g. gullies are connected through side branches to carrier drains)

By forming elliptical holes in main pipelines and fitting the side branches into them with cement mortar, the quality of pipe joints is highly dependent on workmanship. It is commonly found that in subsequent CCTV inspections side branches are projected inside main pipes. This is undesirable because the projected side branches reduce the cross sectional area of main pipes locally and affect their hydraulic performance. Moreover, the projected side pipes may trap rubbish and dirt in the vicinity. On the other hand, cement mortar may not be properly applied at connection joints because these areas are hidden from view and are difficult to be inspected by engineers. Therefore, in selecting between the two available methods, engineers should make their own judgments based on the above considerations.
12.18 **Rational Method should not be used for large catchments in estimating peak runoff. Is it true?**

Rational Method is suitable for small catchments only because the time of concentration of small catchments is small. In Rational Method the peak runoff is calculated based on the assumption that the time of concentration is equal to the rainfall duration. For small catchments, this assumption may hold true in most circumstances. One of the assumptions of Rational Method is that rainfall intensity over the entire catchment remains constant during the storm duration. However, in case of a large catchment it stands a high probability that rainfall intensity varies in various part of the large catchment. In addition, for long duration of rainfall, it is rare that the rainfall intensity remains constant over the entire rainstorm and a shorter duration but a more intense rainfall could produce a higher peak runoff. Moreover, a reduction of peak runoff is also brought about by the temporary storage of stormwater like channels within the catchment.

In actual condition, the runoff rate within the catchment varies from place to place because of different soil properties and past conditions. As suggested by Bureau of Public Roads (1965), sometimes the peak discharge occurs before all of the drainage area is contributing. For instance, when a significant portion of drainage area within the catchment has very small time of concentration so that a higher rainfall intensity can be used for this portion, the runoff coming solely from this portion is higher than that of the whole catchment in which a lower rainfall intensity is adopted because the remaining part of the catchment has comparatively large time of concentration. Therefore, this results in incorrect estimation of peak runoff of large catchments if Rational Method is adopted.

12.19 **What is the purpose of carrying out water absorption test for precast concrete pipes?**

Cement will mix with more water than is required to eventually combine during hydration of cement paste. As such, some voids will be left behind after the hydration process which affects the strength and durability of concrete. With the presence of air voids in concrete, it is vulnerable to penetration and attack by aggressive chemicals. Good quality concrete is characterized by having minimal voids left by excess water and therefore, water absorption test for precast concrete pipes is adopted for checking the quality of concrete in terms of density and imperviousness.
SECTION 13

GENERAL

13.1 What is the difference between working stress approach and limit state approach?

For working stress approach, service loads are used in the whole design and the strength of material is not utilized in the full extent. In this method of design, stresses acting on structural members are calculated based on elastic method and they are designed not to exceed certain allowable values. In fact, the whole structure during the lifespan may only experience loading stresses far below the ultimate state and that is the reason why this method is called working stress approach. Under such scenario, the most economical design can hardly be obtained by using working stress approach which is now commonly used in the design of temporary works.

For limit state approach, for each material and load, a partial safety factor is assigned individually depending on the material properties and load properties. Therefore, each element of load and material properties is accurately assessed resulting in a more refined and accurate analysis of the structure. In this connection, the material strength can be utilized to its maximum value during its lifespan and loads can be assessed with reasonable probability of occurrence. Limit state approach is commonly used for the majority of reinforced concrete design because it ensures the utilization of material strength with the lowest construction cost input.

13.2 What are the functions of different components of paint?

For normal paint application, there are mainly three main components of paint, namely primer, undercoat and finishing coat.

**Primer:** This is the first layer of a typical painting system and it is used to inhibit corrosion and provide a good bond for subsequent coats.

**Undercoat:** This component acts as a barrier to corrosion agents and even out irregularities of bonding surface. It also serves to hide the underlying background and prevent the details and colour of the area of application to affect the designed colour and finishing details of paint.

**Finishing coat:** This is the final layer of a typical painting system and it protects the underlying layers from the effect of adverse weather conditions (e.g. sunlight) and to provide the designed properties of paint like colour, impermeability, wearing resistance, etc.

13.3 If the contractor is liable for defective works for 12 years with contract under seal (6 years with contract not under seal), then what is the significance of Maintenance Period?

Defective works constitute a breach of contract in accordance with Limitation Ordinance (Cap. 347).

An action founded on simple contract (not under seal) shall not be brought after expiration of 6 years while an action founded with contract under seal shall not be brought after expiration of 12 years. For construction works, the date of counting these actions should be the date of substantial completion.
To answer the above question, one should note that under the contractual requirement, the contractor during Maintenance Period has the right to rectify the defects and the employer has also the right to request the contractor to make good defective work. However, after the expiry of Maintenance Period, in case of any arising of defects, the employer has to employ others to rectify these works and bring the action to court to claim the contractor for the costs associated.

13.4 In checking the quality of weld, what are the pros and cons of various non-destructive weld inspection methods i.e. ultrasonic test, radiographic inspection and magnetic particle flaw detection test?

Currently, there are three common non-destructive testing of weld, namely radiographic inspection, ultrasonic testing and magnetic flaw detection test.

The method of radiographic approach was used commonly in the past until the arrival of ultrasonic inspection technique. The major difference between the two is that ultrasonic testing detects very narrow flaws which can hardly be detected by radiographic method. Moreover, it is very sensitive to gross discontinuities. Tiny defects, which characterize welding problems, are normally not revealed by radiographic inspection.

Moreover, ultrasonic inspection possesses the advantages that it can accurately and precisely locate a defect as well as figure out its depth, location and angle of inclination.

In the past, it was expensive to adopt ultrasonic means for inspection. Nowadays, the rates for both inspection methods are comparable. Most importantly, the x-ray and gamma ray used in radiographs are radioactive and pose potential safety hazard to testing technicians on site. Reference is made to Paul G. Jonas and Dennis L. Scharosch.

Magnetic flaw detection test can only be used for checking flaws in any metallic objects. This method is commonly used for inspecting surface cracks and slightly sub-surface cracks. However, surface and sub-surface cracks can be readily detected by radiographs and ultrasonic inspection.

13.5 Why should acetylene gas cylinders used for gas welding be erected in upright position?

Acetylene gas is commonly used for gas welding because of its simplicity of production and transportation and its ability to achieve high temperature in combustion (e.g. around 5,000°F). Acetylene is highly unstable and flammable and would explode in elevated pressure when reacting with oxygen in air. Storing acetylene gas in cylinders under pressure is very dangerous. Hence, for welding purpose, gas acetylene is stored in cylinders of liquid acetone contained in porous material (like firebrick) to enhance there is no free space left for acetylene gas and for cooling purpose in the event of thermal decomposition. It also prevents the formation of high pressure air pockets inside the cylinder. Dissolved acetylene in acetone will no longer in contact with oxygen and is not subject to decomposition. On the other hand, acetone is used because it is capable of dissolving large amount of acetylene gas under pressure without changing the nature of the gas.

The cylinders for gas welding i.e. oxygen cylinders and acetylene cylinders, when not in use should be stored separately because any mixture of these gases resulting from accidental leakage can be highly explosive. When in use, acetylene cylinders should always be kept in upright position because acetone liquid will be drawn from the cylinders with the gas if they
13.6  **Is stainless steel really stainless in construction application?**

Stainless steel refers to alloy steels with more than 10.5% of chromium and consists of several groups like austenitic, ferritic, martensitic etc. Austenitic stainless steel is normally used in structural applications because of its high corrosion resistance. Austenitic and ferritic types of stainless steel cover about 95% of stainless steel applications. Stainless steel is not stainless although it is corrosion resistant under a wide range of conditions.

A passive layer of chromium oxide is formed on stainless steel’s surface which renders it corrosion resistant. This chromium oxide layer acts as a stiff physical barrier to guard against corrosion and makes it chemically stable. Moreover, when this layer is damaged, it can perform self repairing where there is a sufficient supply of oxygen. However, stainless steel will still corrode by pitting in marine environment where chloride attack occurs. Therefore, appropriate grades and types of stainless steel have to be selected in polluted and marine environment to minimize the problem of corrosion. Reference is made to Euro Inox and the Steel Construction Institute (2002).

13.7  **Is the procurement of third party insurance necessary to be incorporated in contract for construction works?**

The purpose of third party insurance is to protect contractors from bankruptcy in case there are severe accidents happened to the third party due to the construction work. Therefore, in government contracts, contractors are requested contractually to procure third party insurance from the commencement of contract until the end of Maintenance Period. If contractors have the financial capability to handle the claims due to accidents to third party, the client is not bound to include this requirement in the contract.

13.8  **What is the mechanism of protection by hot dip galvanizing?**

Hot dip galvanizing protects steel/iron from corrosion by:

1. It forms a metallic zinc and zinc-iron alloy coating on top of steel surface. This zinc coating reacts with moisture in atmosphere to from zinc salts which act as an insulating layer for steel/iron.

2. Zinc is higher than steel/iron in the galvanic series and when these dissimilar metals with different electrical potential are in contact, the zinc anode corrodes and offers sacrificial protection to steel/iron and hence steel/iron is protected from corrosion.

13.9  **The insurance policy of insurance companies has changed recently. What is the major change?**

**Original Clause 4.6.1**

"Liability in respect of death, bodily injury, illness or disease suffered by any person employed by an insured Contractor or employed by any party to whom part or parts of the insured Contract have been sub-contracted. However, this exclusion shall not apply to any liability..."
which may attach to any sub-contractor insured under this Policy in respect of death, bodily injury or illness or disease suffered by a person employed by any other sub-contractor."

Revised Clause 4.6.1
“Liability in respect of death, bodily injury, illness or disease suffered by:

1. Any person employed by any insured party i.e. principal contractors, sub-contractors, sub-sub-contractors for the purpose of execution of insured contract or any parts thereof and

2. Any person to whom part or parts of the insured contract have been sub-contracted including but not limited to self employed sub-contractors.”

In essence, the original Clause 4.6.1 has no cover for death/injury to employees of contractors or sub-contractors because they should have separate insurance cover under employee’s compensation ordinance. However, it does not exclude the liability for “worker to worker” i.e. sub-sub-contractors. For the revised Clause 4.6.1, it rules out the liability for death/injury to employees of any insured party.

13.10 Should design life be the same as return period for design conditions?

Design life means the minimum duration a structure is expected to last. The longer is the design life; the higher is the cost of a project. Therefore, in choosing the design life for a structure, engineers should consider the design life which generates an economical project without sacrificing the required function.

In selection of return period of certain design conditions, winds, waves, etc., one should consider the consequences of exceedance. In fact, there are normally no extreme maximum values of these design conditions and its selection is based on the probability of exceedance which is related to return period.

Therefore, design life may not be equal to return period of design conditions because their selections are based on different considerations.

13.11 What is the difference between sureties and security?

In construction contracts, if a contractor fails to perform the works, the employer would suffer from severe financial loss and therefore some forms of protection has to be established in the contract.

For surety bond, the contractor obtains a guarantee from a third party i.e. a bank or an insurance company, which in return for a fee, agrees to undertake the financial responsibility for the performance of contractor’s obligations. This third party will pay to the employer in case there is a contractor’s default.

For security, a sum of money is deposited in the employer’s account and upon satisfactory fulfillment of contractor’s obligations, the sum will be repaid to the contractor.
13.12 **What is the difference among fasteners, bolts and screws?**

Fastener is a general term to describe something which is used as a restraint for holding things together or attaching to other things.

The main physical distinction between screws and bolts is that screws are entirely full of threads while bolts contain shanks without threads. However, a better interpretation of the differences between the two is that bolts are always fitted with nuts. On the contrary, screws are normally used with tapped holes.

13.13 **What is the function of washers when using bolts?**

The purpose of installing washers in a typical bolting system is to distribute the loads under bolt heads and nuts by providing a larger area under stress. Otherwise, the bearing stress of bolts may exceed the bearing strength of the connecting materials and this leads to loss of preload of bolts and creeping of materials. Alternatively, flanged fasteners instead of using washers could be adopted to achieve the same purpose.

13.14 **What is the difference between normal bolts and high friction grip bolts?**

High friction grip bolts are commonly used in structural steelwork. They normally consist of high tensile strength bolts and nuts with washers. The bolts are tightened to a shank tension so that the transverse load across the joint is resisted by the friction between the plated rather than the bolt shank’s shear strength.
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